UNITED STATES OF AMERICA BEFORE THE FEDERAL TRADE COMMISSIO OFFICE OF ADMINISTRATIVE LAW JUDGE

In the Matter of Axon Enterprise, Inc., a corporation, and Safariland, LLC, a corporation.

Docket No. D9389

**PUBLIC DOCUMENT** 

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## RESPONDENT'S AMENDED MOTION TO COMPEL PRODUCTION OF DOCUMENTS RESPONSIVE TO RESPONDENT'S SECOND SET OF REQUESTS FOR PRODUCTION

Respondent Axon Enterprise, Inc. moves pursuant to Rule 3.38(a) for an order compelling Complaint Counsel to produce documents relating to the division of antitrust enforcement responsibility between the Department of Justice and the FTC. These documents are relevant to Axon's affirmative defenses, available from no other source, and not protected by any privilege. Good cause supports this Motion, and it should be granted.<sup>1</sup> A proposed order is attached as Exhibit A.

## BACKGROUND

The Department of Justice and the FTC divide responsibility for enforcement of the antitrust laws in particular cases through a process known as "clearance." Axon contends in this case that it has been denied equal protection of the laws because the clearance process forces some parties, like Axon here, to defend antitrust actions in an administrative proceeding without the procedures and rights available in federal court. *See* Eighteenth Affirmative Defense. On March 3,

<sup>&</sup>lt;sup>1</sup> Axon filed this Motion on July 8, 2020. It files this Amended Motion to correct an inadvertent error in the originally-filed Exhibit C. The Amended Motion is otherwise identical to the originally-filed Motion.

Axon served Complaint Counsel with its second set of requests for production, all of which relate to the clearance process and Axon's affirmative defense. Complaint Counsel objected to Axon's requests on three grounds, arguing that they (1) fall outside the scope of discovery under Rule 3.31(c)(2), (2) are irrelevant, or (3) seek documents that are privileged. But the documents Axon seeks are within the scope of discovery under Rule 3.31(c)(2). They are relevant to Axon's defenses in this matter and are not privileged. This Motion should be granted.

## ARGUMENT

The Administrative Law Judge "shall order" responses to discovery requests "unless the Administrative Law Judge determines that the objection is justified." 16 C.F.R. § 3.38(a). Complaint Counsel's objections are not justified. Good cause supports the production of documents relating to the clearance process under Rule 3.31(c)(2), and these documents are both relevant and non-privileged.

## 1. Good cause supports the production of documents relating to the clearance process.

Rule 3.31(c)(2) allows discovery of documents—in addition to materials collected during an investigation—from "Bureaus or Offices that investigated the matter upon a showing of 'good cause." *In the Matter of 1-800 Contacts, Inc.*, No. 9372, 2016 WL 7634657, at \*3 (F.T.C. Dec. 20, 2016). Good cause supports a request for production when (1) the material is relevant, (2) the request is "reasonable in scope and stated with reasonable particularity," and (3) as to non-public documents, the request seeks information "not obtainable through other means." *Id.* "It should not be difficult for respondent to satisfy a good cause standard" as to documents that are "not duplicative, privileged or work product." *Id.* at \*3 n.4 (quoting 74 Fed. Reg. 1804, 1812 (Interim final rules with request for comment) (Jan. 13, 2009)).

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The documents sought in Axon's second set of requests for production are relevant to its defenses in this case. Axon's requests seek "to defend against the allegations of the Complaint" and "relate directly to those contentions" raised in Axon's defenses to the Complaint. *In the Matter of Intel Corp.*, No. 9341, 2010 WL 2544424, at \*1, 3-4 (F.T.C. June 9, 2010). Axon contends that it has been denied equal protection of the laws because the uncodified clearance process directs some parties to an administrative proceeding and some parties to federal court, without a rational basis for this differential treatment of similarly situated parties. *See* Eighteenth Affirmative Defense. Its second set of requests for production seek evidence to prove up this defense. They ask for documents reflecting differences between those tribunals (Request 23); relating to the manner in which the Department of Justice and FTC make the clearance decision (Requests 24 & 25); and reflecting communications between government agencies about the clearance process (Request 26). *See* Ex. B, Complaint Counsel's Objections and Responses to Respondent's Second Set of Requests for Production.

