Rules and Regulations

Federal Register Vol. 82, No. 132 Wednesday, July 12, 2017

This section of the FEDERAL REGISTER contains regulatory documents having general applicability and legal effect, most of which are keyed to and codified in the Code of Federal Regulations, which is published under 50 titles pursuant to 44 U.S.C. 1510.

The Code of Federal Regulations is sold by the Superintendent of Documents.

NATIONAL AERONAUTICS AND SPACE ADMINISTRATION

14 CFR Parts 1264 and 1271

RIN 2700-AE30

[Document Number NASA-17-039: Docket Number-NASA-2017-0002]

Implementation of the Federal Civil Penalties Inflation Adjustment Act; Correction

AGENCY: National Aeronautics and Space Administration.

ACTION: Interim final rule with request for public comment; correction.

SUMMARY: The National Aeronautics and Space Administration is correcting an interim final rule that appeared in the Federal Register and became effective on June 26, 2017. The document issued an adjustment to the civil monetary penalties within the Agency's jurisdiction for inflation, as required by the Federal Civil Penalties Inflation Adjustment Act of 1990 (the Inflation Adjustment Act or the Act), as amended by the Debt Collection Improvement Act of 1996 and further amended by the Federal Civil Penalties Inflation Adjustment Act Improvements Act of 2015 (2015 Act). The document inadvertently failed to provide a date and address by which to submit comments.

DATES: Effective July 12, 2017.

FOR FURTHER INFORMATION CONTACT: Nanette J. Smith, 202-358-0819.

Correction

In interim final rule FR Doc. 2017-13209, beginning on page 28760 in the issue of June 26, 2017, make the following corrections:

1. In the **DATES** section on page 28760 in the 3rd column, add at the end of the first paragraph the following:

"Comment date: Comments must be received by July 26, 2017."

2. On page 28760, following the DATES section, add an ADDRESSES section to read as follows:

'ADDRESSES: Comments must be identified with RINs 2700-AE03 and may be sent to NASA via the Federal E-Rulemaking Portal: http:// www.regulations.gov. Follow the online instructions for submitting comments. Please note that NASA will post all comments on the Internet with changes, including any personal information provided."

Nanette J. Smith,

NASA Federal Register Liaison Officer. [FR Doc. 2017-14579 Filed 7-11-17; 8:45 am] BILLING CODE 7510-13-P

FEDERAL TRADE COMMISSION

16 CFR Part 803

Premerger Notification; Reporting and Waiting Period Requirements

AGENCY: Federal Trade Commission. **ACTION:** Final rule.

SUMMARY: The Commission, with the concurrence of the Assistant Attorney General, Antitrust Division, Department of Justice, announces ministerial changes to the Antitrust Improvements Act Notification and Report Form ("HSR Form") to make it conform to recently published amendments to the associated Instructions and to reflect changes to the noncompliance penalty and the Premerger Notification Office address. DATES: Effective July 12, 2017.

FOR FURTHER INFORMATION CONTACT:

Robert Jones, Federal Trade Commission, Bureau of Competition, Premerger Notification Office, 400 7th Street SW., Room #5301, Washington, DC 20024, Phone (202) 326-3100. SUPPLEMENTARY INFORMATION:

Introduction

Section 7A of the Clavton Act (the "Act") requires the parties to certain mergers and acquisitions to file notifications with the Federal Trade Commission ("the Commission") and the Assistant Attorney General in charge of the Antitrust Division of the Department of Justice ("the Assistant Attorney General") (collectively "the Agencies") and to wait a specified period of time before consummating such transactions. The reporting

requirement and the waiting period that it triggers are intended to enable the Agencies to determine whether a proposed merger or acquisition may violate the antitrust laws if consummated and, when appropriate, to seek a preliminary injunction in federal court to prevent consummation, pursuant to Section 7 of the Act.

