

### 3. Agency Component Abbreviations

Component Abbreviation	Component Name
FTC	Federal Trade Commission

### IV. Exemption 3 Statutes

Statute	Type of Information Withheld	Case Citation	Agency / Component	Number of Times Relied upon by Agency / Component	Total Number of Times Relied upon by Agency Overall
21 U.S.C. § 355	Any information or documentary material that may have been filed pursuant to a pharmaceutical agreement notification	N	FTC	1	1
15 U.S.C. § 18a(h)	Information submitted in connection with the premerger notification provisions of the HartScott-Rodino Antitrust	N/A	FTC	22	22

	Improvements Act of 1976				
15 U.S.C. § 46(f)	“[A]ny trade secret or any commercial or financial information which is obtained from any person and which is privileged or confidential”	Ctr. for Digit. Democracy v. FTC, 189 F. Supp. 3d 151, 158- 59 (D.D.C. 2016)	FTC	49	49
15 U.S.C. § 57b-2(f)	Certain investigative materials received by the FTC and “provided pursuant to any compulsory process under this subchapter or which is provided voluntarily in place of such compulsory process	A. Michael’s Piano, Inc. v. FTC, 18 F.3d 138, 143-44 (2d Cir. 1994); Nat’l Educ. Ass’n v. FTC, No. 79-959-S, 1983 WL 1883, at *1 (D. Mass. Sept. 26, 1983).	FTC	116	116

**V.A. FOIA REQUESTS -- RECEIVED, PROCESSED AND PENDING FOIA REQUESTS**

Agency / Component	Number of Requests Pending as of Start of Fiscal Year	Number of Requests Received in Fiscal Year	Number of Requests Processed in Fiscal Year	Number of Requests Pending as of End of Fiscal Year
FTC	111	2506	2476	141
AGENCY OVERALL	111	2506	2476	141

After reviewing its database, FTC updated the number of requests pending at the start of the Fiscal Year.

**V.B.(1). DISPOSITION OF FOIA REQUESTS -- ALL PROCESSED REQUESTS**

Agency / Component	Number of Full Grants	Number of Partial Grants / Partial Denials	Number of Full Denials Based on Exemptions	Number of Full Denials Based on Reasons Other than Exemptions									TOTAL
				No Records	All Records Referred to Another Component or Agency	Request Withdrawn	Fee-Related Reason	Records not Reasonably Described	Improper FOIA Request for Other Reason	Not Agency Record	Duplicate Request	Other *Explain in Chart Below	
FTC	415	539	257	196	2	49	69	61	531	43	41	273	2476
AGENCY OVERALL	415	539	257	196	2	49	69	61	531	43	41	273	2476

**V.B.(2). DISPOSITION OF FOIA REQUESTS -- "OTHER" REASONS FOR "FULL DENIALS BASED ON REASONS OTHER THAN EXEMPTIONS"**

Agency / Component	Description of "Other" Reasons for Denials from Chart B(1)	Number of Times "Other" Reason Was Relied Upon	TOTAL
FTC	This category includes the following : Directed Requester to Publicly Available Information- 46 Litigation - 2 Aggregated Request - 225	273	273

AGENCY OVERALL			273
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*This category includes the following : Publicly available- 46 Request in Litigation - 2 Aggregated request - 225*

**V.B.(3). DISPOSITION OF FOIA REQUESTS -- NUMBER OF TIMES EXEMPTIONS APPLIED**

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
FTC	1	16	157	57	157	498	260	1	13	9	57	0	0	0
AGENCY OVERALL	1	16	157	57	157	498	260	1	13	9	57	0	0	0

**VI.A. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING ADMINISTRATIVE APPEALS**

Agency / Component	Number of Appeals Pending as of Start of Fiscal Year	Number of Appeals Received in Fiscal Year	Number of Appeals Processed in Fiscal Year	Number of Appeals Pending as of End of Fiscal Year
FTC	2	52	51	3

AGENCY OVERALL	2	52	51	3
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**VI.B. DISPOSITION OF ADMINISTRATIVE APPEALS -- ALL PROCESSED APPEALS**

