UNITED STATES OF AMERICA BEFORE THE FEDERAL TRADE COMMISSION OFFICE OF ADMINISTRATIVE LAW JUDGES



In the Matter of

McWANE, INC., Respondent. PUBLIC

DOCKET NO. 9351

COMPLAINT COUNSEL'S RESPONSE IN OPPOSITION TO MOTION OF NON-PARTY SIGMA CORPORATION TO QUASH SUBPOENA

Sigma Corporation's Motion to Quash Complaint Counsel's Subpoena ("Motion") should be denied. Complaint Counsel has been meeting and conferring with counsel for Sigma since July 17, 2012, when Complaint Counsel provided Sigma with a list of all Sigma documents, identified by Bates and CX number, that Complaint Counsel intends to offer as evidence in this matter. In that letter, Complaint Counsel notified Sigma that it would accept a declaration conforming with Rule 3.43(c) in lieu of a deposition to establish admissibility of those documents. Sigma has flatly refused to provide such a declaration for any documents. Similarly, McWane has refused to narrow the scope of its objections.

Sigma and McWane's intransigence should be viewed in the context of the treble damages price-fixing litigation facing McWane, Sigma and Star Pipe Products Ltd. ("Star"), in which McWane and Sigma have a joint defense agreement. *See* In Re Ductile Iron Pipe Fittings Direct Purchaser Antitrust Litigation, Civ. No. 12-711 (D. N.J); Declaration of Edward Hassi ("Hassi-Dec") ¶3. In a call with Complaint Counsel on August 29, 2012, Sigma's counsel stated his concern that a document admitted in this proceeding as a business record may be treated as a business record in the private action. Hassi-Dec ¶3. With their interests aligned, Sigma and

McWane leave Complaint Counsel with the only option of seeking a deposition regarding the admissibility of Sigma documents.

In accord with the Scheduling Order and pursuant to Rules 3.33(a) and (c)(1), on August 27, 2012, Complaint Counsel issued a subpoena seeking a deposition on the authenticity and admissibility of the Sigma documents that Complaint Counsel intends to introduce at trial ("Subpoena").¹ The Subpoena is timely because the Scheduling Order explicitly permits discovery regarding authentication and admissibility after the close of fact discovery. Because the Subpoena is not unduly burdensome, Sigma has failed to meet its burden to quash a subpoena under Rule 3.31(c)(2), *i.e.*, by showing that the subpoena is vague, requires an *unreasonable* response date, is *unreasonably* cumulative, or that the burden to Sigma outweighs its likely benefit.

I. SIGMA HAS NOT MET ITS BURDEN OF SHOWING THAT COMPLAINT COUNSEL'S SUBPOENA IS UNDULY BURDENSOME.

"A party seeking to quash a subpoena has the burden of demonstrating that the request is unduly burdensome" or that the burden of the proposed discovery outweighs its likely benefit. *In re Laboratory Corporation of America*, Docket No. 9345, at 3 (F.T.C. Feb. 28, 2011) (*citing* 16 C.F.R. §3.31(c)(2) and several cases). Sigma's Motion fails to meet this standard.

¹ Complaint Counsel's Subpoena identifies 422 Sigma documents on Complaint Counsel's proposed exhibit list. Sigma has not offered a 3.43(c) declaration for a single document. Complaint Counsel remains willing to narrow the list of documents for which a deposition is necessary by eliminating those to which Respondent has not objected, or has withdrawn its objection, and those to which Sigma can provide a declaration that satisfies 3.43(c). Declaration of Alexander Ansaldo ("Ansaldo-Dec"), ¶¶14-15.

A. Evidence of Admissibility of Sigma Documents Are Highly Relevant to the Hearing To This Proceeding.

This Court requires compliance with subpoenas that will adduce evidence relevant to the hearing. *See id.* As shown by their extensive citation in Complaint Counsel's pre-trial briefs, Sigma's documents are crucial. For example, one of the Sigma documents that is not yet admitted is an internal Sigma email from a Sigma employee to Larry Rybacki, Sigma's then National Sales Manager, that Rick Tatman, Vice President and General Manager of McWane's fitting division, had complained about Sigma's prices and hoped that Sigma would "do [their] part." *See* CX 1124. Other examples include foundational documents, such as Sigma employees' transmission of Sigma pricing letters or receipt of competitors' letters (e.g. CX 0893; CX 1396; CX 1401; CX 1751; CX 2252; CX 2445) and Sigma presentations to financial institutions and its Board of Directors. Complaint Counsel seeks a deposition to establish admissibility of these and other key Sigma documents under Commission Rules 3.43(b), which provides for broad admissibility of documents, or alternatively, under Rule 3.43(c). Hassi-Dec, **¶**5.

Sigma claims that "the deposition will not likely lead to establishing any of the documents as an admissible business record." Motion at 8. The examples provided by Sigma, however, show why a document deposition is necessary. At a deposition, Complaint Counsel will seek discovery regarding the circumstances the documents' creation, the activity that Sigma was engaged in when preparing the documents, and Sigma's practices with respect to such documents in order to establish admissibility under Commission Rule 3.43.²

² Sigma cites *Versata Software* for the proposition that a document created days after the events described cannot be a business record, but that case excluded a document because it did not meet other prongs of the business record exception, not because it was created days later. *Versata Software, Inc. v. Internet Brands, Inc.*, 2012 WL 2595275, at *9 (E.D. Tex. July 5, 2012).

B. Complaint Counsel's Subpoena is Not Vague and Provides a Reasonable Response Date.

The Subpoena identifies the topic of deposition as "[t]he authenticity and admissibility under the provisions of Rule 3.43 of the Rules of Practice for Adjudicative Proceedings of the Federal Trade Commission, 16 C.F.R. §3.43, of the documents listed in Attachment A." Declaration of Alexander Ansaldo ("Ansaldo-Dec"), ¶14. The Subpoena provides Sigma notice that the deposition will seek facts required by these rules to establish the admissibility of Sigma's documents under Rules 3.43(b) and (c). Ansaldo-Dec, ¶14. Sigma's own motion shows that Sigma is not confused as to the topic of the deposition. As Sigma explains, Complaint Counsel seeks evidence "regarding the evidentiary foundations" of Sigma documents, and to demonstrate that Sigma's documents are admissible under Rule 3.43(c). *See* Motion, at 4; Motion, at 8 (arguing that Complaint Counsel cannot meet these prongs for any of Sigma's documents).

Complaint Counsel provided Sigma over six weeks notice which documents Complaint Counsel intends to use, and that Complaint Counsel seeks to establish admissibility of those documents either through a declaration compliant with Rule 3.43(c) or a deposition establishing admissibility under 3.43(b). *See* Ansaldo-Dec, ¶4. Over those six weeks, Complaint Counsel and Sigma Counsel have had numerous communications discussing the nature of the discovery sought.

Sigma has repeatedly represented that it knows the identity of the documents at issue and has reviewed them. *See* Unopposed Motion for Extension of Time for Non-Party Sigma Corporation to File Motion for In Camera Treatment of Certain Designated Hearing Exhibits, Docket No. 9351 (July 25, 2012) (stating that "Sigma and its counsel are in the process of reviewing the [documents, data, and depositions identified in the July 17, 2012 letter] and identifying those for which Sigma will seek *in camera* treatment. Sigma and its counsel require

additional time"); Unopposed Motion of Non-Party Sigma Corporation for In Camera Treatment of Certain Designated Hearing Exhibits, Docket No. 9351, at 2 (July 31, 2012); Ansaldo-Dec, ¶7. Despite knowing that Complaint Counsel sought a declaration or deposition as to the admissibility of these same documents, Sigma apparently reviewed the documents only to determine whether they required *in camera* treatment, but not with respect to admissibility. Sigma had the opportunity to kill two birds with one stone, but chose not to reduce its own burden.

While Complaint Counsel will continue to engage Sigma in good faith discussions regarding authenticity and admissibility of its documents so that fewer need to be addressed during the depositions, Complaint Counsel will be prejudiced at trial if there are any further delays in establishing the admissibility of the Sigma documents.³ Complaint Counsel is also willing to engage in discussions to schedule a reasonable date for the deposition, and proposes September 10, 2012, given that Sigma has had more than six weeks notice of the need to establish the admissibility of the relevant documents.⁴

³ Complaint Counsel believes that the number of documents at issue can be reduced to the 350 documents to which Respondent has objected. *See* Ansaldo-Dec, $\P15$.

⁴ Sigma appears to argue that it could not review the documents because they were not in its possession. Motion at 4. The documents, however, were listed in Complaint Counsel's July 17, 2012 notice by both exhibit number and Bates number. Ansaldo-Dec, ¶5. Sigma knew which documents were at issue and accepted Complaint Counsel's representations that the CX numbers in the list sent on July 17, 2012, contained the listed Bates numbers. *See* Ansaldo-Dec, ¶7-8. Indeed, Sigma was able to retrieve, review, and identify the documents requiring *in camera* treatment from Complaint Counsel's list. *See* Sigma Motion for In Camera Treatment. From the July 17, 2012 letter, Sigma knew the same documents were at issue for the admissibility declaration. Moreover, the Scheduling Order, logically, does not require Complaint Counsel to provide copies back to Sigma of the very documents Sigma produced and of which Sigma maintains the original documents. Ansaldo-Dec, ¶5.

C. The Benefits of Discovery Outweigh the Burdens Set Forth By Sigma.

The benefits of document discovery far outweigh the burdens on Sigma here. Sigma claims that it must retrieve and review each document that Complaint Counsel seeks to introduce. Sigma has already reviewed those documents for *in camera* treatment, which it conducted in two weeks. Had Sigma simply reviewed those documents for their admissibility as well, Sigma could have saved itself this burden altogether. Even now, many of the documents fall into broad categories that Sigma can review in batches.

Although any discovery must result in some burden to a third party, the burden here is far outweighed by the benefit of the discovery. This is a price fixing case. The documents of co-conspirator Sigma are critical to showing coordination between Respondent and Sigma. *See, e.g.*, Complaint Counsel's Pre-trial Brief, at 24, n. 109 and 111 (citing Sigma documents to show that DIFRA facilitated collusion).

D. Complaint Counsel Was Not Required to Qualify All Sigma Documents During Fact Discovery

The Scheduling Order permits discovery for purposes of authenticity and admissibility of exhibits after the close of fact discovery. *See* Scheduling Order (Feb. 15, 2012), at 1 (providing that the parties could conduct "discovery for purposes of authenticity and admissibility of exhibits" after June 1, 2012, the close of fact discovery). The Court acknowledged as much during the Final Prehearing Conference. *See* Final Prehearing Conference Trans. at 139-142. The documents used during fact discovery did not include all of the Sigma documents that Complaint Counsel intends to introduce. Moreover, Complaint Counsel only had 3.5 to 4.5 hours to question Sigma's fact witnesses, and were not intended as depositions regarding authenticity of all of Sigma's relevant documents. As the Scheduling Order provides, after the

conclusion of fact discovery, the parties determined the documents necessary for trial, and then tried to conduct efficient and targeted discovery on admissibility.

Although Sigma argues that Complaint Counsel seeks depositions from witnesses it has previously deposed, Sigma need not present those same witnesses in response to the Subpoena. Depositions for purpose of establishing admissibility may be of any witness with knowledge of the record keeping practices of the business. *See* Rule 3.43(c) (permitting a written declaration from a document's "custodian or other qualified person"); *United States CFTC v. Dizona*, 594 F.3d 408, 415 (5th Cir. 2010) ("There is no requirement that the witness who lays the foundation be the author of the record or be able to personally attest to its accuracy.").

E. Sigma Chose to Bypass the Less Burdensome Route of Providing a Declaration Which Met the Provisions of Rule 3.43(c), Thus Requiring a Deposition, as Contemplated By the Commission.

Finally, Sigma had the opportunity to resolve or narrow the issues by providing a declaration consistent with Rule 3.43(c), but has refused to do so. Ansaldo-Dec, ¶10. It is Sigma's refusal to provide a declaration regarding any of its documents that now requires the taking of a deposition. In proposing Rule 3.43(c) in October 2008, the Commission determined that a declaration provides third parties with a less burdensome method to have their documents authenticated, rather than providing a witness. *See* Fed. Reg. Vol. 73, No. 195 (Oct. 7, 2008) ("Proposed new paragraph (c), which is analogous to Fed. R. of Evid. 902(11), is intended to facilitate the admissibility of third party documents by self-authentication through a written declaration of a third party document custodian."). Sigma cannot complain that it is being subject to a burdensome process after making no effort to use the less burdensome procedure in Rule 3.43, even as to some subset of documents, *see* Motion, at 8 (asserting that <u>no</u> Sigma document proposed for introduction by Complaint Counsel can be qualified as a business record).

CONCLUSION

Sigma has not shown that it will be subject to undue prejudice or burden by Complaint Counsel's deposition regarding admissibility. Therefore, Complaint Counsel respectfully requests that this Court deny Sigma's motion and permit Complaint Counsel to move forward with depositions of Sigma personnel regarding admissibility, as explicitly provided in this Court's Scheduling Order.

Date: September 5, 2012

By: <u>s/ J. Alexander Ansaldo</u> Edward D. Hassi, Esq. Linda M. Holleran, Esq. Michael J. Bloom, Esq. J. Alexander Ansaldo, Esq. Jeanine K. Balbach, Esq. Michael J. Bloom, Esq. Thomas H. Brock, Esq. Monica Castillo, Esq. Andrew K. Mann, Esq

Counsel Supporting the Complaint Bureau of Competition Federal Trade Commission Washington, DC 2058 Telephone: (202) 326-2470 Facsimile: (202) 326-3496 Electronic mail: ehassi@ftc.gov

UNITED STATES OF AMERICA BEFORE THE FEDERAL TRADE COMMISSION

In the Matter of

McWANE, INC., Respondent. PUBLIC

DOCKET NO. 9351

I, Edward Hassi, pursuant to 28 U.S.C. § 1746, make the following statement:

- 1. I am over 18 years of age and have personal knowledge of the facts set forth below.
- 2. I am employed by the Federal Trade Commission Bureau of Competition as Chief Litigation Counsel and serve as Complaint Counsel in this matter.
- 3. On August 29, 2012, I had a phone call with Mr. Matthew White, counsel for Sigma Corporation ("Sigma"). During the call, Mr. White agreed that Sigma would be willing to provide a declaration as to the authenticity under Federal Rule of Evidence 901 of all documents produced by Sigma that Complaint Counsel seeks to admit in this matter. But Mr. White also stated that he was concerned that if the declaration extended beyond authenticating the documents and qualified them as meeting the elements of the business records exception, the documents might then be automatically admitted as business records in the treble damage class action litigation pending against Sigma in New Jersey. Consequently, Sigma would not agre to sign a declaration that meets all of the necessary elements of 3.43(c). Respondent, in an interrogatory answer, has stated that McWane and Sigma have a joint defense agreement covering the New Jersey litigation.

