

Verne, B. Michael

From: Bruno, Marian
Sent: Tuesday, March 16, 2004 11:40 AM
To: Ovuka, Nancy M.; Verne, B. Michael
Subject: FW: 4(c) author issue

Let's discuss this later.

-----Original Message-----

From: [REDACTED]
Sent: Tuesday, March 16, 2004 10:09 AM
To: Bruno, Marian
Cc: [REDACTED]
Subject: 4(c) author issue

Marian - here's the sort of issues that have arisen in identifying 4(c) authors and which we'd like to discuss. Supervisor A asks his team to prepare a presentation either for Supervisor A to present to Boss B or for Boss B to present to Superboss C. Supervisor A's team prepared the document and he may or may not have made any changes; in some cases he may not even have reviewed it. In all those cases, though, we think it is appropriate to identify the author as having been "prepared under the direction of Supervisor A." We would not list individual authors and understand this "prepared under the supervision of" language is often used in preparing 4(c) indexes.

If, instead, we were to try to list individual authors, in some cases it's fairly easy to identify core authors, or those situations where there were only a few, clearly identifiable authors. So there may be occasions when we take that approach.

In other cases, however, the core authors may have asked someone for a page, for a few bullets or even just taken a page from a previous pitch. Listing everyone who may have contributed an idea seems overly burdensome and possibly uninformative. (If the work of the author of a page in a previous presentation is copied and that author is identified as an author of a new document, he may not even have seen the document.) In those situations, we would think the author should be identified as the person under whose direction the document was prepared or by the core authors as they identify themselves.

Where possible, identify all that are known in addition to supervisor.

We look forward to your views on these issues; let us know when you're available to discuss them. Thanks very much.

OK.
TC w/ [REDACTED]
3/16/04