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UNITED STATES DISTRICT COURT  
FOR THE NORTHERN DISTRICT OF GEORGIA  
ATLANTA DIVISION

JAN 19 2011

JAMES N. HATTEN, Clerk  
By: *[Signature]*  
Deputy Clerk

**UNITED STATES OF AMERICA,**

Plaintiff,

v.

**GOTHIC LENS, LLC**, a Georgia  
Limited Liability Corporation, also  
doing business as Kim Lens  
Sales, and

**DA YOUNG KIM**, also doing business  
as Gothic Lens and Kim Lens  
Sales, individually, and in her  
official capacity as managing  
member of **GOTHIC LENS, LLC**,

Defendants.

Case No. \_\_\_\_\_

**COMPLAINT FOR CIVIL  
PENALTIES, PERMANENT  
INJUNCTION,  
AND OTHER RELIEF**

**TWT**

**1 : 11 - CV - 015 9**

Plaintiff, the United States of America, acting upon notification and authorization to the Attorney General by the Federal Trade Commission ("FTC" or "Commission"), for its Complaint alleges that:

1. Plaintiff brings this action under Sections 9(a) and 9(b) of the Fairness to Contact Lens Consumers Act ("FCLCA"), 15 U.S.C. §§ 7608(a) and (b), and Sections 5(a)(1), 5(m)(1)(A), 13(b), 16(a), and 19 of the Federal Trade Commission Act ("FTC Act"), 15 U.S.C. §§ 45(a)(1), 45(m)(1)(A), 53(b), 56(a), and 57b, to obtain monetary civil

penalties, a permanent injunction, and other equitable relief for Defendants' violations of the Contact Lens Rule ("Rule"), 16 C.F.R. Part 315.

### **JURISDICTION AND VENUE**

2. This Court has subject matter jurisdiction pursuant to 28 U.S.C. §§ 1331, 1337(a), 1345, and 1355, and under 15 U.S.C. §§ 45(a) and (m)(1)(A), 53(b), 56(a), and 7608(a).

3. Venue is proper in this District under 28 U.S.C. §§ 1391(b)-(c) and 1395(a), and 15 U.S.C. § 53(b).

### **DEFINITIONS**

4. For purposes of this Complaint, the terms "contact lens," "contact lens prescription," "direct communication," and "prescriber" are defined as those terms are defined in Section 315.2 of the Rule, 16 C.F.R. § 315.2.

### **THE CONTACT LENS RULE**

5. Congress enacted the FCLCA, 15 U.S.C. §§ 7601-7610, in 2003. The FCLCA directed the FTC to promulgate a trade regulation rule implementing the FCLCA. The Commission promulgated the Rule, 16 C.F.R. Part 315, on July 2, 2004, pursuant to Section 8 of the FCLCA, 15 U.S.C. § 7607, and Section 553 of the Administrative Procedure Act, 5 U.S.C. § 553. The Rule went into effect on August 2, 2004.

6. On November 9, 2005, Congress amended the Federal Food, Drug, and Cosmetic Act, 21 U.S.C. §§ 301-399 to state that all contact lenses, including non-

corrective, decorative (“plano”) contact lenses, are restricted medical devices. 21 U.S.C. § 360j(n). Consequently, the Rule applies to both corrective and plano contact lenses.

7. Under the Rule, contact lens sellers may sell contact lenses only in accordance with a contact lens prescription for the patient that is either presented to the seller or verified by direct communication with the prescriber. 16 C.F.R. § 315.5(a).

8. The Rule further requires contact lens sellers to maintain records of the contact lens prescriptions presented to the seller, the seller’s verification requests, and direct communications from prescribers. 16 C.F.R. § 315.5(f).

9. Pursuant to Section 9(a) of the FCLCA, 15 U.S.C. § 7608(a), and Section 18(d)(3) of the FTC Act, 15 U.S.C. § 57a(d)(3), a violation of the Rule constitutes an unfair or deceptive act or practice, in violation of Section 5(a)(1) of the FTC Act, 15 U.S.C. § 45(a)(1).

#### **DEFENDANTS**

10. Defendant Gothic Lens LLC (“Gothic Lens”), also doing business as Kim Lens Sales, is a Georgia limited liability company with its principal place of business at 4370 Satellite Boulevard #1109, Duluth, GA 30096. Gothic Lens transacts or has transacted business in this district. At times material to this Complaint, acting alone or in concert with others, Gothic Lens has advertised, marketed, distributed, or sold decorative, non-corrective (“plano”) contact lenses to consumers through the [www.gothiclens.com](http://www.gothiclens.com) website.

11. Defendant Da Young Kim (“Da Young Kim”) is the manager and sole member of Gothic Lens, and has also done business as Gothic Lens and Kim Lens Sales. At all times material to this Complaint, acting alone or in concert with others, she has formulated, directed, controlled, had the authority to control, or participated in the acts and practices set forth in this Complaint. Da Young Kim resides in this district and, in connection with the matters alleged herein, transacts or has transacted business in this district.