- 4. Complaint Counsel and Sigma agreed that Sigma would provide a declaration that each of the documents that Complaint Counsel sought to introduce is "(1) an accurate duplicate, produced from Sigma's files, of original records that were kept as a regular practice in the ordinary course of Sigma's business and (2) in the same, or substantially the same, condition as when it was sent, received, or produced by Sigma (as the case may be)."
- 5. Through the date of this filing, Complaint Counsel continues to seek stipulations from Respondent, which it is unwilling to provide, regarding Sigma documents. Complaint Counsel also continues to seek a suitable declaration from Sigma regarding some subset of its documents, in order to minimize the number of documents at issue in any depositions.
- 6. For those documents for which Complaint Counsel cannot reach an agreement, Complaint Counsel believes that the scheduling order in this matter provides the opportunity through one or more depositions to develop evidence as to the foundation of the Sigma documents. Through the depositions, Complaint Counsel will show that the documents are relevant, material, and reliable, and therefore should be admitted in this proceeding.

Pursuant to 28 U.S.C. § 1746, I declare, under the penalty of perjury, that the foregoing is true and correct to the best of my knowledge, information, and belief.

Edward D. Hassi

Executed on: September 5, 2012

UNITED STATES OF AMERICA BEFORE THE FEDERAL TRADE COMMISSION

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In the Matter of

McWANE, INC., Respondent. PUBLIC

DOCKET NO. 9351

I, J. Alexander Ansaldo, pursuant to 28 U.S.C. § 1746, make the following statement:

- 1. I am over 18 years of age and have personal knowledge of the facts set forth below.
- I am employed by the Federal Trade Commission and represent Complaint Counsel in this matter.
- Sigma Corporation ("Sigma") produced over 63,000 documents during the Part 2 investigation and Part 3 litigation of this matter, including nearly 120,000 pages in Part 3.
- 4. On July 17, 2012, I sent counsel for Sigma a letter identifying the Sigma documents that Complaint Counsel intends to offer into evidence in the administrative hearing in this matter. A copy of that letter is attached to this declaration as Exhibit 1. The list of Sigma documents Complaint Counsel intends to offer into evidence is attached to that letter as Attachment 1. The letter informed Sigma that it could file a motion to seek *in camera* treatment for any of the documents listed in the Attachment by July 27, 2012. The letter also asked that Sigma sign a declaration regarding the admissibility of the documents in Attachment A to the letter, and attached a proposed declaration. The proposed declaration tracked the language for authenticity as laid out in Rule 3.43(c) of the

Commission's Rules of Practice. The letter also informed Sigma that Complaint Counsel was seeking a declaration in lieu of a deposition on the admissibility of the documents. The letter requested that Sigma return a signed declaration by July 29, 2012.

- 5. Attachment A to the July 17, 2012 letter was 27 pages and listed 445 documents and 11 reserved CX numbers for deposition designations. With the exception of three documents erroneously listed in the letter,¹ the 442 other documents were produced by Sigma. Each of the 442 documents bears a Sigma Bates number (either beginning with SIG or SIGTP). The Sigma Bates numbers were placed on the documents by Sigma or its counsel, and appeared on the documents when they were produced. Sigma maintains custody and control of the originals of each of the 442 documents, having produced only electronic copies during discovery.
- 6. In an email dated July 19, 2012, counsel for Sigma asked that Complaint Counsel not oppose Sigma's motion for an extension of time for filing of Sigma's in camera motion. A copy of that email is attached as Exhibit 2 to this declaration. Sigma indicated that it required the additional time "[i]n light of the volume of information to review over 500 exhibits and thousands of pages of deposition testimony." Based on those representations, Complaint Counsel did not oppose Sigma's request for an extension through July 31, 2012. At no time during the discussion of Sigma's motion for extension of time did counsel for Sigma ask me to further identify the documents that Complaint Counsel sought to introduce, or for Complaint Counsel to provide copies of those documents. On July 25, 2012, Sigma Corporation filed an Unopposed Motion for Extension of Time for Non-Party Sigma Corporation to File Motion for In Camera Treatment of Certain Designated Hearing Exhibits.

¹ CX2429, CX2434, and CX2435

- 7. On July 31, 2012, Sigma filed its Unopposed Motion of Non-Party Sigma Corporation For In Camera Treatment of Certain Designated Hearing Exhibits. Prior to filing this motion, Sigma did not ask me to further identify the documents that Complaint Counsel sought to introduce, or for Complaint Counsel to provide copies of those documents.
- 8. On August 14, 2012, I sent an email to Sigma's counsel again asking whether Sigma could provide a declaration regarding the admissibility of Sigma's documents. I included a copy of the entire July 17, 2012 letter as an attachment to my email. A copy of my email to Sigma is included in Exhibit 3 to this declaration, at pages 2-3. In the email, I again stated that the declaration was in lieu of a deposition on the admissibility of the documents. I also notified Sigma's Counsel that, "If you do not intend to provide a declaration, please let me know so that we can schedule a deposition on the admissibility of those documents."
- 9. In an email I received from Sigma's counsel on August 14, 2012, Mr. White asked if Complaint Counsel and Respondent could stipulate to the authenticity of most of the documents so that Sigma could merely authenticate a small portion of the documents. This email is included in Exhibit 3 to this declaration, at page 2.
- 10. On August 16, 2012, Linda Holleran informed Sigma's Counsel that Respondent is unwilling to stipulate to the admissibility of any Sigma documents. Ms. Holleran reiterated that Sigma could provide a declaration in lieu of a document custodian deposition. A copy of this email is attached as Exhibit 3 to this declaration, at page 1. On that date, Respondent also filed its Objections to Complaint Counsel's Final Proposed Exhibit List with the Court. In its objections, Respondent indicated that it objected to admissibility of Sigma's documents on authenticity grounds pursuant to Federal Rule of

Evidence 901. Despite efforts of Complaint Counsel to negotiate in good faith, Respondent refused to stipulate that any of Sigma's documents are authentic. Respondent still refuses to enter into such a stipulation.

- 11. On August 17, 2012, a month after sending my initial letter to Sigma, I received a letter from Sigma's counsel informing me for the first time that Sigma had not reviewed the documents I had identified in my July 17, 2012 letter, and asking for copies of those documents to "facilitate this review." A copy of this letter is attached as Exhibit 4 to this declaration. Sigma's letter of August 17, 2012 recognized that the declaration on admissibility sought by Complaint Counsel tracked the language of Commission Rule 3.43(c). Sigma's letter also requested that the parties narrow the issues by stipulating to admission of some of the Sigma documents in order to reduce the burden on Sigma. Sigma's letter also, for the first time, notified Complaint Counsel that Sigma believed that it could not truthfully authenticate its documents under Commission Rule 3.43(c) because Sigma could not attest that many of the documents were created as a part of a "regularly conducted activity as a regular practice."
- 12. Since receipt of Sigma's letter on August 17, 2012, Complaint Counsel has negotiated in good faith with Respondent to limit the number of Sigma documents for which Respondent maintains objections. Respondent continues to refuse to lift its objections as to authenticity with respect to 350 Sigma documents.
- 13. Since receipt of Sigma's letter on August 17, 2012, Complaint Counsel has also negotiated with Sigma to obtain a declaration which will overcome Respondent's authenticity objection. The discussions involved declarations and depositions regarding the authenticity of all Sigma documents identified in the July 17, 2012 letter. During

discussions, Complaint Counsel also discussed 24 documents for which additional declarations or deposition might be required to overcome objections from Respondent on grounds other than authenticity.

14. After failing to reach agreement on any declaration with Sigma, Complaint Counsel issued a deposition subpoena on August 27, 2012 under Commission Rule 3.33(c). A copy of the subpoena is attached as Exhibit 5 to this declaration. The topic identified for the deposition is, "The authenticity and admissibility under the provisions of Rule 3.43 of the Rules of Practice for Adjudicative Proceedings of the Federal Trade Commission, 16 C.F.R. § 3.43, of the documents listed in Attachment A." Attachment A to the subpoena included the same list of 442 Sigma exhibits identified on July 17, 2012.

15. The current status of the objections is as follows. Complaint Counsel has identified 442 Sigma documents for admission at the hearing. Respondent has continuing objections to the authenticity of approximately 350 of those documents. Complaint Counsel continues to attempt negotiations with Sigma and Respondent to limit the number of documents at issue in the Sigma subpoena.

Pursuant to 28 U.S.C. § 1746, I declare, under the penalty of perjury, that the foregoing is true and correct to the best of my knowledge, information, and belief. Executed this 5th day of September, 2012, at Washington, D.C.

Respectfully submitted,

V. Alexander Ansaldo Counsel Supporting the Complaint Bureau of Competition Federal Trade Commission 600 Pennsylvania Ave., N.W. Washington, DC 20580 (202) 326-3695

EXHIBIT 1



United States of America FEDERAL TRADE COMMISSION WASHINGTON, D.C. 20580

J. Alexander Ansaldo, Esq. Bureau of Competition Federal Trade Commission 601 New Jersey Ave., N.W. Washington, D.C. 20580 (202) 326-3695 jansaldo@FTC.gov

July 17, 2012

Via Federal Express

Sigma Corporation c/o Matthew A. White, Esq. Ballard Spahr LLP 1735 Market Street, 51st Floor Philadelphia, PA 19103-7599

> RE: In the Matter of McWane, Inc. and Star Pipe Products, Ltd., Federal Trade Commission Docket No. 9351

Dear Mr. White:

By this letter we are providing formal notice, pursuant to Rule 3.45(b) of the Commission's Rules of Practice, 16 C.F.R. §3.45(b), that Complaint Counsel intend to offer the documents and testimony referenced in the enclosed Attachments A and B into evidence in the administrative trial in the above-captioned matter. Please note that the list of deposition designations in Exhibit B does not include any of Respondent's designations or Complaint Counsel's counter-designations, if any, which are not due until July 24, 2012.

The administrative trial is scheduled to begin on September 4, 2012. All exhibits admitted into evidence become part of the public record unless *in camera* status is granted by Administrative Law Judge D. Michael Chappell.

For documents or testimony that include sensitive or confidential information that you do not want on the public record, you must file a motion seeking *in camera* status or other confidentiality protections pursuant to 16 C.F.R §§ 3.45, 4.10(g). Judge Chappell may order that materials, whether admitted or rejected as evidence, be placed *in camera* only after finding that

their public disclosure will likely result in a clearly defined, serious injury to the person, partnership, or corporation requesting *in camera* treatment.

Motions for *in camera* treatment for evidence to be introduced at trial must meet the strict standards set forth in 16 C.F.R. § 3.45 and explained in *In re Dura Lube Corp.*, 1999 FTC LEXIS 255 (Dec. 23, 1999); *In re Hoechst Marion Roussel, Inc.*, 2000 FTC LEXIS 157 (Nov. 22, 2000); *In re Basic Research, Inc.*, 2006 FTC LEXIS 14 (Jan. 25, 2006). Motions also must be supported by a declaration or affidavit by a person qualified to explain the confidential nature of the material. *In re North Texas Specialty Physicians*, 2004 FTC LEXIS 66 (April 23, 2004).

Please be aware that under the current Scheduling Order of February 15, 2012, the deadline for filing motions seeking *in camera* status is July 27, 2012.

Additionally, in lieu of a deposition on the admissibility of the documents listed in Exhibit A, we ask that you sign and return the attached declaration regarding the admissibility of these documents. Please return the signed declaration to my attention by July 29, 2012.

If you have any questions, please feel free to contact me at (202) 326-3695.

Sincerely.

J. Alexander Ansaldo, Esq. Counsel Supporting the Complaint

Attachments

CC:

Doug Jasinski, Esq. White & Case LLP 701 Thirteenth Street, NW Washington, DC 20005-3807