These requests are reasonable in scope and stated with reasonable particularity. Complaint Counsel has not argued that the requests are vague or unduly burdensome in substance and objects only that the requests seek documents "beyond the scope of reasonable discovery under Rule 3.31(c)(2)." *See id.* Complaint Counsel's only objection specific to these requests concerns the time scope of Requests 23, 25, and 26. The time scope set out in these requests is reasonable. Request 23 seeks documents from 2010 to the present discussing or comparing similarities or differences between the FTC's Part 3 rules and procedures and the rules and procedures applicable in federal court. This time frame is reasonable because the Part 3 rules were significantly amended in 2009 and discussions about the operation of the new rules, including the similarities or differences between those rules and the rules applicable in federal court, may have taken place

following the amendments. Complaint Counsel's objections interpret Request 25 to seek documents created as early as 1995, but this interpretation misreads Axon's request. Request 25 instead seeks documents—"statistics, summaries, or reports"—that illustrate the FTC's win rate in Part 3 enforcement actions since 1995, whatever the date of those documents' creation. Axon recognizes that summaries of past enforcement actions could have been created at various points in time. Axon would of course be willing to consider any burden imposed on Complaint Counsel by collecting, reviewing, and producing documents from a particular period of time, but Complaint Counsel made clear during the parties' meet-and-confer that no date limitation would resolve the objection. The same is true with respect to Request 26.

Indeed, the time scope of the requests is beside the point. Complaint Counsel appears to consider *any* time scope for Axon's requests to be unreasonable. During the parties' meet-and-confer conversation, counsel for Axon inquired whether any time limitations on the scope of these requests would resolve Complaint Counsel's objection. Complaint Counsel confirmed that the parties remained at an impasse notwithstanding Axon's efforts to negotiate on time scope. *See* Ex. C, 3/17/2020 E-Mail from J. Milici to A. Healey (confirming the parties' conversation). Complaint Counsel's contention that *any* time scope for Axon's requests would be unreasonable makes it impossible to assess any alleged overbreadth—and indeed, there is none.

Finally, Axon has good cause to seek this discovery because documents responsive to its requests are non-public and not otherwise available. Only the FTC possesses documents about its own clearance process, which sits at the core of one of Axon's constitutional defenses. Indeed, without document discovery relating to the clearance process, Axon will have no way to develop a vital argument to its case. Good cause exists to grant the Motion.

# 2. Complaint Counsel's other objections are not justified.

Good cause aside, Complaint Counsel further objects that Axon's requests seek documents that are either irrelevant or privileged. The relevance of these documents are part of the good-cause analysis, as Axon has explained, and Axon's requests do not seek privileged documents or information. They do not seek attorney work product or other information regarding the FTC's assessment of the Vievu acquisition or investigated transactions in other cases. Instead, the requests only seek information about *how* the FTC and the Department of Justice decide which of them will conduct an antitrust investigation in the first place and in which forum, and under what set of rules and standards an enforcement action will proceed. This decision-making process—a process critical to Axon's defense of this case—is not privileged.

And in any event, Complaint Counsel's "conclusory, blanket assertion of privilege is not a sufficient basis for denying a request for discovery."<sup>2</sup> *In the Matter of 1-800-Contacts*, 2016 WL 7634657, at \*8. Complaint Counsel represented during the parties' meet-and-confer that its good cause and privilege objections relieve it from the obligation to collect, review, and produce or log any documents in response to Axon's requests. *See* Ex. C, 3/17/2020 E-Mail from J. Milici to A. Healey (confirming the parties' conversation). But to the extent responsive and non-privileged documents exist in the custody and control of the investigating offices, Rule 3.31(c)(2) expressly calls for their production upon a showing of good cause. Indeed, because good cause exists for

<sup>&</sup>lt;sup>2</sup> This blanket privilege assertion is not Complaint Counsel's first overbroad withholding claim in this matter. Complaint Counsel's Preliminary Witness List and Initial Disclosures were initially labeled "Confidential" in their entirety. The ALJ's February 14, 2020 Order explained that, pursuant to the standard protective order entered in adjudicative proceedings such as this one, parties may redact as "Confidential" only "specific information' that is subject to confidentiality protections pursuant to a protective order" and "supported by record citations . . . to confirm that confidential treatment is warranted for such material." *See* 2/14/2020 Order at 1 n. 2. The ALJ ordered Complaint Counsel to "review its designations and comply with these directives going forward." *Id*.

the production of non-privileged documents responsive to Axon's requests—that is, because the documents are within the scope of discovery—Complaint Counsel must collect, review, and log such documents, and must formally assert and substantiate its privilege claims. *See In the Matter of Laboratory Corp. of Am.*, 2011 WL 822928, at \*\*3, 5 (F.T.C. Feb. 24, 2011) (recognizing that "[a]ssertion of the deliberative process privileges requires . . . a formal claim of privilege by the head of the department having control over the request" as well as "a detailed specification of the information for which privilege is claimed," and ordering Complaint Counsel to "provide further evidence and briefing in support of its asserted privileges").