Section 7A(d)(1) of the Act, 15 U.S.C. 18a(d)(1), directs the Commission, with the concurrence of the Assistant Attorney General, in accordance with the Administrative Procedure Act, 5 U.S.C. 553, to require that premerger notification be in such form and contain such information and documentary material as may be necessary and appropriate to determine whether the proposed transaction may, if consummated, violate the antitrust laws. Section 7A(d)(2) of the Act, 15 U.S.C. 18a(d)(2), grants the Commission, with the concurrence of the Assistant Attorney General, in accordance with 5 U.S.C. 553, the authority to define the terms used in the Act and prescribe such other rules as may be necessary and appropriate to carry out the purposes of § 7A. Pursuant to that authority, the Commission, with the concurrence of the Assistant Attorney General, developed the Rules, codified in 16 CFR parts 801, 802 and 803, and the HSR Form and its associated Instructions, codified at Part 803-Appendix. The Rules, codified in 16 CFR parts 801, 802 and 803, and associated Forms and Instructions, codified at Part 803—Appendix, to govern the form of premerger notifications to be provided by merging parties. The HSR Form is designed to provide the Commission and the Assistant Attorney General with the information and documentary material necessary for an initial evaluation of the potential anticompetitive impact of significant mergers, acquisitions and certain similar transactions.

Changes to the HSR Form

The Commission is amending the HSR Form so that it will conform to the recently published amendments to the associated Instructions and reflect changes in the noncompliance penalty and the Premerger Notification Office address.¹ The changes are as follows: 1. *Fee Information*—The Commission

has deleted the following language

¹81 FR 60257 (September 1, 2016).

(along with an attachment box) from page one of the HSR Form: "In cases where your filing fee would be higher if based on acquisition price or where the acquisition price is undetermined to the extent that it may straddle a filing fee threshold, attach an explanation of how you determined the appropriate fee." The Commission eliminated this requirement in its amended Instructions. Thus, the language and the box have been deleted from the HSR Form.

2. Form Revised Date—The HSR Form's "Revised Date" on the bottom of each page has been updated from "(rev. 08/18/11)" to "(rev. 01/02/2017)" to reflect the ministerial revisions described in this notice.

3. *Penalty*—The HSR Form, on page 10, refers to a noncompliance penalty of \$16,000 per day. By statute,² the Commission is required to adjust its civil penalty amounts for inflation every January. The HSR Form has been amended to omit the specific dollar amount and instead cross-reference 16 CFR 1.98(a), which lists the inflationadjusted civil penalty.

4. *PNO Address*—The address of the Premerger Notification Office ("PNO") on page 10 of the HSR Form has been updated to reflect the PNO's current address in Constitution Center.

5. *Electronic Form Version*—The Electronic Form Version has been updated from "1.0.1, 01/13/2012" to "1.0.2, 01/02/2017" to reflect the ministerial changes described in this notice.

Administrative Procedure Act

The Commission finds good cause to adopt these changes without prior public comment. Under the APA, notice and comment are not required "when the agency for good cause finds (and incorporates the finding and a brief statement of reasons therefore in the rules issued) that notice and public procedure thereon are impracticable, unnecessary, or contrary to the public interest." 5 U.S.C. 553(b)(3)(B).

The Commission is updating the HSR Form so that it will conform to the recently published amendments to the associated Instructions. This does not involve any substantive changes in the Rules' requirements for entities subject to the Rules. Accordingly, the Commission finds that public comment is unnecessary.

In addition, under the APA, a substantive final rule is required to take effect at least 30 days after publication in the **Federal Register** unless an agency finds good cause that the rule should become effective sooner. 5 U.S.C. 553(d). However, these are purely ministerial changes and do not constitute a substantive rule change. Therefore, the Commission finds good cause to dispense with a delayed effective date.

For these reasons, the Commission finds that there is good cause for adopting this final rule as effective on July 12, 2017, without prior public comment.