Exhibit No		Date	BegBates	EndBates
	E-mail from Siddharth Bhattacharji to			Lindbates
CX 0078	Tom Brakefield, Larry Rybacki, Victor			
0000	Pais et al. re: thoughts on plant work	6/11/2009	SIG-0005602	SIG-0005604
	E-mail from Siddharth Bhattacharji to		1	010-0003004
CX 0080	George Liu, Mitchell Rona, Victor Pais et			
<u>CA 0080</u>	al. re: yr discussions with XPF	5/23/2009	SIG-0005839	SIC 0005000
	E-mail from Gopi Ramanathan to Stuart			SIG-0005839
	Box, Victor Pais, Siddharth Bhattacharii			
	let al. re: Response on your Korea			
	sourcing plan with strategic look @ other			
CX 0081	options	1	SIG-0005899	
	E-mail from Siddharth Bhattacharji to	0/10/2009	1310-0005899	SIG-0005906
CX 0082	Victor Pais re: pusan flash	E/7/2000	010 000505	
	E-mail from Siddharth Bhattacharji to	5/7/2009	SIG-0005974	SIG-0005974
	Victor Pais re: anything to add to this			
	draft for BOD? w/Attach: BOD note on			
CX 0083	BA draft 040909.doc			
		4/9/2009	SIG-0006431	SIG-0006433
	E-mail from Victor Pais to Ryan Cardin			
	re: Our appeal for help with the BA			
	provision in the ADDA Life (Article)			
	provision in the ARRA bill w/Attach:			
	Appeal Letter to Sen Menendez-			
	020109.pdf; ARRA-BA Amendment-			
	012709.pdf; WASMA-Amendment-ARRA-			
	Modification-012809.doc: Customer			
CX 0085	Letter-for-Open Choice-020109 pdf	2/1/2000	SIG-0007771	
	Letter from Victor Pais to SIG-BOD re	2/1/2009	310-0007771	SIG-0007783
CX 0086	BOD Update with an Important MAP	6/5/2000	SIG-0008049	
		0/3/2009	513-0008049	SIG-0008057
	E-mail from Victor Pais to Cindy Dayotas			
	and Adam Warren re: E-mails LR may			
	have missed w/Attach: Victor Victor Pais			
	to M20_Urgent_Final Customer Letter for			
	Sigma Pricing plan.msg; VP to			
	M20_Important_Sales_GM_PBT \$			
	Impact of Tyler Price Revision.msg;			
	Victor Victor Pais (Sigma) to Perry			
	Fowler (ACC) Thereby			
X 0087	Fowler (AGC)_Thank you for your			
	support with EPA and BA Issue.msg	4/27/2009 S	IG-0008207	SIG-0008219
	Sigma MDA FAQ	9/23/2009 S	IG-0009760	SIG-0009766
	E-mail from Stuart Box to Billie Sue			
	Atkinson, Mitchell Rona, Gopi			
(0000	Ramanathan et al.re: SDP trip report to			
<u>< 0090</u>	Mueller Albertville	7/9/2009 SI	G-0016817	910 0010001
			0010017	SIG-0016821
ļ	E-mail from Victor Pais to Siddharth			
1	Bhattacharji re: Powerpoint Presentation			
[1	or Mtg with Frontenac w/Attach: Stuart			
0091	Box 122309 Revised pptx	11/20/2000	0.0040000	
](DEM Distribution Agreement (Draft	11/20/2009 SI	9-0018808	SIG-0018810
0098	edited 9/7/09)	0/7/0000		
	-mail from Jim McGivern to Walter	9/7/2009 SI	G-0019672	SIG-0019695
F	Florence, Jeff Marcus, Victor Pais et al.			
0099 r	e: conf. call			
	mail from Mathews	7/28/2009 SIC	G-0022881	SIG-0022888
	-mail from Walter Florence to Siddharth			1010 0022000
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	E-mail from Walter Florence to Jim		1	
	McGivern re: EPA eases stimulus 'Buy			
CX 0156	American' rule	8/11/2000	SIG-0020035	SIG-0020037
	E-mail from Thad G. Long to Rick	0/11/2008		010-0020037
	Tatman, David Green, Tom Brakefield et			
	al. re: DIFRA Bylaws w/Attach:			
	Articles_of_incorporation_of_Ductile Iron			
	_Fittings_Research_Association.DOC,			
	BHM-#15234103-v6-			
	Ductile_Fittings_Research_Association_(
CX 0158	DIFRA)Bylaws.DOC	2/12/2008	SIG-0033693	SIG-0033712
	E-mail from Tom Brakefield to Thad G.			
	Long, Victor Pais, Dan McCutcheon et al.			
CX 0159	re: [no subject]	5/5/2008	SIG-0033851	SIG-0033853
	E-mail from Rick Tatman to Thad G.			
OX 0100	Long, Tom Brakefield, Victor Pais et al.			
CX 0160	re: DIFRA	5/5/2008	SIG-0033854	SIG-0033856
	E-mail from Victor Pais to Alex and Gopi			
	Ramanathan re: My mtg with LMZ and			
	a XINDIA/XXP Review w/Attach: XINDIA-			
	XXP-MAJOR REVIEW-08408.doc, VP to			
	Mr Fang_CONFIDENTIALOpportunities			
	for Sigma and XXP in India and ME.msg,			
	Victor to Ruffner_Response to your			
	interest in ISO Fittings and Summary of			
CX 0162	Global opportunitiesmsg	8/5/2008	SIG-0068502	SIG-0068519
	E-mail from Victor Pais to Victor Pais re:	0.0.2000		
CX 0209	Various BA options	4/23/2009	SIG-0008346	SIG-0008349
	E-mail from Victor Pais to Siddharth			
CX 0211	Bhattacharji re: QRR Meeting with YBH	4/26/2009	SIG-0008278	SIG-0008280
	E-mail from Victor Pais to M20 re: Urgent			
	Final Customer Letter for Sigma Pricing			
CX 0212	plan w/Attach: Customer Letter -Pricing	A107/0000		
	Review-Fittings-042709.pdf E-mail from Victor Pais to Michelle	4/27/2009	SIG-0001545	SIG-0001548
	McNamee re: Thank you I will see you			
CX 0213	Friday morning @ 9 am	100000	SIG-0008194	SIG-0008196
	E-mail from Victor Pais to Walter	412912009	010-000194	0000190
	Florence, Fang Gang, Jim McGivern et			
	al. re: A Comprehensive Market and Plan			
	Review w/ Attach: BOD-UPDATE-			
	MARKET REVIEW-50409.doc, Victor			
	Pais to Shanaghan_A formal appeal to			
	EPA for waiver consideration.msg,			
	CUSTOMER LETTER -PRICING			
	REVIEW-FITTINGS-042709, PIR-709-			
CX 0214	50109.xls	5/4/2009	SIG-0014343	SIG-0014350
	E-mail from Mitchell Rona to liuguang re:			
	Need for your help to work with XPF to			
014 00 10	get some foam pieces for trial casting in			
CX 0219	USA	5/21/2009	SIG-0001415	SIG-0001416
	E-mail from Victor Pais to Siddharth			
	Bhattacharji re: Response to			
CY 0220	Bruce/Metafit w/Attach: RESPONSE-to-			
CX 0220	BRUCE-METALFIT-052209.doc	5/23/2009	SIG-0001523	SIG-0001525

0266	McGivern re: few comments on the terms and conditions from Tyler	9/1/2000 5	IG-0025331	SIG-0025335
	E-mail from Victor Pais to OEM5, Jim	0/30/2009 8	SIG-0002095	SIG-0002097
(0265	Email from RT saying no to us selling USP thru our Master Distribution Agreement	0/00/00000		
	E-mail from Victor Pais to OEMS re:	0/10/2009	513-0003508	SIG-0003510
K 0258	E-mail from Victor Pais to Mitchell Rona, OEM5 re: Sigma Plans for BA sourcing	8/19/2000	SIG-0003508	
X 0257	04QuotationMetaliftMJTooling.xls	8/12/2009	SIG-0017478	SIG-0017494
× 00	Rona, Gopi Ramanathan, Siddharth Bhattacharji et al. re: MFT tooling cost for duplication of existing c153 tooling w/Attach: 09-08-			
X 0248	My Discussion with Rick Tatman concerning our proposal to McWane w/Attach: McWane-Sigma-07-13-09- reply.doc E-mail from Stuart Stuart Box to Mitchell	7/23/2009	SIG-0002046	SIG-0002048
X 0246-A	cost for SDP w/Attach: Final Tooling - Sigma Board - Fittings - V2=SDP.xls E-mail from Mitchell Rona to OEM5 re:	7/20/2009	SIGTP00115745	SIGTP00115747
	E-mail from Gopi Ramanathan to Siddharth Bhattacharji re: QRR Tooling	112012009	010-0003224	SIG-0003260
X 0246	E-mail from Gopi Ramanathan to Siddharth Bhattacharji re: QRR Tooling cost for SDP w/Attach: Final Tooling - Sigma Board - Fittings - V2=SDP.xls	7/20/2000	SIG-0003224	
X 0243	E-mail from Mitchell Rona to Thomas Walton re: Sigma Proposal to McWane for Domestic Fittings w/Attach: McWane- Sigma-07-13-09.doc	7/14/2009	SIG-0003201	SIG-0003202
CX 0241	E-mail from Greg Fox to Victor Pais, Al Richardson, Siddharth Bhattacharji et al. re: ARRA compliance/SDP update	7/13/2009	SIG-0003184	SIG-0003186
CX 0240	E-mail from Mitchell Rona to Stuart Stuart Box and Gopi Ramanathan re: Updated File w/Attach: SDPcompiledlist- V2.xls		SIG-0003149	SIG-0003150
CX 0238	E-mail from Bob Leggett to Victor Pais re: AVWA Follow Up		9 SIG-0003123	SIG-0003125
CX 0237	E-mail from Mitchell Rona to Stuart Box and Gopi re: Updated with GR1 commentsexcel spreadsheet to follow	7/3/200	9 SIG-0002863	SIG-0002865
CX 0231	Stuart Box re: development plans for SDP	6/16/200	9 SIG-0016570	SIG-0016571
CX 0228	E-mail from Mitchell Rona to Victor Pais re: Display of SDP samples @ AWWA and SDP/BA review E-mail from Siddharth Bhattacharji to		9 SIG-0005624	SIG-0005627
CX 0225	McWane's Agreement to See Sigma Domestic Product w/Attach: Sigma - Domestic Product Agreement 6.4.2009.pdf	6/5/200	9 SIG-0001557	SIG-0001559

	E-mail from Mitchell Rona to Siddharth	<u> </u>	1	
	Bhattacharji, Victor Pais, Jim McGivern			
CX 0269	et al. re: update from SB1	9/7/2009	SIG-0025256	SIG-0025261
			010 0020200	010-0023201
	E-mail from Jim McGivern to Victor Pais,			
	Siddharth Bhattacharji, Mitchell Rona et			
CX 0271	al. re: Master Distribution Agreement	9/9/2009	SIG-0003947	SIG-0003947
	E-mail from Joseph W. Spransy to			
	James M. Proctor re: Sigma CorpTyler			
	Union agreement respecting domestic			
0 0070	fittings w/Attach: McWane SIGMA_MDA-			
CX 0272	090809-sigma version.JWS.(3).doc E-mail from Victor Pais to Rick Tatman	9/9/2009	SIG-0030206	SIG-0030211
CX 0278	re: Signed Master Distribution Agreement document			
	E-mail from Stuart Box to Mitchell Rona	9/15/2009	SIG-0005021	SIG-0005042
	re: Final LF casting poured and cleaned			
	at EF this week w/Attach: Sigma SDP			
CX 0282	Fittings LF trails.pptx	0/21/2000	SIC 0000925	810 0000040
		9/21/2009	SIG-0000825	SIG-0000842
	E-mail from Victor Pais to SST-ALL re:			
	IMPORTANT- A review of 09 + a POA			
	for Planning for 2010 w/Attach: RST-			
CX 0291	2010-GUIDELINE-VP&LRV1-110509.xls	11/6/2009	SIG-0001824	SIG-0001833
	E-mail from Raju Kakani to Christopher	1110/2000		010-000 1000
	King and Tom Brakefield re: Action items			
CX 0294	from MRR on 2/19/10	2/23/2010	SIG-0010247	SIG-0010247
	E-mail from Mitchell Rona to Rick			010 0010247
	Tatman re: AWWA fittings 4" thru 64"			
CX 0300	w/Attach: pricing for tyler-122909.xls	12/28/2009	SIG-0002005	SIG-0002007
	E-mail from Victor Pais to OEM5 re: Our			
	disc on our SDP (Sigma Dom Prodn)			
CX 0307	plan	5/20/2009	SIG-0025847	SIG-0025848
	E-mail from Walter Florence to Victor			
	Pais and Gopi Ramanathan re:			
OX 0200	Response on your Korea sourcing plan -			
CX 0308	with strategic look @ other options	5/16/2009	SIG-0005856	SIG-0005862
	Letter from Victor Pais to WF re: A likely			
CX 0312	acquisition opportunity at the right time and the right fit	0/04/0000		
0/ 0312	Letter from Victor Pais to ARES Capital	2/24/2009	SIG-0009894	SIG-0009897
	Corporation re: Advance Responses to			
CX 0313	Lender Questions	2/0/2000	SIG-0002517	010 0000500
0/10010	E-mail from Al Richardson to Victor Pais	2/9/2009	310-0002517	SIG-0002528
	re: I will be away on a quick visit to			
CX 0314	Birmingham Friday 5/1/09	4/30/2009	SIG-0006051	SIG 0006052
	E-mail from Victor Pais to sina-GL re: TL	-100/2003	0.0-000001	SIG-0006052
CX 0317	mtg YBH on 5/14	5/13/2009	SIG-0014420	SIG-0014422
	E-mail from Victor Pais to M20 re:	3.10.2000		
	reviewing DIFRA-08 further w/Attach:			
CX 0319	DIFRA-SIGMA-SMS-1208.xls	5/10/2009	SIG-0001553	SIG-0001559
	E-mail from Mitchell Rona to John			
	Hagelskamp re: AIP Agreement, etc			
	w/Attach: AIP-final El List.xls, ACIPCO-			
	INV PURCHASE-PROPOSAL-REVISED-			
ov	051109.DOC, Sigma-AVH-042409, dr			
CX 0328	metals top 10.xlsUPPER BBLS.XLS	5/15/2009	SIG-0001725	SIG-0001730

	E-mail from Matt Minamyer to Craig		1	
	Schapiro re: New Multipliers - Star Pipe			
CX 0893	Products	2/7/200	8 SIG-0061257	SIG-0061258
_	E-mail from Stuart Box to SDP re: trip			010-0001200
CX 0895	report to XXP for LF	10/31/200	9 SIG-0000971	SIG-0000972
	Letter from Jerry Jansen to Tyler/Union			
	Utility Customers in CA re: Pricing			
	Multiplier Adjustment Effective February			
CX 0896	18, 2008	1/18/200	3 SIG-0053328	SIG-0053328
	_			
0, 0007	E-mail from Mitchell Rona to Tom Morton			
CX 0897	re: McWane Domestic Prices thru Sigma	8/27/2009	9 SIG-0001034	SIG-0001034
	E-mail from Mitchell Rona to Siddharth			
CX 0899	Bhattacharji re: Lost Foam next step	9/7/2009	9 SIG-0001158	SIG-0001158
	E-mail from Siddharth Bhattacharji to			
	Victor Pais and Mitchell Rona re: my			
CX 0902	thoughts on the BE mtg tomorrow			
CA 0902	(almost!)	3/25/2009	SIG-0001510	SIG-0001512
CX 0904	E-mail from Victor Pais to Greg Hyland			
CX 0904	re: BA follow up	6/17/2009	SIG-0001754	SIG-0001755
CX 0905	Sigma's Regional Management Review (RMR)			
0/ 0303	E-mail from Mike Hays to Mitchell Rona	10/27/2009	SIG-0001814	SIG-0001823
	re: Fittings Summary w/Attach: Sigma			
CX 0906	domestic fittings summary.doc	0/45/0000		
0// 0000	E-mail from Victor Pais to OEM5 re:	9/15/2009	SIG-0001913	SIG-0001914
	unwelcome reversal by McWane about			
CX 0908	Pvt Label!	4/0/0000		
	E-mail from Victor Pais to Mitchell Rona	4/9/2009	SIG-0002038	SIG-0002038
	re: Larry to Ruffner w/Attach: Ruffner			
CX 0909	Page letter 7-17-09.doc	7/17/2000	010 0000044	
	E-mail from Victor Pais to OEM5 re:	//1//2009	SIG-0002044	SIG-0002045
	QRR to resp from McW to new 'SDP			
CX 0910	(Shared Dom Prodn)' Plan!	7/22/2000	SIG-0002049	
	E-mail from Victor Pais to OEM5 re:	1/23/2009	SIG-0002049	SIG-0002050
	Review of McW-SIGMA Master			
	Distribution Agreement offer w/Attach:			
CX 0911	MCWANE-SIGMA MDA-080309.doc	8/3/2000	SIG-0002055	010 00000-0
		0/3/2009	313-0002055	SIG-0002059
	Letter from Victor Pais to Sigma BOD			
CX 0914	Team re: BOD Update Market Review	5/4/2009	SIG-0002611	SIG 0002617
	Letter from Victor Pais to Larry Rybacki	0,4/2000	010-0002011	SIG-0002617
CX 0915	re: HTN Visit	5/17/2009	SIG-0002619	SIG-0002622
	Letter from Victor Pais to PNC Team	<u></u>	010 0002010	313-0002022
	Attn: Craig Stillwagon and Pat McConnell			
	re: An interesting NEW opportunitythe			
X 0916	'P2' Plan	12/12/2008	SIG-0002627	SIG-0002632
	Letter from Victor Pais to Walter			010-0002032
	Florence, Jim McGivern re: Your visit to			
X 0917	HTN'S2' review	12/10/2009	SIG-0002635	SIG-0002642
	Letter from Victor Pais to Larry Rybacki			
X 0919	re: Sales Strategy - 09 SUMMARY	11/1/2008	SIG-0002815	SIG-0002817
	E-mail from Mitchell Rona to Victor Pais			
	and OEM5 re: Alan Master Distributor			
X 0920	Agreement with McWane	7/14/2009	SIG-0003199	SIG-0003200
	E-mail from Stuart Box to Victor Pais and			
	OEM5 re: Continuing to review Tyler		4	
X 0921	offer letter w/Attach: IMG_0544.JPG		SIG-0003292	1