# CONCLUSION

For the reasons stated above, Axon respectfully requests that its Motion to Compel be granted.

# Dated: July 14, 2020

Respectfully submitted,

# *s/ Julie E. McEvoy*

Pamela B. Petersen AXON ENTERPRISE, INC. 17800 N 85th St. Scottsdale, AZ 85255-9603 Phone: (623) 326-6016 Facsimile: (480) 905-2027 Email: ppetersen@axon.com

*Counsel for Respondent Axon Enterprise, Inc.* 

Julie E. McEvoy Michael H. Knight Louis K. Fisher Jeremy P. Morrison Debra R. Belott JONES DAY 51 Louisiana Avenue, N.W. Washington, D.C. 20001-2113 Phone: (202) 879-3939 Facsimile: (202) 626-1700 Email: jmcevoy@jonesday.com Email: mhknight@jonesday.com Email: lkfisher@jonesday.com Email: jmorrison@jonesday.com

Aaron M. Healey JONES DAY 250 Vesey Street New York, NY 10281-1047 Phone: (212) 326-3939 Facsimile: (212) 755-7306 Email: ahealey@jonesday.com

Counsel for Respondent Axon Enterprise, Inc.

# UNITED STATES OF AMERICA BEFORE THE FEDERAL TRADE COMMISSION OFFICE OF ADMINISTRATIVE LAW JUDGES

In the Matter of Axon Enterprise, Inc., a corporation, and Safariland, LLC, a corporation.

Docket No. D9389

**PUBLIC DOCUMENT** 

## **RESPONDENT'S MEET AND CONFER STATEMENT**

Pursuant to the Scheduling Order issued on January 30, 2020, Respondent submits this certification that it has conferred with Complaint Counsel in a good faith effort to resolve by agreement the issues raised in this motion, and has been unable to reach such an agreement. On March 17, 2020, Complaint Counsel (Jennifer Milici and Nicole Lindquist) and counsel for Respondent Axon (Pamela Petersen and Aaron Healey) conferred by phone. Complaint Counsel opposes this motion.

## **CERTIFICATE OF SERVICE**

I hereby certify that on July 14, 2020, I filed the foregoing document electronically using the FTC's E-Filing System, which will send notification of such filing to:

April Tabor Acting Secretary Federal Trade Commission 600 Pennsylvania Ave., NW, Rm. H-113 Washington, DC 20580

The Honorable D. Michael Chappell Chief Administrative Law Judge Federal Trade Commission 600 Pennsylvania Ave., NW, Rm. H-110 Washington, DC 20580

I further certify that I delivered via electronic mail a copy of the foregoing document to:

Jennifer Milici J. Alexander Ansaldo Peggy Bayer Femenella Mika Ikeda Nicole Lindquist Lincoln Mayer Merrick Pastore Z. Lily Rudy Dominic Vote Steven Wilensky FEDERAL TRADE COMMISSION 600 Pennsylvania Avenue, NW Washington, DC 20580 Phone: (202) 326-2638 Facsimile: (202) 326-2071 Email: jmilici@ftc.gov Email: jansaldo@ftc.gov Email: pbayer@ftc.gov Email: mikeda@ftc.gov Email: nlindquist@ftc.gov Email: lmayer@ftc.gov Email: mpastore@ftc.gov Email: zrudy@ftc.gov Email: dvote@ftc.gov Email: swilensky@ftc.gov

Counsel for the Federal Trade Commission

Dated: July 14, 2020

s/Julie E. McEvoy

Julie E. McEvoy

# **CERTIFICATE FOR ELECTRONIC FILING**

I certify that the electronic copy sent to the Secretary of the Commission is a true and correct copy of the paper original and that I possess a paper original of the signed documents that is available for review by the parties and the adjudicator.

Dated: July 14, 2020

s/Julie E. McEvoy

Julie E. McEvoy

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# **EXHIBIT** A

# UNITED STATES OF AMERICA BEFORE THE FEDERAL TRADE COMMISSION OFFICE OF ADMINISTRATIVE LAW JUDGES

In the Matter of

Axon Enterprise, Inc. a corporation;

and

Safariland, LLC a corporation. Docket No. D9389

# [PROPOSED] ORDER GRANTING RESPONDENT'S AMENDED MOTION TO COMPEL PRODUCTION OF DOCUMENTS RESPONSIVE TO <u>RESPONDENT'S SECOND SET OF REQUESTS FOR PRODUCTION</u>

Respondent Axon Enterprise, Inc. has filed a Motion to Compel Production of Documents Responsive to Respondent's Second Set of Requests for Production. Having considered the Motion, it is hereby ORDERED that the Motion is GRANTED. It is hereby ORDERED:

1. Axon has demonstrated good cause for the production of "additional discovery of

materials in the possession, custody, or control" of the FTC Bureau of Competition under 16

C.F.R. § 3.31(c)(2).