Regulatory Flexibility Act

Under the Regulatory Flexibility Act ("RFA"), 5 U.S.C. 601–612, an agency must prepare a regulatory flexibility analysis for all proposed and final rules that describes the impact of the rule on small entities, unless the head of the agency certifies that the rule will not have a "significant economic impact on a substantial number of small entities." 5 U.S.C. 605(b). However, the RFA applies only to rules for which an agency publishes a general notice of proposed rulemaking. 5 U.S.C. 603(a), 604(a). As discussed above, the Commission has determined for good cause that the APA does not require notice and public comment on this rule. Accordingly, the RFA does not apply to this final rule.

Paperwork Reduction Act

These changes do not contain any record maintenance, reporting or disclosure requirements that would constitute agency "collections of information" that would have to be submitted for clearance and approval by the Office of Management and Budget under the Paperwork Reduction Act of 1995, 44 U.S.C. 3501–3518.

List of Subjects in 16 CFR Part 803

Antitrust.

For the reasons stated above, the Federal Trade Commission amends 16 CFR part 803 as set forth below:

■ 1. The authority citation for part 803 continues to read as follows:

Authority: 15 U.S.C. 18a(d).

■ 2. Revise the appendix to part 803 to read as follows:

Appendix to Part 803—Notification and Report Form for Certain Mergers and Acquisitions

BILLING CODE 6750-01-P

²Federal Civil Penalties Inflation Adjustment Act of 1990, Public Law 101–410, 104 Stat. 890, as amended by the Federal Civil Penalties Inflation Adjustment Act Improvements Act of 2015, Public Law 114–74, sec. 701, 129 Stat. 599.

NOTIFICATION AND REPORT FORM FOR CERTAIN		
	OR SOCIAL SECURITY NUMBER FOR NATUR	RAL PERSONS
AMOUNT PAID \$	NAME OF PAYER (if different from PERSON FILING) _	
		K / MONEY ORDER ATTACHED
	WIRE TRANSFER CONFIRMATION NO.	
		BANKRUPTCY? YES NO
DO YOU REQUEST EARLY TERMINATION OF THE (Grants of early termination are published in the Federal	E	
(voluntary) IS THIS ACQUISITION SUBJECT TO NO IF YES, list jurisdictions:	DN-US FILING REQUIREMENTS? □ YES	NO
NA ITEM 1 HEADQUARTERS ADDRE	ME SS	
ADDRESS LIN 1(a) PERSON FILING ZIP CC WEB S	RY DE	
	acquiring an acquired arson Derson	both
1(c) PUT AN "X" IN THE APPROPRIATE BOX TO DE	SCRIBE THE PERSON FILING NOTIFICATION	ý)
1(d) DATA FURNISHED BY	od): (month/year) to	(month/year)
1(e) PUT AN "X" IN THE APPROPRIATE BOX BELOV IF DIFFERENT THAN THE ULTIMATE PARENT		E ENTITY FILING NOTIFICATION,
Not Applicable This report is being filed on bet a foreign person pursuant to §		of the ultimate parent entity by another prized by it to file pursuant to § 803.2(a).
NAME ADDRESS CITY, STATE, COUNTRY ZIP CODE		
1(f) NAME AND ADDRESS OF ENTITY MAKING ACC	QUISITION OR WHOSE ASSETS, VOTING SECU RENT FROM THE ULTIMATE PARENT ENTITY	
NAME		
ADDRESS CITY, STATE, COUNTRY ZIP CODE		Not Applicable
PERCENT OF VOTING SECURITIES OR NON-CORP DIRECTLY OR INDIRECTLY IN THE ACQUIRING OR	ACQUIRED ENTITY IDENTIFIED IN ITEM 1(f)	%
1(g) IDENTIFICATION OF PERSONS TO CONTACT CONTACT PERSON 1	REGARDING THIS REPORT	
FIRM NAME	FIRM NAME	
BUSINESS ADDRESS CITY, STATE, COUNTRY	BUSINESS ADDRESS CITY, STATE, COUNTRY	
ZIP CODE TELEPHONE NUMBER	ZIP CODE TELEPHONE NUMBER	
FAX NUMBER E-MAIL ADDRESS	FAX NUMBER E-MAIL ADDRESS)
1(h) IDENTIFICATION OF AN INDIVIDUAL LOCATED RECEIVING NOTICE OF ISSUANCE OF A REQUEST		
NAME FIRM NAME BUSINESS ADDRESS CITY, STATE, COUNTRY ZIP CODE		
TELEPHONE NUMBER FAX NUMBER E-MAIL ADDRESS		