	E-mail from Mitchell Rona to OEM5 re:		T	
	Email from RT saying no to us selling			
	USP thru our Master Distribution			
CX 0922	Agreement	8/20/20	09 SIG-0003662	
	E-mail from Ion a Shenoy to Victor Pais	0/29/20	09/5/G-0003662	SIG-0003663
	re: - SOS - Birmingham Activity update			
	w/Attach: SOS Update VM-M20			
CX 0923	082709.doc			
	E-mail from Siddharth Bhattacharji to	9/2/200	09 SIG-0003740	SIG-0003742
	Dava Piotara recent and hattacharji to			
CX 0924	Dave Pietryga re: contact at AGC to			
07 0324	support the de-minimums ruling	11/4/200	9 SIG-0003825	SIG-0003826
	E-mail from Siddharth Bhattacharji to			010-0003826
	Victor Pais, Jim McGivern, Larry Rybacki			
	et al. re: here is my redline and clean			
	copy versions of the customer letter			
	w/Attach: BA-MDA-Customer letter			
CX 0925	090809	0/0/200		
	E-mail from Dave Pietryga to Victor Pais	9/9/200	9 SIG-0003939	SIG-0003945
CX 0929	re: ARRA			
	E-mail from Siddharth Bhattacharji to	9/18/200	9 SIG-0004087	SIG-0004088
	George Liu ro: pood your hul and			1
CX 0934	George Liu re: need your help with SDP of PRP			
577 0004		10/3/2009	9 SIG-0004268	SIG-0004269
	E-mail from Walter Florence to Siddharth			010-0004209
N 000F	Bhattacharji, McGivernand Victor Pais re:			
CX 0935	call with US COC	11/3/2000	SIG-0004415	
	Sigma's Monthly Financial Report For the		1010-0004415	SIG-0004416
X 0938	Period Ended October 31, 2009	11/25/2000		
	E-mail from Mitchell Rona to Jim	11/23/2009	SIG-0004495	SIG-0004498
X 0939	McGivern and OEM5 re: Hajoca	10/15/0000		
	E-mail from Jim McGivern to Mitchell	12/15/2009	SIG-0004684	SIG-0004685
X 0940	Rona, OEM5 re: Hajoca			
	isticito de trajoca	12/15/2009	SIG-0004686	SIG-0004687
	E-mail from Vieter Dais (1999)			
X 0943	E-mail from Victor Pais to Mitchell Rona			
X 0345	re: Follow up of McWane dialog	8/5/2009	SIG-0004893	SIG-0004893
X 0044	Letter from Victor Pais to Ruffner Page			010-0004893
X 0944	re: Personal	6/9/2009	SIG-0004895	
	E-mail from Victor Pais to Siddharth	0,0,2000	010-0004695	SIG-0004897
	Bhattacharji re: URGENT meeting this			
X 0946	mrg	8/24/2020	010 000 10 10	
	E-mail from Victor Pais to OEM5 re: Draft	0/24/2009	SIG-0004919	SIG-0004921
	of the proposed Customer Letter about			
K 0947	BA/MDA			
	E-mail from Victor Pais to Mitchell Rona	9/9/2009	SIG-0004961	SIG-0004962
	re: Master Distribution Americana	T		
(0948	re: Master Distribution Agreement follow			
. 00+0		9/9/2009	SIG-0004963	SIG-0004965
	E-mail from Victor Pais to Rick Tatman	1		
	re: Signed Master Distribution Agreement			
	document and draft customer letter			
	w/Attach: BA-MDA-CUSTOMER			
0950	LETTER-091509.doc	0/17/2000		
		9/1//200915	SIG-0005071	SIG-0005075
	E-mail from Victor Pais to OEM5 re: RT's			
	resp to draft of BA-MDA-CUSTOMER			
	LETTER-091500 dea w/Attack BALLER			
0951	LETTER-091509.doc w/Attach: BA-MDA-			
	CUSTOMER LETTER-091509.doc	9/17/2009 S	IG-0005076	SIG-0005079
	E-mail from Victor Pais to Mitchell Rona			0000079
	and OEM5 re: Master Distribution			
	Agreement vs De Minimis (which way to			
	play the game) w/Attach: MDA-GM			
0050	COMP-092309.xls			
0952	UDIVIP-092309.XIS	9/23/2009 SI		

	E-mail from Victor Pais to Larry Rybacki		I	
	re: Caution about VR on Master			
	Distribution Agreement> Sigma Rebate			
CX 0953	on Domestic Fittings	9/24/2009	SIG-0005176	SIG-0005176
	E-mail from Victor Pais to Walter			
	Florence and M5 re: ARRA/BA &			
CX 0954	Chamber of Commerce	10/31/2009	SIG-0005254	SIG-0005255
0/10001	E-mail from Victor Pais to Walter	10/0112000		
	Florence, McGivernand Siddharth			
	Bhattacharji re: US Chamber Conference			
	Call - Confirm 11/4 @ 5pm EST (4pm			
CX 0955	Central)	11/4/2009	SIG-0005263	SIG-0005265
0/10000	E-mail from Mark Meyer to Victor Pais re:			
	Response to your update abt Star's			
CX 0956	deliveries (guys, see bel	6/25/2009	SIG-0005348	SIG-0005350
0// 0000	E-mail from Walter Florence to Victor	0/20/2000	010 0000040	
CX 0957	Pais and Jeff Marcus re: Ares call	6/24/2009	SIG-0005374	SIG-0005378
0// 000/	E-mail from Siddharth Bhattacharji to Jeff	0/2 1/2000		
	Marcus and Victor Pais re: capturing the			
CX 0958	SDP expenses	6/18/2009	SIG-0005488	SIG-0005488
0/(0000	E-mail from Stuart Box to Siddharth	0,10,2000		
	Bhattacharji re: development plans for			
CX 0959	SDP	6/17/2009	SIG-0005505	SIG-0005507
0// 0000	E-mail from Siddharth Bhattacharji to	0/11/2000	010-0000000	
	Siddharth Bhattacharji re: step-by-step-			
	waiver-ARRA w/Attach: step-by-step-			
CX 0961	waiver-ARRA	6/13/2009	SIG-0005568	SIG-0005572
0/(0001	E-mail from Siddharth Bhattacharji to	0,10/2000	010 0000000	
	Victor Pais, Rybackiand Tom Brakefield			
	re: mtg distributors to discuss ARRA and			
CX 0962	BA in AWWA	6/7/2009	SIG-0005705	SIG-0005705
0/(0002	E-mail from Stuart Box to Siddharth	0,172000		
	Bhattacharji, Gopi Ramanathan, Victor			
	Pais et al. re: notes from our meeting			
CX 0963	6/03 & 04	6/5/2009	SIG-0005750	SIG-0005754
	E-mail from Stuart Box to Victor Pais and			
	Siddharth Bhattacharji re: Response on			
	your Korea sourcing plan with strategic			
	look @ other options w/Attach: slde			
CX 0964	cost analysis using domestic quotes.xls	5/15/2009	SIG-0005907	SIG-0005918
	E-mail from Michael Walsh to Mike Roy,			
	Kevin Flanagan, Ken Stephenson et al.			
	re: Multiplier & List Change w/Attach:			
CX 0965	Price Multipliers for May 12 2009.doc	5/11/2009	SIG-0005954	SIG-0005955
	E-mail from Siddharth Bhattacharji to			
	liuguang, Liuand Pramod Neotia re: an			
	update on our ftgs pricing strategy			
	w/Attach: CUSTOMER LETTER -			
	PRICING REVIEW-FITTINGS-			
CX 0966	042709.pdf	4/28/2009	SIG-0006060	SIG-0006061
	E-mail from Stuart Box to Victor Pais and			
CX 0968	OEM5 re: BA Options	4/24/2009	SIG-0006163	SIG-0006166
	E-mail from Mitchell Rona to Victor Pais			
	and Siddharth Bhattacharji re: QRR			
	>ко		SIG-0006198	SIG-0006201

SIGMA CORPORATION Attachment A

[·······	
	E-mail from Siddharth Bhattacharji to Jeff Marcus re: our appraisal (JM Request for file) w/Attach: response to your questions about the market and pricing.msg;			
CX 0974	response to your questions msg	3/3/20	09 SIG-0006850	SIG-0006857
	E-mail from Tom Brakefield to Victor			010-0000837
	Pais, Siddharth Bhattacharji and Larry			
	Rybacki re: Draft of 'OPEN CHOICE			
	CUSTOMER LETTER' w/Attach: 'OPEN CHOICE' CUSTOMER LETTER-			
CX 0977	012809.doc	1 10 0 10 0		
	E-mail from Victor Pais to Mitchell Rona,	1/28/200	09 SIG-0007852	SIG-0007855
	Siddharth Bhattacharji, Gopi			
	Ramanathan et al. re: Recap of SDP			
CX 0978	meeting from Wednesday June 17th	6/18/200	9 SIG-0008015	SIG-0008017
	E-mail from Victor Pais to M20 re:			313-0008017
01 0005	Reviving DIFRA w/Attach: DIFRA-			
CX 0985	SIGMA-SMS-1108.xls	5/4/200	9 SIG-0008179	SIG-0008183
	E mail from Vieter Dais to Concern			
•	E-mail from Victor Pais to Gary Crawford and Stephen Gables re: Our letter to			
	EPA w/Attach: Victor Victor Pais to Peter			
	[Deleted Object] formal appeal to EPA			
CX 0988	for waiver consideration.msg	4/24/200		
	E-mail from Victor Pais to M20: Tyler	4/24/200	9 SIG-0008314	SIG-0008317
CX 0989	Price Increase	4/16/200	9 SIG-0008402	010 0000 (00
		4/10/200	91310-0008402	SIG-0008406
	E-mail from Victor Pais to Walter			
	Florence, McGivernand Siddharth			
	Bhattacharji re: US Chamber Conference			
	Call - Confirm 11/4 @ 5pm EST (4pm			
CX 0993	Central)	3/11/2010	SIG-0009770	SIG-0009772
	E-mail from Victor Pais to M20 re: URGENT> A comprehensive MAP-1 :			
	SALES STRATEGY for 09 w/Attach:			
	RST-09-MAP1SALES STRATEGY-			
CX 0995	M20-111108.doc	11/11/2000		
	Letter from Victor Pais to Sigma Sales	11/11/2008	SIG-0009844	SIG-0009850
	Team re: Follow up of our plans for BA-			
	Master Distribution Agreement with			
	Tyler/Union and additional source for			
X 0996	domestic Fittings in the 30 - 48"	9/30/2009	SIG-0009951	SIG-0009954
	E-mail from Ion a Shenoy to Victor Pais		0.0 000001	313-0009934
	re: - SOS Update (from VM) - Master			
	Distribution Agreement w/Attach: SOS			
X 0997	Master Distribution Agreement VP-VM			
× 0337	090909.doc E-mail from Siddharth Bhattacharji to Jim	9/22/2009	SIG-0009955	SIG-0009958
X 1000	re: SDA			
	Letter from Victor Pais to ARES team re:	1/19/2010	SIG-0010911	SIG-0010911
X 1001	Response to you queries	12/11/2000		
	E-mail from Victor Pais to M20 re:	12/11/2008	SIG-0011038	SIG-0011041
	Review of our FTG pricing by MULT +			
	PER LB w/Attach: FTG PRIC REVIEW-			
X 1002	MULT-PER LB-08.xls	1/27/2000	SIG-0011253	810 0044050
	E-mail from Victor Pais to Walter		010-0011203	SIG-0011258
	Florence re: BA review and Response to			
				1
	your queries w/Attach: BA REVIEW- RESPONSES to WF-022009.doc			

SIGMA CORPORATION Attachment A

[E-mail from Mark Meyer to Siddharth			
	Bhattacharji re: ARRA compliant			
CX 1004	products	0// 5/20		
	E-mail from Andy Podner to Siddharth	3/15/200	09 SIG-0013281	SIG-0013288
	Bhattacharji re: MHCN and Sigma			
	w/Attach: ARRA-Dodge			
CX 1007	Procentation(rev:0.0.00) d			
0/1100/	Presentation(rev6-8-09).doc	6/10/200)9 SIG-0014896	SIG-0014900
CY 1000	Sigma ARRA Projects in the McGraw Hill			
CX 1008	/ F.W. Dodge Network	6/8/200	9 SIG-0014901	SIG-0014907
	E-mail from Walter Florence to M4-BFA			010 0014307
	and Jim McGivern re: follow up on			
CX 1009	vesterday	6/10/200	9SIG-0014914	
	E-mail from Siddharth Bhattacharji to	0/10/200	500-0014914	SIG-0014916
CX 1011	Mark Meyer re: BA	5/27/200		
		5/2//200	9 SIG-0016419	SIG-0016420
	E-mail from Victor Pais to Larry Rybacki			
	re: URGENT Need to stabilize market			
	pricing w/Attach: PRICE INOREA OF			
CX 1014	pricing w/Attach: PRICE INCREASE			
0/1014	LETTER-112408.doc	11/24/2008	8 SIG-0016744	SIG-0016745
	E-mail from Victor Pais to Larry Rybacki			
OV 4040	re: draft of letter to Ruffner w/Attach:			
CX 1016	RP-McW 062309.doc	7/1/2009	SIG-0017109	SIG 0017444
				SIG-0017111
	E-mail from to Mitchell Rona to Gopi			
	Ramanathan and Stuart Box re: Updated			
CX 1017	SDP File w/Attach: SDPcompiledlist.xls	7/40/0000		
	E-mail from Jim McGivern to Victor Pais	//10/2009	SIG-0017116	SIG-0017140
	re: Possible Master Distributor			
CX 1018				
	Agreement with McWane	7/13/2009	SIG-0017142	SIG-0017143
	E-mail from Stuart Box to Siddharth			
	Bhattacharji, Mitchell Rona, Gopi			
	Ramanathan et al. re: some questions on			
X 1020	the MTF offer	8/12/2009	SIG-0017481	SIG-0017482
				313-0017482
	E-mail from Victor Pais to Gopi			
	Ramanathan re: follow up of our lunch			
	mtg 9/16 Confidential BOD update			
X 1022	w/Attach: BOD-UPDATE-GL-091409.doc	0/40/0000		
	Letter from Victor Pais to Fang Gang re:	9/18/2009	SIG-0018232	SIG-0018241
	A Strategic Update - State of Sigma and			
X 1023	a few BIG Opportunities			
	a few BIG Opportunities	10/4/2009	SIG-0018577	SIG-0018585
X 1024	E-mail from Craig Schapiro to Larry			
<u>A 1024</u>	Rybacki re: Pricing Corrections	11/3/2009	SIG-0018736	SIG-0018737
	E-mail from Michael Walsh to Larry			010-0010737
	Rybacki and Jim McGivern re: CRM			
	domestic fitting business plana			
X 1032	success story	1/15/2010	SIG-0022068	
	E-mail from Dave Pietryga to Tom	1/13/2010	010-0022068	SIG-0022069
	Brakefield, Jim McGivern, Larry Rybacki			
K 1033	et al. re: Market Pricing			
	Sigma: Key Operational Highlights -	1/28/2010	SIG-0022209	SIG-0022209
K 1036	January 2010			
. 1000		3/2/2010 8	SIG-0022442	SIG-0022444
	E-mail from Victor Pais to M20 re:			
	Review of RST-09PCA-09PLAN-09			
K 1042	w/Attach: RST-GMT-09-050109-V6.xls	5/6/2000	SIG-0023200	SIC 0000000
				SIG-0023203
	E-mail from Siddharth Bhattacharji to Jim			
	McGivern and Victor Pais re: status on			
				1
K 1045	Master Distribution Agreement		G-0025318	