2. Complaint Counsel's objections to Axon's Second Set of Requests for Production are overruled to the extent they are based on relevance and time scope.

3. Complaint Counsel is hereby ORDERED to produce any documents responsive to Axon's Second Set of Requests for Production as to which Complaint Counsel does not assert any privilege.

4. Consistent with prior practice before the Chief Administrative Law Judge, *see, e.g., In the Matter of Laboratory Corp. of Am.*, 2011 WL 822928, at \*\*3, 5 (F.T.C. Feb. 24, 2011), Complaint Counsel is hereby ORDERED to provide evidence and briefing in support of its asserted privileges. This shall include one or more declarations that will demonstrate, in accordance with applicable legal standards, that each and every document sought to be withheld has in fact been reviewed and is in fact protected from disclosure. Complaint Counsel shall make this filing within 7 business days after the date of this Order.

5. Respondents are hereby ORDERED to file a response to Complaint Counsel's supplemental filing and in further support of their Motion to Compel. Respondents shall make such filing within 7 business days of the date on which Complaint Counsel's submission is due.

SO ORDERED.

D. Michael Chappell Chief Administrative Law Judge

Date:

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# **EXHIBIT B**

# UNITED STATES OF AMERICA BEFORE THE FEDERAL TRADE COMMISSION OFFICE OF ADMINISTRATIVE LAW JUDGES

In the Matter of

Axon Enterprise, Inc. a corporation; **DOCKET NO. 9389** 

PUBLIC

and

Safariland, LLC, a corporation.

# <u>COMPLAINT COUNSEL'S RESPONSES AND OBJECTIONS TO</u> <u>RESPONDENT AXON'S SECOND SET OF</u> REQUESTS FOR PRODUCTION OF DOCUMENTS (NOS. 23-26)

Pursuant to Sections 3.31 and 3.37(b) of the Federal Trade Commission's Rules of

Practice, Complaint Counsel hereby responds to Respondent Axon's Second Set of Requests for

Production of Documents to Complaint Counsel, dated March 3, 2020.

# **GENERAL OBJECTIONS**

The following General Objections apply to each request for documents in Respondent's

requests and are hereby incorporated by reference into our response to each request.

- Complaint Counsel objects to Respondent's requests to the extent the requests are directed to the Federal Trade Commission rather than to Complaint Counsel.
- Complaint Counsel objects to Respondent's requests to the extent they seek to impose duties and obligations upon Complaint Counsel beyond the Commission's Rules of Practice for Adjudicative Proceedings, including seeking the production of documents that are beyond the

scope of permissible discovery under Rule 3.31(c)(1)-(2), or any applicable orders of the Chief Administrative Law Judge Chappell.

- 3. Complaint Counsel objects to Respondent's requests to the extent the requests seek information protected from disclosure by any applicable privilege, doctrine, order, or rule, including the attorney-client privilege, the government deliberative process privilege, informant privilege, law enforcement investigatory privilege, the work product doctrine, Sections 19(c) or 19(g) of the Scheduling Order, Rule 3.31A(e), 3.31(c)(2) or 3.31(c)(4), or any other applicable privilege from disclosure. Complaint Counsel does not, by providing a response to any request, waive or partially waive any applicable privilege or attorney-work product claim.
- 4. Complaint Counsel objects to Respondent's requests to the extent they are overly broad, vague, ambiguous, unduly burdensome, oppressive, and are not reasonably expected to yield information relevant to the allegations of the Complaint, to the proposed relief, or to the defenses of Respondent.
- Complaint Counsel objects to Respondent's requests as unduly burdensome and oppressive to the extent they ask Complaint Counsel to produce documents that are already in Respondent's possession or control, or are in the public record.
- 6. Complaint Counsel's answers to Respondent's requests are given without prejudice to Complaint Counsel's right to produce documents relating to any subsequently discovered facts or to identify or to produce documents that Complaint Counsel obtains in this litigation.