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ITEM 2

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2(a) LIST NAMES OF ULTIMATE PARENT ENTITIES OF ALL ACQUIRING PERSONS

LIST NAMES OF ULTIMATE PARENT ENTITIES OF ALL ACQUIRED PERSONS

NAME	NON- REPORTABLE	NAME		NON- REPORTABLE
2(b) THIS ACQUISITION IS (put an "X" in all	the boxes that apply)			
an acquisition of assets		a consolidation (s	ee § 801.2)	
a merger (see § 801.2)		an acquisition of v	voting securities	
an acquisition subject to § 801.2 (e)		🔲 a secondary acqu	isition	
a formation of a joint venture or other con	•	an acquisition sub	oject to § 801.31	
unincorporated entity (see § 801.40 or §		an acquisition of r	non-corporate interests	
	<i>y</i> (<i>ypc</i>)	other (specify)		
2(c) INDICATE THE HIGHEST NOTIFICATIC (acquiring person <u>only</u> in an acquisition of <u>voti</u>		801.1(h) FOR WHICH TH	HIS FORM IS BEING FIL	ED
\$50 million \$100 million (as adjusted) (as adjusted)	(as adjusted)	25% (see Instruct (as adjusted)	ions) 50%	N/A
	(20 20)	(40 40,4000)		
2(d)(i) VALUE OF VOTING SECURITIES ALREADY HELD (\$MM)	(v) VALUE OF NON-C INTERESTS ALREAD			
\$	\$			
+ 	+			
(ii) PERCENTAGE OF VOTING SECURITIES		OF NON-CORPORATE		
ALREADY HELD	INTERESTS ALREAD	DY HELD		
<i></i>				
%		%		
(iii) TOTAL VALUE OF VOTING		OF NON-CORPORATE	(ix) VALUE OF ASSET	
SECURITIES TO BE HELD AS A RESULT OF THE ACQUISITION (\$MM)	THE ACQUISITION	IELD AS A RESULT OF \$MM)	A RESULT OF THE AC	QUISITION (\$MM)
\$	\$		\$	
Φ	φ		φ	
(iv) TOTAL PERCENTAGE OF VOTING	(viii) TOTAL PERCEI	NTAGE OF NON-	(x) AGGREGATE TOT	AL VALUE (\$MM)
SECURITIES TO BE HELD AS A RESULT OF THE ACQUISITION		ESTS TO BE HELD AS		
%		%	\$	

3(a) DESCRIPTION OF ACQUISITION

ACQUIRING UPE(S)	ACQUIRED UPE(S)	
NAME	NAME	
ADDRESS	ADDRESS	
ADDRESS LINE 2	ADDRESS LINE 2	
CITY, STATE	CITY, STATE	
ZIP CODE, COUNTRY	ZIP CODE, COUNTRY	
ACQUIRING ENTITY(S)	ACQUIRED ENTITY(S)	
NAME	NAME	
ADDRESS	ADDRESS	
ADDRESS LINE 2		
ADDITEOD LINE Z	ADDRESS LINE 2	
CITY, STATE	CITY, STATE	

TRANSACTION DESCRIPTION

3(b) SUBMIT A COPY OF THE MOST RECENT VERSION OF THE CONTRACT OR AGREEMENT (or letter of intent to merge or acquire)

(IF SUBMITTING PAPER, DO NOT ATTACH THE DOCUMENT TO THIS PAGE)