	E moil from Mitchell Device L OFME			
	E-mail from Mitchell Rona to OEM5 re:			
	Completed negotiations with			
	McWaneplease read carefully			
	w/Attach: Terms and Conditions of Sale-			
01/ 10/0	Tyler.doc; MDA-RESPONSE-final-08-31-			
CX 1046	09.doc	8/31/2009	9 SIG-0025345	SIG-0025348
	E-mail from Jim McGivern to Siddharth			
	Bhattacharji re: recd latest email from			
CX 1047	James M. Proctor	9/4/2009	SIG-0025443	SIG-0025447
	E-mail from Victor Pais to Siddharth			
	Bhattacharji, Walter Florence and S.			
CX 1049	Goldblatt re: QRR on yours dilemma	2/27/2009	SIG-0025767	SIG-0025769
				010-0023709
	E-mail from Victor Pais to S. Goldblatt re:			
	Update on EPA w/Attach: Victor Pais to			
	Peter ShanaghanP_formal appeal to			
CX 1051	EPA for Waiver consideration.msg	4/24/2000	SIG-0025841	SIC 0005040
	E-mail from Gopi Ramanathan to Victor	-1/24/2003	1313-0023841	SIG-0025842
	Pais and OEM5 re: Our disc on our 'SDP			
CX 1052	(Sigma Dom Prodn) plan	E/34/3000	810 0025050	010 000-0
	E-mail from Victor Pais to Walter	5/24/2009	SIG-0025853	SIG-0025855
	Florence re: A few strategic Options for			
CX 1053	SIGincluding a 'Big Plan''MAX'?	E 100 10000	010 000-0	
	Letter from Larry Rybacki to Sigma's	5/26/2009	SIG-0025856	SIG-0025860
	Valued Customer re: Pricing Review for			
CX 1058	AWWA MJ Fittings			
<u> </u>	Lottor from Long Dub and the of	4/24/2009	SIG-0026895	SIG-0026896
	Letter from Larry Rybacki to Sigma Utility			
	Fittings Customers in ME, VT, NH et al.			
	re: New Multipliers effective May 12,			
X 4000	2009 off New List Price Sheet dated the			
CX 1060	same.	5/8/2009	SIG-0026986	SIG-0026986
	E-mail from Victor Pais to M4-BFA and			
	Tom Brakefield re: Response to Ares			
	questionnaire w/Attach ARES-			
	QUESTIONNAIRE RESPONSE-			
X 1063	071609.doc	7/16/2009	SIG-0027775	SIG-0027781
	E-mail from Tom Brakefield to Michael		010 0021110	010-0027781
	Walsh re: CRM domestic fitting business			
X 1066	plana success story	12/10/2000	SIG-0029992	
	E-mail from Michael Walsh to Jim	12/10/2009	010-0023332	SIG-0029993
	McGivern, Tom Brakefield, Al			1
	Richardson et al. re: Agenda items for			1
X 1067	TDG	1/12/2010		
	E-mail from Victor Pais to Walter	1/13/2010	SIG-0030021	SIG-0030021
	Florence, Bhattacharjiand S. Goldblatt re:			
	Re-thinking 'BA' strategy an interesting			
X 1069	dilemma	0/00/000-		
	E-mail from Larry Rybacki to M20 re:	2/26/2009	SIG-0030709	SIG-0030710
	Tyler Union Latter w/Attacks To M20 re:			
X 1070	Tyler Union Letter w/Attach: Tyler Union			
<u> 10/0</u>	Letter 1-27-09.tif	1/27/2009	SIG-0031214	SIG-0031215
	E-mail form Victor Pais to Dan			
	McCutcheon and Larry Rybacki re:			
-	Thank you for your support w/Attach			
	WASMA-BA AMENDMENT to ARRA-			
	012709.pdf, WASMA-AMENDMENT-			
	ARRA-MODIFICATION #2-012809.doc.			
	WASMA-FINAL WHITE PAPER-			
	012609.doc, WASMA-COVER LETTER-			
V 1070	012609.doc			1
X 1072	1012003.000	1/30/2009 S		SIG-0031385

			T	
	Sigma Letter to M5 re : '10-in-10' Top			
CX 1074	10 goals for the 'M5' team for PLAN-10	12/7/200	9 SIG-0031999	SIG-0031999
	E-mail from Walter Florence to Victor			010-0001333
	Pais re: A few strategic Options for			
CX 1076	SIGincluding a 'Big Plan''MAX'?	5/26/200	9 SIG-0032214	SIG-0032218
	E-mail from Michael Walsh to Victor Pais	0.20,200		010-0032218
CX 1077	re: QRRECDA pricing	12/18/200	8 SIG-0032461	SIG-0032463
CX 1080	Agenda for DIFRA meeting - 3/27/2008		B SIG-0033569	SIG-0032463
	E-mail from Tom Brakefield to Rick	0,21,200	500-0033303	310-0033569
CX 1081	Tatman re: DIFRA	2/7/2009	3 SIG-0033686	010 0000000
	E-mail from Tom Brakefield to Larry	2/1/2000	51310-0033000	SIG-0033688
	Rybacki re: Proposed Trade Association			
	Meeting - Ductile Iron Fittings Research			
CX 1083	Association (DIFRA)	2/12/2000		
	E-mail from Dan McCutcheon to Thad G.	2/13/2008	3 SIG-0033713	SIG-0033715
	Long, Tom Brakefield, Rick Tatman et al.			
CX 1084	re: DIFRA Meeting	0 10 10 0 0	· · · · · · · · · · · · · · · · · · ·	
0/1/004	E-mail from Dan McCutcheon to Tom	3/3/2008	SIG-0033723	SIG-0033724
	Brokofield Thed C Lass Mathe D			
CY 1095	Brakefield, Thad G. Long, Victor Pais et			
CX 1085	al. re: [no subject]	5/7/2008	SIG-0033863	SIG-0033865
CV 4000	E-mail from Tom Brakefield to Larry			
CX 1086	Rybacki re: DIFRA numbers	6/5/2008	SIG-0033880	SIG-0033880
014 4 00-	E-mail from Victor Pais to Walter			
CX 1087	Florence re: My quick trip to Birmingham	10/20/2008	SIG-0034036	SIG-0034040
	E-mail from Victor Pais to M20 re: An			
	important Review of DIFRA (SRP) and			
	SMS (Sigma Market Share) w/Attach:			
CX 1088	DIFRA-SIGMA-SMS-808.xls	10/13/2008	SIG-0034050	SIG-0034056
_	E-mail from Tom Brakefield to Larry	······································		
CX 1089	Rybacki re: DIFRA	5/6/2008	SIG-0034406	SIG-0034408
	E-mail from Tom Brakefield to Larry			
	Rybacki re: response to the tonnage for			
	DIFRA w/Attach re: DIFRA Input Output			
CX 1090	Format Rev 2 xls (3).xls	5/30/2008	SIG-0034420	910 0024400
	E-mail from Dan McCutcheon to Tom	0,00/2000	0.0-0004420	SIG-0034423
X 1091	Brakefield re: DIFRA numbers	R/5/2000	SIG-0034424	0000000
	E-mail from Victor Pais to M20 re: DIFRA	0/0/2000	010-0004424	SIG-0034424
	Data and Sigma Market Share (SMS)			
	review w/Attach: DIFRA-SMS-408-			1
X 1092	SUMMARY XIS	6/10/2022		
X 1092	SUMMARY.xis	6/19/2008	SIGTP00016204	SIGTP00016206
X 1092	SUMMARY.xls	6/19/2008	SIGTP00016204	SIGTP00016206
	SUMMARY.xls E-mail from Victor Pais to Walter			
	SUMMARY.xls E-mail from Victor Pais to Walter Florence re: My quick trip to Birmingham		SIGTP00016204 SIG-0034827	SIGTP00016206 SIG-0034831
	SUMMARY.xls E-mail from Victor Pais to Walter Florence re: My quick trip to Birmingham Letter from Victor Pais to Walter			
X 1095	SUMMARY.xls E-mail from Victor Pais to Walter Florence re: My quick trip to Birmingham Letter from Victor Pais to Walter Florence re: response to your PLAN-09	10/31/2008	SIG-0034827	SIG-0034831
X 1095	SUMMARY.xls E-mail from Victor Pais to Walter Florence re: My quick trip to Birmingham Letter from Victor Pais to Walter Florence re: response to your PLAN-09 inputs	10/31/2008		
X 1095 X 1096	SUMMARY.xls E-mail from Victor Pais to Walter Florence re: My quick trip to Birmingham Letter from Victor Pais to Walter Florence re: response to your PLAN-09 inputs E-mail from Bob Leggett to Victor Pais	10/31/2008 11/17/2008	SIG-0034827 SIG-0034860	SIG-0034831 SIG-0034864
X 1095 X 1096	SUMMARY.xls E-mail from Victor Pais to Walter Florence re: My quick trip to Birmingham Letter from Victor Pais to Walter Florence re: response to your PLAN-09 inputs E-mail from Bob Leggett to Victor Pais re: AWWA Follow Up	10/31/2008 11/17/2008	SIG-0034827	SIG-0034831
X 1095 X 1096	SUMMARY.xls E-mail from Victor Pais to Walter Florence re: My quick trip to Birmingham Letter from Victor Pais to Walter Florence re: response to your PLAN-09 inputs E-mail from Bob Leggett to Victor Pais re: AWWA Follow Up E-mail from Victor Pais to Siddharth	10/31/2008 11/17/2008	SIG-0034827 SIG-0034860	SIG-0034831 SIG-0034864
X 1095 X 1096	SUMMARY.xls E-mail from Victor Pais to Walter Florence re: My quick trip to Birmingham Letter from Victor Pais to Walter Florence re: response to your PLAN-09 inputs E-mail from Bob Leggett to Victor Pais re: AWWA Follow Up E-mail from Victor Pais to Siddharth Bhattacharji re: your letter to WF with my	10/31/2008 11/17/2008	SIG-0034827 SIG-0034860	SIG-0034831 SIG-0034864
X 1095 X 1096 X 1098	SUMMARY.xls E-mail from Victor Pais to Walter Florence re: My quick trip to Birmingham Letter from Victor Pais to Walter Florence re: response to your PLAN-09 inputs E-mail from Bob Leggett to Victor Pais re: AVWA Follow Up E-mail from Victor Pais to Siddharth Bhattacharji re: your letter to WF with my edits w/Attach: response to walter	10/31/2008 11/17/2008	SIG-0034827 SIG-0034860	SIG-0034831 SIG-0034864
X 1095 X 1096 X 1098	SUMMARY.xls E-mail from Victor Pais to Walter Florence re: My quick trip to Birmingham Letter from Victor Pais to Walter Florence re: response to your PLAN-09 inputs E-mail from Bob Leggett to Victor Pais re: AWWA Follow Up E-mail from Victor Pais to Siddharth Bhattacharji re: your letter to WF with my edits w/Attach: response to walter 121009.doc	10/31/2008 11/17/2008 7/1/2009	SIG-0034827 SIG-0034860	SIG-0034831 SIG-0034864 SIG-0034946
X 1095 X 1096 X 1098	SUMMARY.xls E-mail from Victor Pais to Walter Florence re: My quick trip to Birmingham Letter from Victor Pais to Walter Florence re: response to your PLAN-09 inputs E-mail from Bob Leggett to Victor Pais re: AWWA Follow Up E-mail from Victor Pais to Siddharth Bhattacharji re: your letter to WF with my edits w/Attach: response to walter 121009.doc E-mail from Fred Stevens to Victor Pais	10/31/2008 11/17/2008 7/1/2009	SIG-0034827 SIG-0034860 SIG-0034946	SIG-0034831 SIG-0034864
X 1095 X 1096 X 1098 X 1100	SUMMARY.xls E-mail from Victor Pais to Walter Florence re: My quick trip to Birmingham Letter from Victor Pais to Walter Florence re: response to your PLAN-09 inputs E-mail from Bob Leggett to Victor Pais re: AWWA Follow Up E-mail from Victor Pais to Siddharth Bhattacharji re: your letter to WF with my edits w/Attach: response to walter 121009.doc E-mail from Fred Stevens to Victor Pais and Dave Pietryga re: Proposal for VR-	10/31/2008 11/17/2008 7/1/2009	SIG-0034827 SIG-0034860 SIG-0034946	SIG-0034831 SIG-0034864 SIG-0034946
X 1095 X 1096 X 1098 X 1100	SUMMARY.xls E-mail from Victor Pais to Walter Florence re: My quick trip to Birmingham Letter from Victor Pais to Walter Florence re: response to your PLAN-09 inputs E-mail from Bob Leggett to Victor Pais re: AWWA Follow Up E-mail from Victor Pais to Siddharth Bhattacharji re: your letter to WF with my edits w/Attach: response to walter 121009.doc E-mail from Fred Stevens to Victor Pais and Dave Pietryga re: Proposal for VR- 09 for Michigan Pipe and Valve	10/31/2008 11/17/2008 7/1/2009 12/10/2009 \$	SIG-0034827 SIG-0034860 SIG-0034946 SIG-0036028	SIG-0034831 SIG-0034864 SIG-0034946 SIG-0036034
X 1092 X 1095 X 1096 X 1098 X 1098 X 1100 X 1101	SUMMARY.xls E-mail from Victor Pais to Walter Florence re: My quick trip to Birmingham Letter from Victor Pais to Walter Florence re: response to your PLAN-09 inputs E-mail from Bob Leggett to Victor Pais re: AWWA Follow Up E-mail from Victor Pais to Siddharth Bhattacharji re: your letter to WF with my edits w/Attach: response to walter 121009.doc E-mail from Fred Stevens to Victor Pais and Dave Pietryga re: Proposal for VR- 09 for Michigan Pipe and Valve E-mail from Victor Pais to Dan	10/31/2008 11/17/2008 7/1/2009 12/10/2009 \$	SIG-0034827 SIG-0034860 SIG-0034946	SIG-0034831 SIG-0034864 SIG-0034946
X 1095 X 1096 X 1098	SUMMARY.xls E-mail from Victor Pais to Walter Florence re: My quick trip to Birmingham Letter from Victor Pais to Walter Florence re: response to your PLAN-09 inputs E-mail from Bob Leggett to Victor Pais re: AWWA Follow Up E-mail from Victor Pais to Siddharth Bhattacharji re: your letter to WF with my edits w/Attach: response to walter 121009.doc E-mail from Fred Stevens to Victor Pais and Dave Pietryga re: Proposal for VR- 09 for Michigan Pipe and Valve	10/31/2008 11/17/2008 7/1/2009 12/10/2009 \$	SIG-0034827 SIG-0034860 SIG-0034946 SIG-0036028	SIG-0034831 SIG-0034864 SIG-0034946 SIG-0036034