- 7. The failure of Complaint Counsel to object to any document request on a particular ground may not be construed as a waiver of its rights.
- Complaint Counsel reserves all of its evidentiary or other objections to the admissibility of any document in this action, and does not, by any response to any request, waive any objection, stated or unstated.
- 9. The assertion of the same, similar, or additional objections or the provision of partial answers in response to an individual request does not waive any of Complaint Counsel's General or Specific Objections as to that request or any other requests.
- 10. Pursuant to Rule 3.31(g), the inadvertent production of any privileged information shall not constitute a waiver of the applicable privilege.
- 11. Complaint Counsel objects to the requests to the extent they seek documents prepared by experts.
- 12. Complaint Counsel objects to each Request to the extent it purports to attribute any special or unusual meaning to any technical term or phrase. Complaint Counsel will respond to each Request using the ordinary meaning of such term or phrase.
- 13. A partial response by Complaint Counsel to any Request that has been objected to in whole or in part is not a waiver of the objection. By asserting various objections, Complaint Counsel does not waive other objections that may become applicable.

## SPECIFIC OBJECTIONS TO RESPONDENT'S DEFINITIONS

- 14. Complaint Counsel objects to Respondent's Definition 1 of "FTC," "you," and "your" to the extent the requests are directed to the Federal Trade Commission rather than to Complaint Counsel.
- 15. Complaint Counsel objects to Respondent's Definition 15 to the extent Complaint Counsel does not have knowledge of the corporate structures, predecessors-in-interest, affiliates, agents, or representatives identified by Respondent.

## SPECIFIC OBJECTIONS TO RESPONDENT'S INSTRUCTIONS

- 16. Complaint Counsel objects to Respondent's Instruction 2 to the extent it requires Complaint Counsel to produce files in a format other than the format maintained in the ordinary course or the format received from third parties.
- 17. Complaint Counsel objects to Respondent's Instructions 3 and 7 to the extent they requireComplaint Counsel to make changes to document productions it receives from third parties.
- 18. Complaint Counsel objects to Respondent's Instruction 4 to the extent it imposes a burden that is inconsistent with Commission's Rules of Practice for Adjudicative Proceedings.

### SPECIFIC OBJECTIONS AND RESPONSES

Subject to the General Objections and the Specific Objections above and below, and without waiving these objections, Complaint Counsel answers as follows:

#### **REQUEST NO. 23:**

All documents from 2010 to the present containing any discussion or analysis comparing, contrasting, or considering the similarities or differences between: (1) the FTC's Part 3 rules and procedures, including, without limitation, the Rules of Practice for Adjudicative Proceedings (16

C.F.R. § 3.1 *et seq.*); and (2) the rules and procedures applicable in federal district court, including, without limitation, the Federal Rules of Evidence and the Federal Rules of Civil Procedure.

### **RESPONSE:**

In addition to the General Objections, Complaint Counsel specifically objects to this request to the extent it seeks documents that are protected by any privilege against disclosure, including the privileges listed in General Objection 3. Complaint Counsel further objects to this request to the extent it seeks to impose duties and obligations upon Complaint Counsel beyond the Commission's Rules of Practice for Adjudicative Proceedings, including seeking the production of documents that are beyond the scope of permissible discovery under Rule 3.31(c)(2). Complaint Counsel further objects on relevance grounds; the request is not relevant to the allegations in the complaint or answer. Complaint Counsel further objects to overbreadth as to the length time, as request asks for documents since 2010.

### **REQUEST NO. 24:**

All documents regarding or relating in any way to the clearance process or other decisionmaking as to whether the FTC or DOJ would exercise authority over the Axon/Vievu merger and the Motorola/WatchGuard merger.

### **RESPONSE:**

In addition to the General Objections, Complaint Counsel specifically objects to this request to the extent it seeks documents that are protected by any privilege against disclosure, including the privileges listed in General Objection 3. Complaint Counsel further objects to this request to the extent it seeks to impose duties and obligations upon Complaint Counsel beyond the Commission's Rules of Practice for Adjudicative Proceedings, including seeking the production of documents that are beyond the scope of permissible discovery under Rule

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3.31(c)(2). Complaint Counsel further objects on relevance grounds; the request is not relevant to the allegations in the complaint or answer.

#### **REQUEST NO. 25:**

All documents and communications regarding or relating in any way to the clearance or other decision-making process of allocating matters between the FTC and the DOJ following the Antitrust Division's withdrawal from the 2002 Clearance Agreement, including, without limitation:

- a) Internal memos, informal agreements, guidance, analyses of each agency's expertise, proposals, or other documents providing any justification or basis for which agency will assume responsibility for a particular merger category or industry;
- b) Analysis, comment, or objections to the Report and Recommendations of the Antitrust Modernization Commission (April 2007) regarding the merger clearance process; and
- c) Statistics, summaries, or reports regarding the FTC's win rate or success in Part 3 enforcement actions from 1995 to the present, and any documents reporting, analyzing, or comparing such statistics to the outcome of merger challenges by the FTC or DOJ in federal district court during the same period.