ATTACHMENT NUMBER

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ITEM 4 PERSONS FILING NOTIFICATION MAY PROVIDE BELOW AN OPTIONAL INDEX OF D SUBMITTED BY ITEM 4 (See Item by Item instructions). THESE DOCUMENTS SHOULD			E.
4(a) ENTITIES WITHIN THE PERSON FILING NOTIFICATION THAT FILE ANNUAL REPORTS V SECURITIES AND EXCHANGE COMMISSION	VITH THE	CENTRAL INDEX KEY NUMBER	
		ATTACHMENT OR	
4(b) ANNUAL REPORTS AND ANNUAL AUDIT REPORTS	None	REFERENCE NUMBER	
4(c) STUDIES, SURVEYS, ANALYSES, AND REPORTS	None	REFERENCE NUMBER	
4(d) ADDITIONAL DOCUMENTS	None	ATTACHMENT OR REFERENCE NUMBER	

5(a) DOLLAR REVENUES BY NON-MANUFACTURING INDUSTRY CODE AND BY MANUFACTURED PRODUCT CODE

Check None at the bottom of the page and provide explanation if you are not reporting revenue

6-DIGIT INDUSTRY CODE AND/OR 10-DIGIT PRODUCT CODE	DESCRIPTION	YEAR TOTAL DOLLAR REVENUES (\$MM)	
	Attachment:		
		Overlap	

NONE

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(PROVIDE EXPLANATION)

5(b) COMPLETE ONLY IF ACQUISITION IS IN THE FORMATION OF A JOINT VENTURE CORPORATION [OR UNINCORPORATED ENTITY

Not Applicable

5(b)(i) CONTRIBUTIONS THAT EACH PERSON FORMING THE JOINT VENTURE CORPORATION OR UNINCORPORATED ENTITY HAS AGREED TO MAKE Attachment:

5(b)(ii) DESCRIPTION OF CONSIDERATION THAT EACH PERSON FORMING THE JOINT VENTURE CORPORATION OR UNINCORPORATED ENTITY WILL RECEIVE Attachment:

5(b)(iii) DESCRIPTION OF THE BUSINESS IN WHICH THE JOINT VENTURE CORPORATION OR UNINCORPORATED ENTITY WILL ENGAGE Attachment:

5(b)(iv) SOURCE OF DOLLAR REVENUES BY 6-DIGIT INDUSTRY CODE (non-manufacturing) AND BY 10-DIGIT PRODUCT CODE (manufactured)

Attachment:

CODE	DESCRIPTION

6(a) ENTITIES WITHIN PERSON FILING NOTIFICATION

CITY STATE COUNTRY NAME

6(b) HOLDERS OF PERSON FILING NOTIFICATION

ISSUER/ UNINCORPORATED ENTITY	SHAREHOLDER/ INTEREST HOLDER	HQ ADDRESS	% HELD

6(c)(i) HOLDINGS OF PERSON FILING NOTIFICATION

ISSUER/ UPE OF FILING PERSON % HELD UNINCORPORATED ENTITY

6(c)(ii) HOLDINGS OF ASSOCIATES (ACQUIRING PERSON ONLY)

Attachment:

ISSUER/ TOP LEVEL ASSOCIATE % HELD UNINCORPORATED ENTITY

Attachment:

Attachment:

Attachment:

OVERLAP DOLLAR REVENUES

7(a) 6-DIGIT NAICS INDUSTRY CODE AND DESCRIPTION

None

CODE	DESCRIPTION	PERSON / ASSOCIATE / BOTH

7(b)(i) LIST THE NAME OF EACH PERSON THAT ALSO DERIVED DOLLAR REVENUES

UPE OF OTHER FILING PERSON	ENTITY THAT OVERLAPS (IF DIFFERENT)

7(b)(ii) LIST THE NAME OF EACH ASSOCIATE OF THE ACQUIRING PERSON THAT ALSO DERIVED DOLLAR REVENUES (ACQUIRING PERSON ONLY)

TOP LEVEL ASSOCIATE	ENTITY THAT OVERLAPS (IF DIFFERENT)

7(c) GEOGRAPHIC MARKET INFORMATION FOR EACH PERSON THAT ALSO DERIVED DOLLAR REVENUES

CODE	GEOGRAPHIC MARKET INFORMATION

7(d) GEOGRAPHIC MARKET INFORMATION FOR ASSOCIATES OF THE ACQUIRING PERSON (ACQUIRING PERSON ONLY)