	E-mail from Al Richardson to Victor Pais			
	and RM6 re: Pricing Alert in NTX and			
CX 1103	OK	2/23/2010	SIG-0037763	SIG-0037767
0,11100	E-mail from Victor Pais to M20, Jim			
	Stohr, Joel Wilmsmeyer et al. re: A New			
	Opportunity to improve Pricing FAB			
CX 1104	w/Attach: uvintl pricing.pdf	1/18/2010	SIG-0038617	SIG-0038620
	E-mail from Victor Pais to Greg Fox and			
	Larry Rybacki re: Need to stabilize			
CX 1108	market pricing	11/4/2008	SIG-0040121	SIG-0040124
	E-mail from Victor Pais to Dick Williams			
	re: PW Playing Field w/Attach: SIG-PW-			
CX 1109	Growth Strategy Update doc	12/5/2008	SIG-0040241	SIG-0040248
	E-mail from Victor Pais to Dan			
CX 1111	McCutcheon re: Lunch Thursday	12/9/2008	SIG-0052160	SIG-0052160
	E-mail from Victor Pais to Dan	0.00.0000	0.0 0050070	010 0050070
CX 1112	McCutcheon re: mtg.	9/3/2009	SIG-0052376	SIG-0052376
	E-mail from Ruffner Page to Victor Pais			
07 4440	re: Response to your feedback a	11410000	SIG-0052897	SIG-0052898
CX 1113	strategic opportunity	1/4/2008	1516-0052697	SIG-0052696
	E-mail from Siddharth Bhattacharji to			
	Barry Keane re: price increase			
OV 1111	announcement by Tyler w/Attach:	1/15/2008	SIG-0053116	SIG-0053117
CX 1114	20080114102950625.pdf E-mail from Rick Tatman to Victor Pais	1/15/2000	313-0033110	310-0033117
CV 1117	re: 3"-8" DIWF from Tyler/Union	2/1/2008	SIG-0053397	SIG-0053397
CX 1117	E-mail from Raju Kakani to Victor Pais	2/ 1/2000	010-0000001	
	re: Tyler multiplier analysis w/Attach:			
CX 1121	2007SalesWithTylerBlendedMult.xls	2/19/2008	SIG-0053561	SIG-0053567
	E-mail from Dan McCutcheon to Victor	2,10,2000		
	Pais re: Nit Noi Thai Restaurant & Nit Noi			
CX 1122	Cafe	2/19/2008	SIG-0053608	SIG-0053608
	E-mail from Mitchell Rona to Siddharth			
	Bhattacharji and Victor Pais re: 3"-8"			
CX 1124	DIWF from Tyler/Union	3/11/2008	SIG-0054525	SIG-0054528
	E-mail from Dick Williams to Victor Pais			
	and M20 re: Our pricing strategy for			
CX 1125	FTGs	4/11/2008	SIG-0055257	SIG-0055258
[E-mail from Christopher King to Victor			
	Pais and M20 re: Change To Price			
CX 1126	increase letter	4/18/2008	SIG-0055497	SIG-0055498
	E-mail from Greg Fox to Victor Pais,			
	Larry Rybacki, Jeff Marcus et al. re:			
	Regional Managers Review topics			
	w/Attach: Regional Review April	4/00/00000		
CX 1127	2008.doc	4/26/2008	SIG-0055586	SIG-0055589
	E mail from Val02 @an interact arm to			
	E-mail from Xal83@sprintspcs.com to			
	M20 re: TylerUnion Price Increase			
CV 1100	w/Attach:	5/2/2002	SIG-0055830	SIG-0055831
CX 1128	TylerUnionAnnouncementMay72008.pdf E-mail from Tom Brakefield to Victor	5/6/2006	1010-0000000	00000001
	Pais and Larry Rybacki re: Star's			
CY 1120	tonnage data	5/17/2008	SIG-0056075	SIG-0056075
CX 1129	E-mail from Tom Brakefield to Victor	3/1//2000		
CX 1130	Pais re: Star's tonnage data	5/30/2008	SIG-0056509	SIG-0056509
	E-mail from Victor Pais to Walter	0,00/2000		
CX 1131		5/8/2008	SIG-0057822	SIG-0057825
CX 1131	Florence re: Following up on RED/D2H	5/8/2008	SIG-0057822	SIG-0057825

				
	E-mail from Victor Pais to M20 re:			
	Revised Plant Work letter as discd @			
	RMR last week w/Attach: Customer			
CX 1132	Letter-Plant Work Job Pricing 50508.pdf	5/6/2008	3 SIG-0057840	SIG-0057840
	E-mail from Victor Pais to M20 re: Price			
CX 1133	increase	5/5/2008	SIG-0057850	SIG-0057850
	E-mail from Victor Pais to Larry Rybacki			
	and M20 re: Change To Price increase			
CX 1134	letter	4/18/2008	SIG-0057961	SIG-0057963
	E-mail from Victor Pais to Dave Pietryga,			j.
	Rybackiand Siddharth Bhattacharji re:			
CX 1135	Our pricing strategy for FTGs	4/14/2008	SIG-0057981	SIG-0057982
				010-0037982
	E-mail from Victor Pais to M20 re: Resp			
CX 1137	to your inputs on our Pricing Strategy	4/11/2008	SIG-0057997	SIG-0057999
	E-mail from Victor Pais to M20 re: Our		0.0 0007007	
	pricing strategy for FTGs w/Attach:			
	Sigma-Multiplier Map-508.pdf; Customer			ļ
	Letter-Plant Work & Job Pricing -			
CX 1138	41008.doc	1/11/2000	SIG-0058000	
	E-mail from Victor Pais to Mitchell Rona	4/11/2006	31G-0058000	SIG-0058003
	and Siddharth Bhattacharji re: Follow up			
CX 1141	with Tyler	2/0/2000	SIG-0058296	
	E-mail from Victor Pais to Siddharth	3/9/2008	516-0058296	SIG-0058297
	Bhattacharji, Mitchell Rona, Tom			
	Brakefield et al. re: QRR DG + FTG			
CX 1142	from Union			
0/ 1142	E-mail from Victor Pais to Dan	2/8/2008	SIG-0058404	SIG-0058407
CX 1143				
0/ 1143	McCutcheon re: our meeting E-mail from Victor Pais to M20 re:	2/8/2008	SIG-0058408	SIG-0058408
CV 1145	Multiplier Review w/Attach: Multiplier			
CX 1145	Review-by Terry-1207-12408.xls	1/24/2008	SIG-0058464	SIG-0058473
	E-mail from Steve Goodwyn to Victor			
OV 4447	Pais re: MCC Floor Price Revision			
CX 1147	w/Attachments (List Pricing)	10/20/2008	SIG-0058519	SIG-0058538
014 4 4 40	E-mail from Mitchell Rona to OEM5 re:			
CX 1149	Short talk with Rick Tatman	8/22/2008	SIG-0059439	SIG-0059439
	E-mail from Steve Goodwyn to M20 re:			
	Fittings Multiplier Analysis w/Attach:			
	Copy of FTG-PRC-MULT-608.xls,			
	TYLER Feb 2008 Map-BLENDED.pdf,			
CX 1151	Tyler 08 Multiplier Revisions.ppt	7/25/2008	SIG-0060075	SIG-0060084
	E-mail from Victor Pais to M20 re: MCC			
CX 1152	Floor Price Revision	10/17/2008	SIG-0060408	SIG-0060408
	E-mail from Victor Pais to Siddharth			
	Bhattacharji re: A few addl (may be even			
CX 1153	2nd) thoughts on P2	9/30/2008	SIG-0060494	SIG-0060405
· · · · · · · · · · · · · · · · · · ·	E-mail from Victor Pais to Ashok Frank			SIG-0060495
CX 1154	re: need to delay decision	مرمريمهم	SIG-0060579	810 0000500
	E-mail from Victor Pais to M20 re: My	0/0/2000	10-0000379	SIG-0060580
	visit to Concord 8/8/08and a few			
CX 1155	recommendations	0/0/0000		
	E-mail from Victor Pais to Walter	9/2/2008	IG-0060590	SIG-0060596
	Florence and Siddharth Bhattacharji re:			
	Your observations as to the scope of 'P2			
CX 1156	(Power-of-2)' Plan	0.000		
0/(1100		8/28/2008 S	IG-0060605	SIG-0060607

[E-mail from Victor Pais to Walter			1
	Florence, Rybackand Siddharth			
	Bhattacharji re: Conf call with Ruffner -			
CX 1157	Friday 8/29 @ 11am EDT/10am CDT	8/26/200	8 SIG-0060609	
	E-mail from Victor Pais to OEM5 re: My	0/20/200	0000009	SIG-0060611
	outline of Global opportunities to			
	Ruffner w/Attach: Re_VP to			
CX 1158	Ruffner_ISOPatterns & tooling.msg	7/10/200		
	E-mail from Victor Pais to Walter	//10/200	8 SIG-0060688	SIG-0060693
	Florence, Fang Gang, Jim McGivern et			
	al. re: Resending Presentation			
	package for Acquisition Plan 'D2H'			
CX 1162	w/Attachments			
	E moil from Mistor D inter Diversion	7/30/200	8 SIG-0068486	SIG-0068510
	E-mail from Victor Pais to Bill Mitch re:			
	Dutco update w/Attach: Victor to			
	Naga_Follow up with a promising J_V			
	opportunitymsg; XINDIA-XXP-			
CX 1163	McWane Strategic Plan-80408.doc	8/15/2008	B SIG-0068520	SIG-0068531
CX 1164	Sigma: A Management Update	9/14/2009	SIG-0002602	SIG-0002610
	E-mail from Victor Pais to OEM5 re: Draft		1	
	of the proposed CUSTOMER LETTER			
	about BA/MDA w/Attach: BA-MDA-			
CX 1166	CUSTOMER LETTER-090809	Q/R/2000	SIG-0000778	010 0000700
	E-mail from Siddharth Bhattacharji to	0/0/2008		SIG-0000782
	Mark Meyerand Mitchell Rona re: our			
CX 1172	plans for MTF ftgs	2101000	SIC 0004505	
	E-mail from Victor Pais to Bruce	3/0/2009	SIG-0001505	SIG-0001506
	Ellenberger re: Our response to your			
CX 1173	follow about our interest in Metalfit	F 100 10		
0// 11/0	E-mail from Victor Pais to M20 re: DIFRA-	5/26/2009	SIG-0001526	SIG-0001527
	SIGMA-SMS-1008 w/Attach: DIFRA-			
CX 1174	SIGMA-SMS-1008 W/Attach: DIFRA- SIGMA-SMS-1008			
2/11/4		12/7/2008	SIG-0009857	SIG-0009859
	E-mail from Larry Rybacki to M20 re:			
	Multiplier with Logo and Larry's Signature			
V 1400	w/Attach: Sigma Multiplier Adjustment 2-	:		
CX 1189	25-08.doc	1/30/2008	SIG-0053393	SIG-0053394
V 4464	OEM Distribution Agreement between			1
X 1194	McWane and Sigma	9/17/2009	SIG-00001	SIG-00021
	E-mail from Walter Florence to M4-BFA			
	re: From Craig: TYLER/UNION NEW			
X 1291	price increase letter	1/14/2008	SIG-0053145	SIG-0053147
	E-mail from Rick Fairbanks to John			
X 1357	Hagelskamp re: AFC Fittings	5/28/2000	SIG-0035008	SIG 0025000
	E-mail from Larry Rybacki to Rick	0,20,2009	0.0-0000000	SIG-0035008
	Fairbanks re: Sigma Rebate on Domestic			
X 1358	Fittings	0/24/2000	SIC 0004450	
	E-mail from Michael Walsh to Jim	9/24/2009	SIG-0004152	SIG-0004152
	McGivern re: One more topic for the			
X 1377	Chicago meeting	FIZIOALA		
	E-mail from Michael Walsh to Harry Bair,	5///2010	SIGTP00005192	SIGTP00005192
	Dennis Loughead, Roy et al. re: price			
X 1378	increase from Tyler?!			
	E-mail from Michael Match to Michael	6/17/2010	SIGTP00005176	SIGTP00005176
	E-mail from Michael Walsh to Victor			
	Pais, Larry Rybacki, Siddharth			
V 4070	Bhattacharji et al. re: Sigma Rebate on			
X 1379	Domestic Fittings	9/24/2009	SIGTP00004993	SIGTP00004994
	E-mail from Ken Stephenson to Michael			
	Walsh, Harry Bair, Dennis Loughead et			
X 1384	al. re: price increase from Tyler?!	6/22/2010	SIGTP00006839	SIGTP00006840
			10 11 00000009	151151 PDDD06840