#### **RESPONSE:**

In addition to the General Objections, Complaint Counsel specifically objects to this request to the extent it seeks documents that are protected by any privilege against disclosure, including the privileges listed in General Objection 3. Complaint Counsel further objects to this request to the extent it seeks to impose duties and obligations upon Complaint Counsel beyond the Commission's Rules of Practice for Adjudicative Proceedings, including seeking the production of documents that are beyond the scope of permissible discovery under Rule 3.31(c)(2). Complaint Counsel further objects on relevance grounds; the request is not relevant

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to the allegations in the complaint or answer. Complaint Counsel further objects to overbreadth as to length of time, as the request asks for documents since 1995.

## **REQUEST NO. 26:**

All communications you have had with any other Federal agency or department relating to Respondents.

## **RESPONSE:**

In addition to the General Objections, Complaint Counsel specifically objects to this request to the extent it seeks documents that are protected by any privilege against disclosure, including the privileges listed in General Objection 3. Complaint Counsel further objects to this request to the extent it seeks to impose duties and obligations upon Complaint Counsel beyond the Commission's Rules of Practice for Adjudicative Proceedings, including seeking the production of documents that are beyond the scope of permissible discovery under Rule 3.31(c)(2). Complaint Counsel further objects on relevance grounds; the request is not relevant to the allegations in the complaint or answer. Complaint Counsel further objects to overbreadth, as there is no timeframe stated in Respondent's request.

# **CERTIFICATE OF SERVICE**

I hereby certify that on March 12, 2020, I caused the foregoing document to be served via email on:

Julia E. McEvoy Michael Knight Jeremy P. Morrison Debra R. Belott Jones Day 51 Louisiana Avenue, N.W. Washington, D.C. 20001-2113 jmcevoy@jonesday.com mhknight@jonesday.com jmorrison@jonesday.com dbelott@jonesday.com Aaron M. Healey Jones Day 250 Vesey St. New York, New York 10281-1047 ahealey@jonesday.com Lee Van Voorhis Jenner & Block LLP 1099 New York Ave NW # 900 Washington, DC 20001 LVanVoorhis@jenner.com Counsel for Respondent Axon Enterprise, Inc. Joseph Ostoyich Christine Ryu-Naya Caroline Jones Baker Botts LLP 1299 Pennsylvania Ave. NW # 200 Washington, D.C. 20004 joseph.ostoyich@bakerbotts.com christine.ryu-naya@bakerbotts.com caroline.jones@bakerbotts.com Counsel for Respondent

Safariland, LLC

*s/ Nicole Lindquist* Nicole Lindquist

Federal Trade Commission 600 Pennsylvania Avenue, N.W. Washington, D.C. 20580 (202) 326-3672 nlindquist@ftc.gov

Complaint Counsel

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# **EXHIBIT C**

From: Sent: To:

Cc: Subject:

Aaron,

Thank you. We do not disagree with any of your statements below. To be clear, in addition to the objections we discussed on the phone, our response raised additional objections that we also have not waived and continue to assert.

Thanks,

**Jennifer Milici** | Federal Trade Commission Chief Trial Counsel, Bureau of Competition 400 7<sup>th</sup> Street SW, Washington, DC 20024 Tel: 202-326-2912 |Email: <u>imilici@ftc.gov</u>

From: Healey, Aaron M. <ahealey@jonesday.com>
Sent: Tuesday, March 17, 2020 5:11 PM
To: Milici, Jennifer <jmilici@ftc.gov>; Lindquist, Nicole <nlindquist@ftc.gov>; McEvoy, Julie E.
<jmcevoy@jonesday.com>; Knight, Michael H. <mhknight@JonesDay.com>; Morrison, Jeremy P.
<jmorrison@jonesday.com>; Belott, Debra R. <dbelott@JonesDay.com>; LVanVoorhis@jenner.com;
joseph.ostoyich@bakerbotts.com; christine.ryu-naya@bakerbotts.com; caroline.jones@bakerbotts.com; Lacy Owen,
Megan <mlacyowen@jonesday.com>; Fisher, Louis K. <lkfisher@JonesDay.com>; Pam Petersen <ppetersen@axon.com>
Cc: 1032-FTC-Service-Axon-D9389-DL <1032-FTC-Service-Axon-D9389-DL@ftc.gov>
Subject: RE: Docket No. 9389 In the Matter of Axon Enterprise, Inc. and Safariland, LLC; CC Resp. and Objections to
Respondent's Second RFP