Agency / Component	Number Affirmed on Appeal	Number Partially Affirmed & Partially Reversed/Remanded on Appeal	Number Completely Reversed/Remanded on Appeal	Number of Appeals Closed for Other Reasons	TOTAL
FTC	36	3	8	4	51
AGENCY OVERALL	36	3	8	4	51

**VI.C.(1). REASONS FOR DENIAL ON APPEAL -- NUMBER OF TIMES EXEMPTIONS APPLIED**

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
FTC	0	0	6	3	9	5	18	0	1	0	3	0	0	0
AGENCY OVERALL	0	0	6	3	9	5	18	0	1	0	3	0	0	0

**VI.C.(2). REASONS FOR DENIAL ON APPEAL -- REASONS OTHER THAN EXEMPTIONS**

Agency / Component	No Records	Records Referred at Initial Request Level	Request Withdrawn	Fee-Related Reason	Records not Reasonably Described	Improper Request for Other Reasons	Not Agency Record	Duplicate Request or Appeal	Request in Litigation	Appeal Based Solely on Denial of Request for Expedited Processing	Other *Explain in chart below
FTC	7	0	1	4	1	0	1	1	0	1	0
AGENCY OVERALL	7	0	1	4	1	0	1	1	0	1	0

**VI.C.(3). REASONS FOR DENIAL ON APPEAL -- "OTHER" REASONS**

Agency / Component	Description of "Other" Reasons for Denial on Appeal from Chart C(2)	Number of Times "Other" Reason Was Relied Upon	TOTAL
FTC	N/A	0	0

AGENCY OVERALL			0
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**VI.C.(4). RESPONSE TIME FOR ADMINISTRATIVE APPEALS**

Agency / Component	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
FTC	19.00	17.63	4	29
AGENCY OVERALL	19.00	17.63	4	29

**VI.C.(5). TEN OLDEST PENDING ADMINISTRATIVE APPEALS**

Agency / Component		10th Oldest Appeal	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Appeal
FTC	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2025-09-29	2025-09-22	2025-09-16
	Number of Days Pending	0	0	0	0	0	0	0	1	6	10

AGENCY OVERALL	Date of Appeal	N/A	2025-09-29	2025-09-22	2025-09-16						
	Number of Days Pending	0	0	0	0	0	0	0	1	6	10

**VII.A. FOIA REQUESTS -- RESPONSE TIME FOR ALL PROCESSED PERFECTED REQUESTS**

Agency / Component	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
FTC	2.00	6.46	<1	248	18.00	36.51	<1	658	4.00	15.64	<1	114
AGENCY OVERALL	2.00	6.46	<1	248	18.00	36.51	<1	658	4.00	15.64	<1	114

**VII.B. PROCESSED REQUESTS -- RESPONSE TIME FOR PERFECTED REQUESTS IN WHICH INFORMATION WAS GRANTED**

	SIMPLE	COMPLEX	EXPEDITED PROCESSING
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FTC	352	119	57	28	16	9	9	5	5	6	13	3	1	623
AGENCY OVERALL	352	119	57	28	16	9	9	5	5	6	13	3	1	623

**VII.C. PROCESSED REQUESTS GRANTED EXPEDITED PROCESSING -- RESPONSE TIME IN DAY INCREMENTS**

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
FTC	21	0	2	1	0	1	0	0	0	0	0	0	0	25
AGENCY OVERALL	21	0	2	1	0	1	0	0	0	0	0	0	0	25

**VII.D. PENDING REQUESTS -- ALL PENDING PERFECTED REQUESTS**

Agency / Component	SIMPLE			COMPLEX			EXPEDITED PROCESSING		
	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days
FTC	21	7	14.71	62	12	27.29	0	N/A	N/A

AGENCY OVERALL	21	7	14.71	62	12	27.29	0	N/A	N/A
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**VII.E. PENDING REQUESTS -- TEN OLDEST PENDING PERFECTED REQUESTS**