[E-mail from Victor Pais to Stuart Box &			
	M20 re: SDP plan going down the			
CX 1386	road	6/14/200		
		0/14/200	9 SIGTP00010785	SIGTP00010788
	E-mail from Al Richardson to Victor Pais,			
	M20, & Gopi Ramanathan re: Response			
	on your Korea sourcing plan with			
CX 1395	strategic look @ other options	5/15/2000	SIGTP00009022	010TReserves
	E-mail from Michael Walsh to Mike Roy,	0/10/2003	5101F00009022	SIGTP00009028
	Susan Van Hook, Kevin Flanagan, et al			
	re: New Sigma Price Increase Letter			
	w/Attach: Sigma Price Increase 6-24-			
CX 1396	2010.pdf	6/25/2010	SIGTP00005143	CICTROSPOS
	E-mail from Michael Walsh to Craig		01011 00005145	SIGTP00005144
1	Schapiro re: Tyler Price Increase			
CX 1397	w/Attach: Tyler Increase Letter.pdf	6/25/2010	SIGTP00005141	
	E-mail from Greg Fox to Al Richardson	0/20/2010	0.011 00003141	SIGTP00005142
	Christopher King, Dave Pietryga et al. re-			
	Southeast Multiplier Adjustment			
	Announcement w/Attach:			
CX 1401	20080131100925819.pdf	1/31/2008	SIGTP00004727	SIGTROOM
	E-mail from Craig Schapiro to M20 re:		01011 00004727	SIGTP00004728
	Star Pipe MULT Increase Letter			
CX 1402	w/Attach: 20071022121727240.pdf	10/22/2007	SIGTP00004700	
	E-mail from Craig Schapiro to M20 re:	.0,22,2001	01011 00004700	SIGTP00004701
	Star - Utility Fittings Price List and			
CX 1403	Multiplier Change-FBE and P401	3/8/2011	SIGTP00007836	SIGTP00007837
	E-mail from Craig Schapiro to M20 re:		0.011 00007030	3131200007837
	Star - Utility Fittings List Price and			
CX 1404	Multiplier Change	2/25/2011	SIGTP00007337	SIGTP00007338
N/ / / 0 F	E-mail from Michael Walsh to RM6 re:			01011 00007338
CX 1405	new price list?	2/21/2011	SIGTP00007320	SIGTP00007322
X 1400	E-mail from Craig Schapiro to SIGALL			
CX 1406	re: Star - New Fitting Multipliers	6/18/2010	SIGTP00006846	SIGTP00006847
	E-mail from Larry Rybacki to Al			
	Richardson & Ion a Shenoy re: IS to			
X 1407	above - Customer - Price Increase Letter			
<u>, 1407</u>	from Larry.	6/24/2010	SIGTP00006832	SIGTP00006833
	E-mail from Victor Pais to M20 re: HDS-			
X 1410	SRT-07-T2 (By Region) w/Attach: RST-			
A 1410	07-HDS-22807.xls	3/18/2007 8	SIGTP00004550	SIGTP00004552
	E-mail from Victor Dais to Moo			1
	E-mail from Victor Pais to M20 re: Price			
X 1413	Increase Letter w/Attach: Mid-Year Price Increase Letter-060810.docx			
	E-mail from Victor Pais to Al Richardson,	6/8/2010 5	GTP00006788	SIGTP00006791
	Craig Schapiro, & M20 re: expected EPA			
X 1415	final guidelines	0/0-/		
<u>A 1415</u>	E-mail from Rick Tatman to Mitchell	6/25/2009 S	IGTP00010926	SIGTP00010929
	Rona re: Agreement to Sell Sigma			
	Domestic Product w/Attach: Sigma -			
	Domestic Product Agreement 6 4			
X 1434	2009.pdf	G/F/DODD	0.000004	
		0/5/2009 5	IG-0002014	SIG-0002014
	E-mail from Rick Tatman to Victor Pais			
	re: Notice of Termination Sigma Master			
	Distribution Agreement w/Attach: Notice			
	of Termination Sigma Master Distribution			
X 1435	Agreement 2 17 2010.pdf	2/17/2010 SI	0 0000000	
		21112010[5]	G-0002022 I	SIG-0002022

r				
	E-mail from Rick Tatman to Mitchell			
	Rona re: TylerUnion Rules of Play -			
	Sigma Master Distribution Agreement			
	(2).doc w/Attach: TylerUnion Rules of			
0 \(\) \(Play - Sigma Master Distribution			
CX 1436	Agreement (2).doc	9/24/2009	9 SIG-0002035	SIG-0002035
014407	E-mail from Larry Rybacki to Mitchell			
CX 1437	Rona, Jim McGivern, & OEM5 re: Hajoca	12/15/2009	SIG-0004680	SIG-0004681
	E-mail from Larry Rybacki to M20 re:			
	January 2, 2008 Price Increase w/Attach:			
OV 4400	January 2 2008 Price Increase			
CX 1438	Revision.doc	12/21/2007	SIGTP00000010	SIGTP00000011
OV 4 400	E-mail from Victor Pais to M20 re: Our			
CX 1439	Pricing Strategy	12/26/2007	SIGTP00000024	SIGTP00000025
	E-mail from Mitchell Rona to Stephen			
	Gables, Loweand Phil Goodwin re:			
OV 4 4 4 0	Revised AWAA fittings prices for July			
CX 1440	2007 July 1st	7/4/2007	SIGTP00000799	SIGTP00000800
	E-mail from Craig Schapiro to Mark	······································		
	Troyanowski re: Annual Fitting Bid for			
	Water One Bid Packages w/Attach:			
CX 1441	HULK Program Files.PDF	11/5/2007	SIGTP00000829	SIGTP00000839
	E-mail from Andy Podner to Al			1
	Richardson, Larry Rybacki, Siddharth			
CX 1442	Bhattacharji re: Plant Pricing	1/5/2007	SIGTP00003179	SIGTP00003180
	E-mail from Tom Brakefield to Victor			
	Pais & Siddharth Bhattacharji re:	i		
	Revised PW Letter w/Attach: 2007			
CX 1443	Treatment Plant Pricing Letter.doc	1/5/2007	SIGTP00003185	SIGTP00003186
	E-mail from Siddharth Bhattacharji to			
	liuguang, Sunil Handa, Sean Salins et al.			
CX 1445	re: Cor-ten price	2/1/2007	SIGTP00003385	SIGTP00003387
	E-mail from Victor Pais to Jim McGivern,			
V 1440	Walter Florence, BFA-M4 et al. re: An			
X 1446	update on a couple of open issues	3/15/2007	SIGTP00003397	SIGTP00003399
	E-mail from Al Richardson to M20 re:			1
Y 1440	FW: w/Attachment:			
X 1448	20070323094043842.pdf	3/23/2007	SIGTP00003425	SIGTP00003426
	E-mail from Mitchell Rona to Rick			
	Tatman re: Request for pricing increase			
Y 1440	for the fittings we sell Tyler and Union			
X 1449	from A-1 Foundry in China	4/10/2007	SIGTP00003460	SIGTP00003460
	E-mail from SZF to liuguang & Victor			
V 1450	Pais re: An appeal about ISO Fittings			
X 1450	business pricing and shipments	9/16/2007	SIGTP00003564	SIGTP00003567
V 1AEA	Letter from David Glidewell to Ron			
X 1451	Douglas re: proposal attached	9/8/2009	SIG-0001584	SIG-0001585
	E-mail from Michael Walsh to Tom			
V 1AEG	Brakefield re: New List Price Sheet			
X 1456	2/12/07	1/16/2007 8	SIGTP00030762	SIGTP00030762
	E-mail from Tom Brakefield to			
V 4 450	Christopher King & Dave Pietryga re:			
X 1458	ARRA Compliance/SDP update	7/18/2009 5	SIGTP00026513	SIGTP00026513
	E-mail from Tom Brakefield to Greg Fox,			
	Michael Walsh, Christopher King et al.			
X 1460	re: follow up of Domestic material po's with Tyler			

	E-mail from Christopher King to Tom			
	Brakefield, Larry Rybacki, Victor Pais et			
	al. re: Tyler Letter distributed to 2/18 in			
	Northern California w/Attach:			
CX 1463	20070118094840115.pdf	1/18/2007	SIGTP00013016	SIGTP00013017
	E-mail from Tom Brakefield to Michael			
CX 1466	Walsh re: Home Depot meetings	1/3/2007	SIGTP00012842	SIGTP00012842
	E-mail from Tom Brakefield to Raju			
	Kakani re: DIFRA report w/Attach:			
CX 1467	DIFRA 2006 Draft.xls	2/16/2007	SIGTP00002341	SIGTP00002343
0/ 1407	E-mail from Mitchell Rona to OEM5 re:			
	Master Distribution Agreement vs De			
	Minimis (which way to play the game)			1
CV 4470	w/Attach: MDA_worksheet1.xls	9/23/2009	SIGTP00027347	SIGTP00027348
CX 1470	E-mail from Mitchell Rona to Michael	0,20,2000		
	Walsh re: need further definition of Tyler	0/20/2000	SIGTP00029996	SIGTP00029996
CX 1471	death row inmates	9/28/2009	SIG1P00029990	3131700029990
	E-mail from Mitchell Rona to M20 re:	0/40/0044	0107000000000	SICTD00021221
CX 1472	Domestic Fittings Prices for Sigma	2/16/2011	SIGTP00031331	SIGTP00031331
	E-mail from Dick Williams to AR1, Larry			
	Rybacki, Victor Pais et al. re: Plant			
CX 1490	Pricing	1/5/2007	SIGTP00012829	SIGTP00012829
	E-mail from Larry Rybacki to M20 re:			
CX 1502	Bruce Himes' letter fowarded	4/2/2007	SIGTP00013146	SIGTP00013148
	E-mail from Victor Pais to Walter			
	Florence & Ronald W. Kuehl re: A			
	Growth Strategy thru Acq in PW			
	sectorw/Attach: SIG-Plant Work-ACQ			
CX 1503	Strategy-1127.doc	11/27/2007	SIGTP00001182	SIGTP00001189
CX 1003	E-mail from Victor Pais to M20 re: Star			
CX 1505	update	7/16/2009	SIGTP00026507	SIGTP00026508
CX 1303	E-mail from Mitchell Rona to Craig			
	Schapiro, Dick Williams and Victor Pais			
01 4540		0/23/2000	SIGTP00027349	SIGTP00027350
CX 1510	re: Domestic multipliers	9/20/2003	01011 00027040	
	E-mail from Larry Rybacki to Victor Pais			
	and Greg Fox re: Sigma Rebate on	0/04/2000	SIGTP00027341	SIGTP00027342
CX 1513	Domestic Fittings	9/24/2009	310TF00027341	51311 00027342
	E-mail from Harry Bair to Michael Walsh	0.000.0000		CICTD00027265
CX 1514	re: HD Chantilly	9/24/2009	SIGTP00027365	SIGTP00027365
	E-mail from Craig Schapiro to Jim Stohr,			
	Kane Connor, Joel Wilmsmeyer et al. re:			
	old material that is in stock labeled			
	Sigma from original purchase from			
CX 1515	Tyler/Union	9/28/2009	SIGTP00026934	SIGTP00026934
	E-mail from Dick Williams to Victor Pais			
CX 1518	and M20 re: FAB-PRC review	12/11/2009	SIGTP00027737	SIGTP00027742
	E-mail from Victor Pais to Greg Fox, Tom			
	Brakefield, Victor Pais et al. re:			
CX 1519	TylerUnion Price Increase	12/29/2009	SIGTP00028025	SIGTP00028027
	E-mail from Craig Schapiro to Mitchell		1	
	Rona and RM6 re: Tyler Domestic			
OX 1501	Business	2/23/2010	SIGTP00018408	SIGTP00018409
CX 1521		2/25/2010		
	E-mail from Victor Pais to Al Richardson,			
	M20, Jim Stohr et al. re: Tyler domestic	41010040	SICTD00034393	SIGTP00021387
CX 1522	vs import	4/9/2010	SIGTP00021382	301-00021307
	E-mail from Victor Pais to M20 re: Impact			
	of McW's Price Chance w/Attach: FTG-			
			1	
	ASP Impact Post-MCW Price Change-		SIGTP00026694	SIGTP00026695
	E-mail from Dave Pietryga to Al			
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	Richardson, Christopher King, Greg Fox			
	et al. re: Domestic Comparison w/Attach:			
CX 1527	Dom Comp.xls	12/18/2009	SIGTP00030223	SIGTP00030224
<u>CA 1527</u>				
	E-mail from Stuart Box to Mitchell Rona			
OV 4500	and Craig Schapiro re: price increase	12/12/2007	SIGTP00055583	SIGTP00055585
CX 1528	E-mail from Stuart Box to Mitchell Rona	12/12/2001		
	re: ACIPCO reaction to price increase	10/6/0007		SIGTP00055608
CX 1529	letter from KF3#	12/6/2007	SIGTP00055608	3131F 00033000
	E-mail from Stuart Box to Craig Schapiro			
	re: from Craig - Domestic pricing			0107000050407
CX 1530	structure effective 5/15/09	5/15/2009	SIGTP00056197	SIGTP00056197
	E-mail from Stuart Box to Mitchell Rona			
CX 1531	re: Leadtime?	10/1/2009	SIGTP00056316	SIGTP00056317
	E-mail from Stuart Box to Frank Ross			
OV 4500	and MC Sateesh re: First piece sample	9/28/2009	SIGTP00056331	SIGTP00056331
CX 1532	E-mail from Stuart Box to Sreenivasa	0.20,2000		
		3/25/2010	SIGTP00056466	SIGTP00056466
CX 1533	Rao re: Defective DFB2490	512,512010		
	E-mail from Frank Ross to Stuart Box re:	6140,0000	SIGTP00059549	SIGTP00059552
CX 1534	Budgetary Numbers	6/12/2009	5161200059549	3101F00059552
	E-mail from Stuart Box to Tom Brakefield			
CX 1535	re: Domestic Fittings - Debary Project	6/24/2009	SIGTP00059593	SIGTP00059595
	E-mail from Stuart Box to Mitchell Rona			
	and Gopi Ramanathan re: SDP trip			
	report to Pryor OK to visit American			
CX 1536	foundry	7/4/2009	SIGTP00059629	SIGTP00059630
CX 1550	E-mail from Stuart Box to Jim McGivern,			
	Mitchell Rona and OEM5 re: TylerUnion			
	Mitchell Roha and OEMS re. Tyleronion			
	Rules of Play - Sigma Master Distribution	0/00/0000	SIGTP00059716	SIGTP00059717
CX 1537	Agreement (2).doc	9/29/2009	SIGTP00059710	SIG1F00039717
	E-mail from Stuart Box to Starla Suttles			0107000001602
CX 1538	re: Visit to Union Foundry	11/27/2009	SIGTP00061689	SIGTP00061692
	E-mail from Stuart Box to Victor Pais re:			
	Need for serious 'SWOT' Review of Cost			
CX 1539	of Production and Options	9/6/2007	SIGTP00067502	SIGTP00067506
	E-mail from Stuart Box to SDP re: follow			
	up on Sales meeting at ALX w/Attach:			
	PRPD commercial analysis with AF and			
07 4540	TF 092609 sb2-1.xlsx	9/26/2009	SIG-0022781	SIG-0022783
CX 1540	TF 092009 SD2-1.XISX	0/20/2000		
	E weil from Orea Fay to Studt Poy rot			
	E-mail from Greg Fox to Stuart Box re:			
	Update and Q&A info on Master			
	Distribution Agreementw/Attach: BA-			
1	MDA- Background Memo-to-SST-			
	092009.doc; BA-MDA- Q & A-to-SST-			
	092009.doc; SIGMA-BA-MDA-Customer			
	Letter-092209-clean.doc; McWane			
CX 1541	Announcement Sept 22nd 2009.pdf	9/22/2009	9 SIGTP00055557	SIGTP00055572
	E-mail from Stuart Box to Fields and			
	Craig Schapiro re: Clow fittings w/Attach:			
CY 1542	20090313103333038.pdf	3/13/2009	9 SIGTP00056181	SIGTP00056182
CX 1542	E-mail from Mitchell Rona to Stuart Box			
1	re: Sigma 08 Multiplier Map Ver 051908			
	w/Attach: Sigma 08 Multipliers Ver	E IO IO OO		SIGTP00059198
CX 1543	051908.pdf	5/2/200	8 SIGTP00059197	
	E-mail from Mitchell Rona to Stuart Box			
	re: TylerUnion Price Increase w/Attach:			
	MC - Domestic 1-22-10.doc	12/22/200	9 SIGTP00059206	SIGTP00059207

