

From: [Storm, Evan](#)
To: [REDACTED] [Gillis, Diana L.](#)
Subject: RE: Quick Question re: 4b
Date: Wednesday, August 17, 2016 12:13:00 PM

That is correct.

Evan

From: [REDACTED]
Sent: Wednesday, August 17, 2016 11:59 AM
To: Storm, Evan; Gillis, Diana L.
Subject: Quick Question re: 4b

Evan and Diana,

Could one of you confirm that, in the case of a natural person who is the Acquired Person, he or she can respond to 4(b) by just providing the annual report or audited financial statement for the acquired **entity**? That seems to be what #174 in the Practice Manual indicates, but I haven't seen an informal interpretation on point. This transaction is above \$312.6 million, so size-of-person isn't at issue.

Thanks,

[REDACTED]