UNITED STATES OF AMERICA BEFORE FEDERAL TRADE COMMISSION

In the matter of)
)
NATIONAL ACADEMY)	File No. 011-0242
OF ARBITRATORS,)
)
)
a corporation.)
)

AGREEMENT CONTAINING CONSENT ORDER

The Federal Trade Commission ("Commission"), having initiated an investigation of certain acts and practices of the National Academy of Arbitrators ("NAA"), and it now appearing that NAA, hereinafter sometimes referred to as "Proposed Respondent," is willing to enter into this Agreement Containing Consent Order ("Consent Agreement") providing for relief with respect to such practices:

IT IS HEREBY AGREED by and between Proposed Respondent, by its duly authorized officers and attorneys, and counsel for the Commission that:

- 1. Proposed Respondent National Academy of Arbitrators, is a corporation organized, existing and doing business under and by virtue of the laws of the State of Michigan with its office and principal place of business located at Suite 600-A, 1121 Boyce Road, Pittsburgh, Pennsylvania 15241.
- 2. Proposed Respondent admits all the jurisdictional facts set forth in the draft of Complaint here attached.
- 3. Proposed Respondent waives:
 - (a) any further procedural steps;

- (b) the requirement that the Commission's Decision and Order ("Order"), here attached and made a part hereof, contain a statement of findings of fact and conclusions of law;
- (c) all rights to seek judicial review or otherwise to challenge or contest the validity of the Order entered pursuant to this Consent Agreement; and
- (d) any claim under the Equal Access to Justice Act.
- 4. This Consent Agreement shall not become part of the public record of the proceeding unless and until it is accepted by the Commission. If this Consent Agreement is accepted by the Commission it, together with the draft of Complaint contemplated thereby, will be placed on the public record for a period of thirty (30) days and information in respect thereto publicly released. The Commission thereafter may either withdraw its acceptance of this Consent Agreement and so notify Proposed Respondent, in which event it will take such action as it may consider appropriate, or issue and serve its Complaint (in such form as the circumstances may require) and Order, in disposition of the proceeding.
- 5. This Consent Agreement is for settlement purposes only and does not constitute an admission by Proposed Respondent that the law has been violated as alleged in the draft of Complaint here attached, or that the facts as alleged in the draft Complaint, other than jurisdictional facts, are true.
- 6. This Consent Agreement contemplates that, if it is accepted by the Commission, and if such acceptance is not subsequently withdrawn by the Commission pursuant to the provisions of Commission Rule 2.34, 16 C.F.R. § 2.34, the Commission may, without further notice to Proposed Respondent, (1) issue and serve its Complaint corresponding in form and substance with the draft Complaint here attached, (2) issue and serve its Decision and Order to cease and desist in disposition of the proceeding, and (3) make information public in respect thereto. When final, the Decision and Order shall have the same force and effect and may be altered, modified or set aside in the same manner and within the same time provided by statute for other orders. The Decision and Order shall become final upon service. Delivery of the Complaint and the Decision and Order to Proposed Respondent's address as stated in this Consent Agreement by any means specified in Commission Rule 4.4(a), 16 C.F.R. § 4.4(a), shall constitute service. Proposed Respondent waives any right it may have to any other manner of service. The Complaint may be used in construing the terms of the Decision and Order, and no agreement, understanding, representation, or interpretation not contained in the Decision

and Order or the Consent Agreement may be used to vary or contradict the terms of the Decision and Order.

- 7. By signing this Consent Agreement, Proposed Respondent represents and warrants that it can comply with the provisions of, and can accomplish the full relief contemplated by, the attached Decision and Order, and that all parents, subsidiaries, affiliates, and successors necessary to effectuate the full relief contemplated by this Consent Agreement are parties to the Consent Agreement.
- 8. Proposed Respondent has read the proposed Complaint and Decision and Order contemplated hereby. It understands that once the Decision and Order has been issued, it will be required to file one or more compliance reports showing that it has fully complied with the Decision and Order. Proposed Respondent further understands that it may be liable for civil penalties in the amount provided by law for each violation of the Decision and Order after it becomes final.

Signed this _____ day of _____ 2002.

National Academy of Arbitrators

By:

Richard I Bloch President National Academy of Arbitrators Federal Trade Commission

By:

Veronica G. Kayne Wilmer, Cutler & Pickering Counsel for National Academy of Arbitrators Senior Litigator Harry M. Schwirck Attorney Bureau of Competition

L. Barry Costilo

Approved:

Richard B. Dagen Assistant Director Bureau of Competition

M. Sean Royall Deputy Director Bureau of Competition

Joseph J. Simons Director Bureau of Competition