## Jennifer:

Thank you for the time this afternoon. I write to confirm our discussion during the meet and confer. We understand that, in response to Axon's second set of requests for production, Complaint Counsel intends to stand on its objections as to both privilege and under 3.31(c)(2) and will not be producing any responsive documents, save a single document responsive to Request #26 that was previously produced with the investigative file. Further, we understand that, at this time, there are no modifications to the scope of these requests that will alter Complaint Counsel's position. Therefore, Axon believes the parties are at impasse. If I have misunderstood anything from our call or if you believe further discussions would be productive, please let me know.

Stay safe and well.

Regards,

Aaron

Aaron M. Healey (bio) Partner JONES DAY® - One Firm Worldwide<sup>s</sup>™ 250 Vesey Street New York, NY 10281-1047 Office +1.212.326.3811

From: Milici, Jennifer <jmilici@ftc.gov>
Sent: Monday, March 16, 2020 5:12 PM
To: Healey, Aaron M. <ahealey@jonesday.com>; Lindquist, Nicole <nlindquist@ftc.gov>; McEvoy, Julie E.
<jmcevoy@jonesday.com>; Knight, Michael H. <mhknight@JonesDay.com>; Morrison, Jeremy P.
<jmorrison@jonesday.com>; Belott, Debra R. <dbelott@JonesDay.com>; LVanVoorhis@jenner.com;
joseph.ostoyich@bakerbotts.com; christine.ryu-naya@bakerbotts.com; caroline.jones@bakerbotts.com; Lacy Owen,
Megan <mlacyowen@jonesday.com>; Fisher, Louis K. <lkfisher@JonesDay.com>; Pam Petersen <ppetersen@axon.com>
Cc: 1032-FTC-Service-Axon-D9389-DL <1032-FTC-Service-Axon-D9389-DL@ftc.gov>
Subject: RE: Docket No. 9389 In the Matter of Axon Enterprise, Inc. and Safariland, LLC; CC Resp. and Objections to
Respondent's Second RFP

Counsel:

How about 4 pm?

thanks

From: Healey, Aaron M. <<u>ahealey@jonesday.com</u>>

Sent: Monday, March 16, 2020 3:24 PM

**To:** Lindquist, Nicole <<u>nlindquist@ftc.gov</u>>; McEvoy, Julie E. <<u>jmcevoy@jonesday.com</u>>; Knight, Michael H.

<<u>mhknight@JonesDay.com</u>>; Morrison, Jeremy P. <<u>jmorrison@jonesday.com</u>>; Belott, Debra R.

<<u>dbelott@JonesDay.com</u>>; <u>LVanVoorhis@jenner.com</u>; <u>joseph.ostoyich@bakerbotts.com</u>; <u>christine.ryu-</u>

<u>naya@bakerbotts.com</u>; <u>caroline.jones@bakerbotts.com</u>; Lacy Owen, Megan <<u>mlacyowen@jonesday.com</u>>; Fisher, Louis K. <<u>lkfisher@JonesDay.com</u>>; Pam Petersen <<u>ppetersen@axon.com</u>>

Cc: 1032-FTC-Service-Axon-D9389-DL <<u>1032-FTC-Service-Axon-D9389-DL@ftc.gov</u>>

**Subject:** RE: Docket No. 9389 In the Matter of Axon Enterprise, Inc. and Safariland, LLC; CC Resp. and Objections to Respondent's Second RFP

Counsel:

Please let us know your availability tomorrow to meet and confer regarding Complaint Counsel's responses and objections to Axon's second set of requests for production.

Regards, Aaron

Aaron M. Healey (bio) Partner JONES DAY® - One Firm Worldwide<sup>s</sup>™ 250 Vesey Street New York, NY 10281-1047 Office +1.212.326.3811 From: Lindquist, Nicole <<u>nlindquist@ftc.gov</u>>
Sent: Thursday, March 12, 2020 4:51 PM
To: McEvoy, Julie E. <<u>jmcevoy@jonesday.com</u>>; Knight, Michael H. <<u>mhknight@JonesDay.com</u>>; Morrison, Jeremy P.
<<u>jmorrison@jonesday.com</u>>; Belott, Debra R. <<u>dbelott@JonesDay.com</u>>; Healey, Aaron M. <<u>ahealey@jonesday.com</u>>; LVanVoorhis@jenner.com; joseph.ostoyich@bakerbotts.com; christine.ryu-naya@bakerbotts.com;
caroline.jones@bakerbotts.com
Cc: 1032-FTC-Service-Axon-D9389-DL <<u>1032-FTC-Service-Axon-D9389-DL@ftc.gov</u>>
Subject: Docket No. 9389 In the Matter of Axon Enterprise, Inc. and Safariland, LLC; CC Resp. and Objections to Respondent's Second RFP

Counsel,

Please find Complaint Counsel's responses and objections to Respondent's second set of requests for production of documents.