Agency / Component		10th Oldest Request	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Request
FTC	Date of Receipt	2025-07-10	2025-07-08	2025-07-07	2025-06-16	2025-06-05	2025-06-02	2025-05-05	2025-03-07	2025-01-23	2024-12-27
	Number of Days Pending	57	59	60	73	80	83	102	143	173	190
AGENCY OVERALL	Date of Receipt	2025-07-10	2025-07-08	2025-07-07	2025-06-16	2025-06-05	2025-06-02	2025-05-05	2025-03-07	2025-01-23	2024-12-27
	Number of Days Pending	57	59	60	73	80	83	102	143	173	190

**VIII.A. REQUESTS FOR EXPEDITED PROCESSING**

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate	Number Adjudicated Within Ten Calendar Days
FTC	28	97	1	6.18	107
AGENCY OVERALL	28	97	1	6.18	107

**VIII.B. Requests for Fee Waiver**

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate
FTC	29	103	1	3
AGENCY OVERALL	29	103	1	3

**IX. FOIA Personnel and Costs**

Agency / Component	PERSONNEL			COSTS		
	Number of "Full-Time FOIA Employees"	Number of "Equivalent Full-Time FOIA Employees"	Total Number of "Full-Time FOIA Staff"	Processing Costs	Litigation-Related Costs	Total Costs
FTC	13	0.00	13.00	1706049.35	590583.00	2296632.35
AGENCY OVERALL	13	0.00	13.00	1706049.35	590583.00	2296632.35

*FTC had 4 employees enroll in the Deferred Resignation Program during the Fiscal Year.*

**X. Fees Collected for Processing Requests**

Agency / Component	Total Amount of Fees Collected	Percentage of Total Costs
FTC	28799.20	1.6900
AGENCY OVERALL	28799.20	1.6900

**XI.A. Number of Times Subsection (C) Used**

Agency / Component	Number of Times Subsection Used
FTC	0
AGENCY OVERALL	0

**XI.B. Number of Subsection (A)(2) Postings**

Agency / Component	Number of Records Posted by the FOIA Office	Number of Records Posted by Program Offices
FTC	340	0
AGENCY OVERALL	340	0

**XII.A. Backlogs of FOIA Requests and Administrative Appeals**

Agency / Component	Number of Backlogged Requests as of End of Fiscal Year	Number of Backlogged Appeals as of End of Fiscal Year
FTC	15	3
AGENCY OVERALL	15	3

**XII.B. CONSULTATIONS ON FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING CONSULTATIONS**

Agency / Component	Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>Start</u> of the Fiscal Year	Number of Consultations <u>Received</u> from Other Agencies During the Fiscal Year	Number of Consultations Received from Other Agencies that were <u>Processed</u> by the Agency During the Fiscal Year	Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>End</u> of the Fiscal Year
FTC	0	13	13	0
AGENCY OVERALL	0	13	13	0

**XII.C. CONSULTATIONS ON FOIA REQUESTS -- TEN OLDEST CONSULTATIONS RECEIVED FROM OTHER AGENCIES AND PENDING AT THE AGENCY**

Agency / Component		10th Oldest Consultation	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Consultation
FTC	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0

**XII.D.(1). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- REQUESTS RECEIVED AND PROCESSED**

Agency / Component	NUMBER OF REQUESTS <u>RECEIVED</u>		NUMBER OF REQUESTS <u>PROCESSED</u>	
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report

FTC	1938	2506	1919	2476
AGENCY OVERALL	1938	2506	1919	2476

**XII.D.(2). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED REQUESTS**

Agency / Component	Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report
FTC	38	15
AGENCY OVERALL	38	15

**XII.E.(1). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- APPEALS RECEIVED AND PROCESSED**

Agency / Component	NUMBER OF APPEALS RECEIVED		NUMBER OF APPEALS PROCESSED	
	Number Received During	Number Received During	Number Processed	Number Processed

	Fiscal Year from Last Year's Annual Report	Fiscal Year from Current Annual Report	During Fiscal Year from Last Year's Annual Report	During Fiscal Year from Current Annual Report
FTC	29	52	31	51
AGENCY OVERALL	29	52	31	51

**XII.E.(2). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED APPEALS**

Agency / Component	Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report
FTC	0	3
AGENCY OVERALL	0	3