### **COMMERCE**

12. The acts and practices of Defendants alleged in this Complaint have been in or affecting commerce, as “commerce” is defined in Section 4 of the FTC Act, 15 U.S.C. § 44.

### **DEFENDANTS’ COURSE OF CONDUCT**

13. Since at least October 2006, Da Young Kim has advertised and sold plano contact lenses to consumers, including through her business, Gothic Lens, and the website, [www.gothiclens.com](http://www.gothiclens.com).

14. Defendants have sold plano contact lenses to consumers without obtaining the consumers’ contact lens prescription or verifying the prescription by direct communication with the prescriber.

15. Defendants have failed to maintain records of the consumers’ contact lens prescriptions, Defendants’ verification requests, or Defendants’ direct communications

from the contact lens prescribers.

#### **DEFENDANTS' VIOLATIONS OF THE CONTACT LENS RULE**

16. In numerous instances, in connection with the advertising and sale of plano contact lenses, Defendants have sold plano contact lenses to consumers without obtaining the consumers' contact lens prescription or verifying the prescription by direct communication with the prescriber. Therefore, Defendants have violated Section 315.5(a) of the Contact Lens Rule, 16 C.F.R. § 315.5(a).

17. In numerous instances, in connection with the advertising and sale of plano contact lenses, Defendants have failed to maintain records of the consumers' contact lens prescriptions, the Defendants' verification requests, and Defendants' direct communications from the contact lens prescribers. Therefore, Defendants have violated Section 315.5(f) of the Contact Lens Rule, 16 C.F.R. § 315.5(f).

#### **DEFENDANTS' VIOLATIONS OF THE FTC ACT**

18. Section 5(a) of the FTC Act, 15 U.S.C. § 45(a), prohibits "unfair or deceptive acts or practices in or affecting commerce."

19. Pursuant to Section 9(a) of the FCLCA, 15 U.S.C. § 7608(a), and Section 18(d)(3) of the FTC Act, 15 U.S.C. § 57a(d)(3), a violation of the Rule constitutes an unfair or deceptive act or practice, in violation of Section 5(a)(1) of the FTC Act, 15 U.S.C. § 45(a)(1).

20. By and through the acts and practices described in Paragraphs 16-17 above, Defendants have violated Section 5(a)(1) of the FTC Act, 15 U.S.C. § 45(a)(1).

**THE COURT'S POWER TO GRANT RELIEF**

21. Defendants have violated the Rule as described above with the knowledge required by Section 5(m)(1)(A) of the FTC Act, 15 U.S.C. § 45(m)(1)(A).

22. Each sale of plano contact lenses that was completed from November 9, 2005 through the filing of this Complaint, and each failure to maintain records for such sales, that violates the Rule in one or more of the ways described above, constitutes a separate violation for which Plaintiff seeks monetary civil penalties.

23. Section 5(m)(1)(A) of the FTC Act, 15 U.S.C. § 45(m)(1)(A), as modified by Section 4 of the Federal Civil Penalties Inflation Adjustment Act of 1990, 28 U.S.C. § 2461, and Section 1.98(d) of the FTC's Rules of Practice, 16 C.F.R. § 1.98(d), authorize this Court to award monetary civil penalties of not more than \$11,000 for each such violation of the Rule prior to February 10, 2009 and \$16,000 for each such violation of the Rule on or after February 10, 2009.

24. Under Section 13(b) of the FTC Act, 15 U.S.C. § 53(b), this Court is authorized to issue a permanent injunction against Defendants' violations of the FTC Act, as well as such ancillary relief as may be just and proper.

**PRAYER FOR RELIEF**

WHEREFORE, Plaintiff, pursuant to Sections 5(a)(1), 5(m)(1)(A), 13(b), and 19 of the FTC Act, 15 U.S.C. §§ 45(a)(1), 45(m)(1)(A), 53(b), and 57b, and the Court's own equitable powers, requests that the Court:

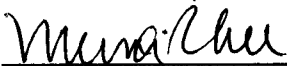
- (1) Enter a permanent injunction to prevent future violations of the FTC Act and the Contact Lens Rule by the Defendants;
- (2) Award Plaintiff monetary civil penalties from Defendants for each violation of the Rule alleged in this complaint; and
- (3) Award Plaintiff the costs of bringing this action, as well as such other and additional relief as the Court may determine to be just and proper.

DATED: January 19, 2011

FOR THE UNITED STATES OF  
AMERICA:

TONY WEST  
Assistant Attorney General  
Civil Division  
U.S. DEPARTMENT OF JUSTICE

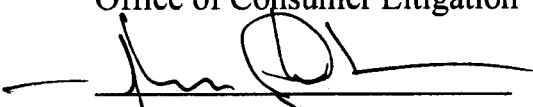
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