Best regards, Nicole

Nicole Lindquist Mergers II Division | Bureau of Competition Federal Trade Commission 400 7th Street SW, Washington, DC 20024 Tel: 202.326.3672| <u>NLindquist@FTC.gov</u>

\*\*\*This e-mail (including any attachments) may contain information that is private, confidential, or protected by attorney-client or other privilege. If you received this e-mail in error, please delete it from your system without copying it and notify sender by reply e-mail, so that our records can be corrected.\*\*\* \*\*\*This e-mail (including any attachments) may contain information that is private, confidential, or protected by attorney-client or other privilege. If you received this e-mail in error, please delete it from your system without copying it and notify sender by reply e-mail, so that our records can be corrected.\*\*\* I hereby certify that on July 14, 2020, I filed an electronic copy of the foregoing Respondent's Amended Motion to Compel Production of Documents Responsive to Respondent's Second Set of Requests for Production, with:

D. Michael Chappell Chief Administrative Law Judge 600 Pennsylvania Ave., NW Suite 110 Washington, DC, 20580

Donald Clark 600 Pennsylvania Ave., NW Suite 172 Washington, DC, 20580

I hereby certify that on July 14, 2020, I served via E-Service an electronic copy of the foregoing Respondent's Amended Motion to Compel Production of Documents Responsive to Respondent's Second Set of Requests for Production, upon:

Julie E. McEvoy Jones Day jmcevoy@jonesday.com Respondent

Michael H. Knight Jones Day mhknight@jonesday.com Respondent

Louis K. Fisher Jones Day lkfisher@jonesday.com Respondent

Debra R. Belott Jones Day dbelott@jonesday.com Respondent

Jeremy P. Morrison Jones Day jmorrison@jonesday.com Respondent

Aaron M. Healey Jones Day ahealey@jonesday.com Respondent

Jennifer Milici Attorney Federal Trade Commission jmilici@ftc.gov Complaint

J. Alexander Ansaldo Attorney Federal Trade Commission jansaldo@ftc.gov Complaint

Peggy Bayer Femenella Attorney Federal Trade Commission pbayer@ftc.gov Complaint

Mika Ikeda Attorney Federal Trade Commission mikeda@ftc.gov Complaint

Nicole Lindquist Attorney Federal Trade Commission nlindquist@ftc.gov Complaint

Lincoln Mayer Attorney Federal Trade Commission Imayer@ftc.gov Complaint

Merrick Pastore Attorney Federal Trade Commission mpastore@ftc.gov Complaint

Z. Lily Rudy Attorney Federal Trade Commission zrudy@ftc.gov Complaint

Dominic Vote Attorney Federal Trade Commission dvote@ftc.gov Complaint

Steven Wilensky Attorney Federal Trade Commission swilensky@ftc.gov Complaint

Pamela B. Petersen Director of Litigation Axon Enterprise, Inc. ppetersen@axon.com Respondent

Joseph Ostoyich Partner Baker Botts LLP joseph.ostoyich@bakerbotts.com Respondent

Christine Ryu-Naya Baker Botts LLP christine.ryu-naya@bakerbotts.com Respondent

Caroline Jones Associate Baker Botts LLP caroline.jones@bakerbotts.com Respondent

Llewellyn Davis Attorney U.S. Federal Trade Commission Idavis@ftc.gov Complaint

William Hine Hine & Ogulluk LLP wjhine@hineogulluk.com Respondent

Sevan Ogulluk Hine & Ogulluk LLP sogulluk@hineogulluk.com Respondent

Brian Hine Hine & Ogulluk LLP bwhine@hineogulluk.com Respondent

Blake Risenmay Attorney U.S. Federal Trade Commission brisenmay@ftc.gov Complaint

Emily Hutson Associate Baker Botts LLP emily.hutson@bakerbotts.com Respondent

Susan A. Musser Attorney U.S. Federal Trade Commission smusser@ftc.gov Complaint

Aaron Healey

Attorney