CODE	GEOGRAPHIC MARKET INFORMATION

PRIOR ACQUISITIONS (ACQUIRING PERSON ONLY)

NAICS Code					
Acquired Entity					
Former HQ Address					
Acquisition Type	Securities	Assets	Non Corporate Interests	Date of Acquisition:	
Notes					

CERTIFICATION

This **NOTIFICATION AND REPORT FORM**, together with any and all appendices and attachments thereto, was prepared and assembled under my supervision in accordance with instructions issued by the Federal Trade Commission. Subject to the recognition that, where so indicated, reasonable estimates have been made because books and records do not provide the required data, the information is, to the best of my knowledge, true, correct, and complete in accordance with the statute and rules.

NAME (Please print or type)	TITLE
	BATE
SIGNATURE	DATE

Subscribed and sworn to before me at the

City of	, State of		[SEAL]
this	_day of	, the year	
Signature			

My Commission expires _____

16 C.F.R. Part 803 - Appendix NOTIFICATION AND REPORT FORM FOR CERTAIN MERGERS AND ACQUISITIONS Approved by OMB 3084-0005

Attach the Affidavit required by § 803.5 to the Form.

THE INFORMATION REQUIRED TO BE SUPPLIED ON THESE ANSWER SHEETS IS SPECIFIED IN THE INSTRUCTIONS

THIS FORM IS REQUIRED BY LAW and must be filed separately by each person which, by reason of a merger, consolidation or acquisition, is subject to §7A of the Clayton Act, 15 U.S.C. §18a, as added by Section 201 of the Hart-Scott-Rodino Antitrust Improvements Act of 1976, Pub. L. No. 94-435, 90 Stat. 1390, and rules promulgated thereunder (hereinafter referred to as "the rules" or by section number). The statute and rules are set forth in the *Federal Register* at 43 FR 33450; the rules may also be found at 16 CFR Parts 801-03. Failure to file this **Notification and Report Form**, and to observe the required waiting period before consummating the acquisition in accordance with the applicable provisions of 15 U.S.C. §18a and the rules, subjects any "person," as defined in the rules, or any individuals responsible for noncompliance, to liability for a penalty for each day during which such person is in violation of 15 U.S.C. §18a. The maximum daily civil penalty amount is listed in 16 C.F.R. §1.98(a).

Pursuant to the Hart-Scott-Rodino Act, information and documentary material filed in or with this Form is confidential. It is exempt from disclosure under the Freedom of Information Act, and may be made public only in an administrative or judicial proceeding, or disclosed to Congress or to a duly authorized committee or subcommittee of Congress.

DISCLOSURE NOTICE - Public reporting burden for this report is estimated to vary from 8 to 160 hours per response, with an average of 37 hours per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this report, including suggestions for reducing this burden to:

Premerger Notification Office, Federal Trade Commission, 400 7th St. SW, Room # 5301, Washington, DC 20024 and

Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, DC 20503

Under the **Paperwork Reduction Act**, as amended, an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. That number is 3084-0005, which also appears above.

Privacy Act Statement--Section 18a(a) of Title 15 of the U.S. Code authorizes the collection of this information. Our authority to collect Social Security numbers is 31 U.S.C. 7701. The primary use of information submitted on this Form is to determine whether the reported merger or acquisition may violate the antitrust laws. Taxpayer information is collected, used, and may be shared with other agencies and contractors for payment processing, debt collection and reporting purposes. Furnishing the information on the Form is voluntary. Consummation of an acquisition required to be reported by the statute cited above without having provided this information may, however, render a person liable to civil penalties up to the amount listed in 16 C.F.R. §1.98(a) per day. We also may be unable to process the Form unless you provide all of the requested information.

This page may be omitted when submitting the Form.

By direction of the Commission. Donald S. Clark, Secretary. [FR Doc. 2017–14550 Filed 7–11–17; 8:45 am] BILLING CODE 6750–01–C