



Office of Inspector General
499 South Capitol Street, SW, Suite 518
Washington, DC 20515
202.593.1948
www.aoc.gov

August 23, 2016

Roslyn A. Mazer
Inspector General
Federal Trade Commission
600 Pennsylvania Ave., NW
Washington, DC 20580

Re: Final Report on the Quality Assessment Review of the Investigative Operations of the Office of Inspector General for the Federal Trade Commission (FTC OIG)

Dear Ms. Mazer:

We have reviewed the system of internal safeguards and management procedures for the Federal Trade Commission (FTC), Office of Inspector General (OIG), Office of Investigations in effect for the period ending June 9, 2016. Our review was conducted in conformance with the Quality Standards for Investigations and the Quality Assessment Review Guidelines established by the Council of the Inspectors General on Integrity and Efficiency (CIGIE), and the Attorney General's Guidelines for Office of Inspectors General with Statutory Law Enforcement Authority, as applicable.

We reviewed your organization's system of internal policies and procedures to the extent we considered appropriate to the quality standards established by CIGIE. The review was conducted at your organization's Office of Investigations location in Washington, D.C. We interviewed Office of Investigations personnel regarding their independent knowledge of internal policies and procedures, as well as investigative guidelines established by CIGIE and the Attorney General. We reviewed key program areas, such as your evidence and training programs, and sampled six case files for investigations closed during fiscal years 2014 and 2015.

In performing our review, we have given appropriate consideration to the Inspector General Act of 1978 as amended, FTC OIG policies and procedures, CIGIE guidelines, applicable Attorney General guidelines and the specific authorities, roles, responsibilities, and requirements of your Office of Investigations.

In our opinion, the system of internal safeguards and management procedures for the FTC OIG Office of Investigations in effect as of June 9, 2016, is compliant with the quality standards established by the CIGIE and the applicable Attorney General guidelines. These safeguards and procedures provide

FOR OFFICIAL USE ONLY

reasonable assurance of conforming to professional standards in the planning, execution and reporting of its investigations.

In the event you have questions or concerns regarding this opinion or our review process, please contact me at 202.593.0260, or Senior Investigator James L. Wilson III at 202.593.1942.

Sincerely,



Kevin Mulshine
Inspector General

Enclosures:

1. List of Visited Offices and Personnel Interviewed
2. List of Sampled Closed Investigative Files
3. FTC OIG Response to the Draft Quality Assessment Review Report
4. FTC OIG Response
5. FTC OIG Response to the Draft Quality Assessment Review

Cc: Mark Jones, Executive Director
Council of Inspectors General on Integrity and Efficiency
1717 H. Street NW, Suite 825
Washington, DC 20006

FOR OFFICIAL USE ONLY

Enclosure 1: List of Office Visited and Personnel Interviewed

Office Visited:

- FTC OIG Office of Investigations, Washington, D.C.

Personnel Assigned and Interviewed:

- Number of personnel assigned to FTC OIG Office of Investigations – 3 (1 Counsel to the Inspector General/Investigator (previously 1811 series Criminal Investigator, currently 0905 series Attorney-Advisor), 1 Attorney-Advisor/Investigator (0905 series), and 1 Attorney-Advisor/Investigator (0905 series Detailee)
- Number of FTC OIG Office of Investigations personnel interviewed – 2

FOR OFFICIAL USE ONLY

Enclosure 2 – List of Sampled Closed Investigative Cases

1. I-14-174
2. I-15-178
3. I-15-179
4. I-15-182
5. I-15-183
6. I-15-184

FOR OFFICIAL USE ONLY



Office of Inspector General

UNITED STATES OF AMERICA
FEDERAL TRADE COMMISSION
WASHINGTON, D.C. 20580

September 9, 2016

Kevin Mulshine
Inspector General
Office of Inspector General
Architect of the Capitol
499 S. Capitol St. SW, Suite 518
Washington, DC 20515

Dear Mr. Mulshine:

I want to thank you for the work your office performed in conducting the peer review recently completed of the Federal Trade Commission Office of Inspector General's (OIG) investigative operations. As you know, peer reviews are one of the ways the OIG community holds itself accountable for adherence to professional standards.

We are pleased that your office concluded that our investigative operations including our policies and procedures as of June 9, 2016, were compliant and consistent with applicable professional standards as stated.

Please extend our appreciation to James L. Wilson III for his professionalism in leading the review. If you have any questions, please contact me at (202) 326-3295.

Sincerely,

A handwritten signature in black ink, reading "Roslyn A. Mazer". The signature is written in a cursive style with a long horizontal line extending to the right.

Roslyn A. Mazer
Inspector General