Evaluating the Contextual Integrity of Privacy Regulations: Parents' IoT Privacy Norms Versus COPPA

Noah Apthorpe, Sarah Varghese, Nick Feamster

Princeton University Center for Information Technology Policy

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COPPA & "Smart" Toys

 FTC updated COPPA guidance in 2017 to include "connected toys or other Internet of Things devices"









Research Questions

 Do COPPA-mandated data handling practices for smart toys align with parents' privacy norms?

 How can we test whether privacy regulations align with social and cultural privacy norms?



Contextual Integrity Survey

Generate 1056 descriptions of smart toy information flows using CI

Sender

- a toy walkie-talkie
- a smart doll
- a toy robot
- ...

Subject & Attribute

- its owner's child's location
- audio of its owner's child
- its owner's child's birthday
- ..

Recipient

- its manufacturer
- a third-party service provider



Contextual Integrity Survey

Generate 1056 descriptions of smart toy information flows using CI

Transmission principles from FTC's 6-step COPPA compliance plan

- if its privacy policy permits it
- if its owner has given verifiable consent
- if its owner can revoke their consent, review, or delete the information collected
- if it implements reasonable procedures to protect the information collected
- if the information is stored for as long as is reasonably necessary for the purpose for which it was collected





Contextual Integrity Survey

 Ask 195 U.S. parents of children ages 3-13 to rate information flow acceptability

A **toy walkie-talkie** records **the times it is used**. How acceptable is it for the toy walkie-talkie to send this information to **its manufacturer** under the following conditions?

	Completely unacceptable	Somewhat unacceptable	Neutral	Somewhat acceptable	Completely acceptable	Doesn't make sense
if the information is stored for as long as is reasonably necessary for the purpose for which it was collected	0	0	0	0	0	0
if the information is deleted	0	0	0	0	0	0



Compare acceptability scores

- With and without COPPA data collection practices
- Across parameters & participant demographics





Results: Norms & COPPA

 COPPA data handling practices made unacceptable flows significantly more acceptable

- COPPA guidelines are broad, leaving room for implementation variations
- Many smart toys still do not comply





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Results: Notification & Consent

 Notification & consent > confidentiality & security for information flow acceptability

> Existing consent mechanisms are ineffective



Results: COPPA Familiarity

 The 67% of participants familiar with COPPA were more accepting of data collection in general

False sense of security?



Takeaways

- Regulation can help align data collection with privacy norms
- Variations across user demographics, implementations, and other contextual factors must be taken into account



Future Work

CI survey method → Other privacy regulation

Longitudinal surveys → Norm changes over time

Larger sample sizes → Reliability & diversity



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