Г	E-mail from Frank Ross to Stuart Box re:			
	Pattern being shipped to PMC			
CX 1545	(DMB2445)	6/25/2009	SIGTP00059601	SIGTP00059603
0/ 1040	E-mail from Jeff Marcus to BOD re: BOD	0/20/2000		
	Call 122209 w/Attach: Board Call			
CX 1651	122209.ppt; Board Call 122209.pdf	12/18/2009	SIGTP00099766	SIGTP00099819
	E-mail from Victor Pais to liuguang re:	12/10/2000		
	our lunch mtg today w/Attach: BOD-			
CX 1667	Update-GL-091409.doc	0/15/2000	SIG-0005010	SIG-0005019
0/ 100/	E-mail from Victor Pais to RM6 re: Priuce	5/15/2005		
CX 1684	[sic] increase plan	4/24/2008	SIG-0057928	SIG-0057929
0/ 1004	E-mail from Al Richardson to Victor Pais	4/24/2000	010-0007 320	010-0007 323
	& M20 re: DIFRA Data and Sigma			
CX 1685	Market Share (SMS) review	6/20/2008	SIG-0033927	SIG-0033928
CA 1005	E-mail from Victor Pais to Larry Rybacki	0/20/2000	000000000000	
	re: URGENT Need to stabilize market			
	pricing w/Attach: Price Increase Letter-		1.	
CV 1696		11/04/0000		SIGTP00016532
CX 1686	112408.doc Letter from Larry Rybacki to Valued	11/24/2008	SIGTP00016531	13131 - 000 10332
	Sigma Customers re: List Price Increase			
	January 2, 2008/Multiplier Increase			
CX 1687	November 5, 2007	10/22/2007	SIGTP00032139	SIGTP00032139
CA 1007	E-mail from Greg Fox to Al Richardson	10/23/2007	SIG 1F00052159	3131F00032139
CX 1726	re: HD in Arkansas pricing form Tyler	3/6/2009	SIGTP00040717	SIGTP00040718
CA 1720	E-mail from Stuart Box to Song Xinyang	5/0/2000	3131F00040717	3131F00040718
CX 1729	re: Need your opinion privately	5/21/2000	SIGTP00059505	SIGTP00059505
CA 1729	E-mail from Victor Pais to SIGALL re:	5/31/2009	3131700039303	3131F00039505
	Year in reviewwith a Look ahead and a			
CX 1731		12/22/2000	SIGTP00059916	SIGTP00059920
CX 1731	modest year end sharing plan	12/23/2009	5161200059910	3131700039920
	E-mail from Greg Fox to Greg Daniels,			
	Kevin Stine, Debbie Baker et al. re:			
	TylerUnion Price Increase w/Attach:			
CX 1734	TylerUnionAnnouncementMay72008.pdf	5/9/2009	SIGTP00032817	SIGTP00032818
<u>CA 1734</u>	E-mail from Michael Walsh to Jim	5/6/2008	3101F00032017	13131F00032818
	McGivern re: Sigma price increasestory			
CX 1736		7/22/2010	SIGTP00017537	SIGTP00017540
CA 1730	has changed E-mail from Jim McGivern to Victor Pais	1123/2010	3131700017337	3131F00017540
CV 1727	and M20 re: Price Increase Letter	6/7/2010	SIGTP00022531	SIGTP00022532
CX 1737	E-mail from Craig Schapiro to M20 re:	0/7/2010	5161F00022551	3131700022552
CX 1738	Star News - June 2010	6/1/2010	SIGTP00022633	SIGTP00022638
CA 1730	E-mail from Vinayak Bhandary to Craig	0/1/2010	3131700022033	3131F00022038
	Schapiro, Sean Salins, Victor Pais et.al			
	re: TylerUnion service issues w/Attach:			
CX 1739	TYLER Receipts.xls	2/18/2010	SIG-0009349	SIG-0009398
00 1/39	E-mail from Tom Brakefield to	2/10/2010	515-0009349	1910-0009990
	tross@bradleyarant.com re: DIFRA			
	billing for Sigma corp & Association			
CX 1741	billing for Signa corp & Association	1/21/2007	SIGTP00002335	SIGTP00002336
	E-mail from Billie Sue Atkinson to Tom	1/3//2007	5131F00002555	0.0110002330
	Morton re: BSA to USP: Lacking			
	Segment/Lacking Ring/Pulling Head			
	Price Update - Effective March w/Attach:			
CX 1742	POGRONCURRENCY.pdf,	4/20/2000		
CX 1743	USPLSpricingMarch1.pdf Letter from Victor Pais to BOD Team re:	1/30/2008	SIGTP00058771	SIGTP00058773
CX 1744		41710000	SIC 0002642	SIC 0002646
CX 1744	A Management update	1///2009	SIG-0002643	SIG-0002646

	E-mail from Jeff Marcus to M4 re: SIG			
	Mega Plan Sent to Ares w/Attach: MEGA			
	PLAN-COVER LETTER to BANK	1		
	PRESENTATION-080409.doc, ARES			
CX 1745	GROUP 080709.ppt			
	E-mail from Michael Walsh to Ken	8/7/200	9 SIG-0003469	SIG-0003475
CX 1746	Stephenson re: Rates			
	E-mail from Raju Kakani to M5, RM6,	8/17/201	0 SIGTP00005357	SIGTP00005357
	Craig Schapiro et al. ray Dame V. O. J			
	Craig Schapiro et al. re: DomesticSales- 2010-Data w/Attach: DomesticSales-			
CX 1747	2010-Data WAllach, DomesticSales-			
	Sigma Canaalidate d Ei	1/5/201	1 SIGTP00024042	SIGTP00024043
	Sigma Consolidated Financial			01011 00024043
CX 1748	Statements and Supplementary			
0/ 1/40	Information 12/31/10 and 2009	12/31/2010	0 SIGTP00067092	SIGTP00067124
	Sigma Consolidated Financial			<u>5191F0006/124</u>
CX 1749	Statements and Supplementary			
CA 1749	Information 12/31/09 and 2008	12/31/2009	SIGTP00067125	
	Sigma Consolidated Financial		101011 00007125	SIGTP00067155
01 4750	Statements for the Period 10/10/07 to			
CX 1750	12/31/07	12/31/2007	SIGTP00067221	
	E-mail from Dave Pietryga to Christopher	12/01/2007	13131900067221	SIGTP00067247
A 1	King, Richardsonand RM6 re: Price			
CX 1751	Increase Letter	5/11/2000		
			SIGTP00012516	SIGTP00012516
	E-mail from Michael Walsh to Harry Bair			
CX 1752	and Ken Stephenson re Fera pricing	1/10/0044		
	E-mail from Craig Schapiro to SST-ALL	1/19/2011	SIGTP00005513	SIGTP00005515
CX 1753	re: yet another PL2011 update	0.00.000		
	E-mail from Craig Schapiro to Dana	3/9/2011	SIGTP00007835	SIGTP00007835
	Wax, Stohrand Scott Marlow re: our new			
CX 1754	DOM purchase pricing.			
	E-mail from Michael Walsh to Greg Fox,	3/9/2011	SIGTP00007834	SIGTP00007834
	Christopher King, Dave Pietryga et al. re:			
	more info on Larry VM from yesterday on			
X 1756	deal with Tyler			
	E-mail from Michael Walsh to Steve	9/18/2009	SIGTP00004999	SIGTP00005000
X 1757	McDopald rev Driving in Fort			
	McDonald re: Pricing in ECDA	3/17/2010	SGTP00005031	SGTP00005031
	E-mail from Michael Walsh to Jim			
X 1758	McGivern, Al Richardson and Larry			
A 1750	Rybacki re: Price Increase	7/6/2010	SIGTP00005316	SICTRODOCT
	E-mail from Victor Pais to M20 re:			SIGTP00005317
	Preview of New LP NOT positive!			
V 1750	w/Attach: FTGS-NEW LP-021611-			
X 1759	IMPORT.xls	2/17/2011	GTP00024131	
	E-mail to Michael Walsh to Mike Roy,		101700024131	SIGTP00024133
	Susan Van Hook, James Funck et al. re			
()===	Couple of points of clarification on			
K 1760	Domestic Fittings	12/2/2010		
		12/2/2010 5	IGTP00017696	SIGTP00017696
	E-mail from Michael Walsh to Ken			
(1761	Stephenson and Craig Schapiro re. Tyler	10/1/00/10	070 444	
	E-mail from Craig Schapiro to SIGALL	12/1/2010 SI	IGTP-00017697	SIGTP-00017697
(1764	re: important note regarding D- items	40/010000		
the second se	E-mail from Victor Pais to M20 re:	10/9/2009 SI	GTP00029605	SIGTP00029605
1766	Sigma's BA plan			
	E-mail from Victor Pais to Michael Walsh	9/8/2009 SI	GTP00026683	SIGTP00026686
1767	and M20 re: Domostio Etting			
	and M20 re: Domestic Fittings	8/11/2009 SI	GTP00026505	SIGTP00026506
	E-mail from AI Richardson to Michael			0.01100020000
(1770	Walsh re: Tyler price increase policy		GTP00005807	

			F	1
	E-mail from Al Richardson to Jim			
	McGivern, Paisand M20 re: clarification			
CX 1771	on Tyler price charge for Domestic FTGs	1/7/2010	SIGTP00005774	SIGTP00005777
	E-mail from Victor Pais to AI Richardson			
	and M20 re: clarification on Tyler price			
CX 1772	change for Domestic FTGs	1/7/2010	SIGTP00005770	SIGTP00005773
	E-mail from Craig Schapiro to Steve			
	McDonald re: Conference call on BA &			
CX 1773	DA options	5/15/2009	SIGTP00008936	SIGTP00008943
	E-mail from Greg Fox to Larry Rybacki,			
	Paisand Siddharth Bhattacharji re:	6/40/2000		SIGTP00010771
CX 1774	AWWA Sales Meeting Topics	6/12/2009	SIGTP00010771	
	E-mail from Mitchell Rona to OEM5 re:			
	MR to All Re:Tyler Offer w/Attach: Sigma			
01/ 4005	- Domestic Product Agreement 7 29	7/30/2009	SIG-0020131	SIG-0020132
CX 1805	2009.pdf E-mail from Mitchell Rona to OEM5 re:	1130/2003	010-0020101	
	LOI for Master Distributorship w/Attach:			
	Sigma - Domestic Product Agreement 8			
CX 1806	24 2009.pdf	8/24/2009	SIG-0001024	SIG-0001026
	E-mail from Tom Brakefield to Victor			
	Pais & Larry Rybacki re: DIFRA			
	Conference Call 04/25/08 @ 10:00 AM			
CX 1841	CST	4/24/2008	SIG-0034709	SIG-0034709
	E-mail from Larry Rybacki to Victor Pais			
	& OEM5 re: My Response to Customer			
CX 1842	Letter about BA/MDA	9/8/2009	SIG-0003922	SIG-0003923
	E-mail from Thad G. Long to Rick			
	Tatman, Victor Pais, Tom Brakefield et			
	al., re: Ductile Iron Fittings Research		ľ í	
	Association w/Attach: DIFRA 2006			
CX 1843	Draft.xls	3/19/2008	SIG-0033742	SIG-0033746
	E-mail from Tom Brakefield to Larry			
	Rybacki re: DIFRA - 508 w/Attach:	C/4 D/D000	0.0 000007	SIG-0033888
CX 1844	DIFRA Input Output Format Rev 2.xls	6/13/2008	SIG-0033887	31G-0033888
	E-mail from Tom Brakefield to Victor			
	Pais, Siddharth Bhattacharji, & Larry Rybacki re: 2008 Summary Of Share By			
	YTD Monthly And Projection (STR 04/08)			
	w/Attach: DIFRA Input Output Format			
CX 1845	Rev 2.xls	6/18/2008	SIG-0033893	SIG-0033894
07 1040	E-mail from Tom Brakefield to Victor		1	
	Pais, Siddharth Bhattacharji, & Larry		-	
	Rybacki re: REV # 1 (TB2) 04/08 DIFRA			
	report approved & First Report Summary			
	Report w/Attach: DIFRA Input Output			
CX 1846	Format Rev 2.xls	6/18/2008	SIG-0033895	SIG-0033896
	E-mail from Victor Pais to Tom			
	Brakefield & Siddharth Bhattacharji re:			
	VP to RK2: DIFRA-SMS Report August			
CX 1848	2008	10/1/2008	SIG-0034791	SIG-0034792
	E-mail from Victor Pais to Larry Rybacki	A 14 4 14 4		010 0000004
CX 1850	re: VP to LR: Haaaaallillilooooo	8/28/2008	SIG-0060603	SIG-0060604
	E-mail from Greg Fox to Linda Moen,			
	Greg Daniels, Gloria Lamborne et al. re:	6/40/0000	SICTOMOSSAN	SIGTP0003340
CX 1851	Price Increase	0/16/2008	SIGTP0003340	1010170000040

				
	E-mail from Victor Pais to M11 re: VP to	Τ		
	RM6: Our price increase letters to			
	customers w/Attach: Price Increase			
	Letters (123009-CHI.pdf; 123009-			
	ONT pdf: 122000 ALX - 15 40000-			
CX 1852	ONT.pdf; 123009-ALX.pdf; 123009-			
0/ 1002	HTN.pdf; 123009-CRM.pdf)	12/30/20	09 SIGTP00052830	SICTRODUCTO
CX 1050	E-mail from Victor Pais to M20 re: VP to			SIGTP00052835
CX 1853	IAR: McWane	2/16/20		
	E-mail from Larry Rybacki to M20 re: LR	2/10/20	10 SIGTP00052853	SIGTP00052854
	to M20- Multiplier Increase Letter for May			
	19, 2008 w/Attach: Multiplier Increase			
CX 1855	For 5-19-08.tif			
		4/24/20	08 SIGTP00077528	SIGTP00077529
	E-mail from Larry Rybacki to M20 re: LR			0101700077529
	to M20-Multiplier Increase for May 30,			
	2008 w/Attach: Multiplier Increase for 5-			
CX 1856	30-08.tif	4/17/200		
		4/1//200	08 SIGTP00085022	SIGTP00085023
	E-mail from Michael Walsh to Harry Bair,			
	Ken Stephenson, Susan Van Hook et al.			
	re: LR to M20, Multi-		1	
ł	re: LR to M20- Multiplier Increase Letter			
CX 1858	for May 19, 2008 w/Attach: Multiplier			
00 1000	Increase For 5-19-08.tif	4/25/200	8 SIGTP00087481	
	E-mail from Victor Pais to Walter			SIGTP00087482
	Florence re: VP to WF: your msg to talk			
CX 1864	about P2	011010		
	E-mail from Raju Kakani to Tom	9/10/2008	8 SIG-0060577	SIG-0060578
	Brakefield ro: Sigma's Draft DUED to			
	Brakefield re: Sigma's Draft DIFRA Input			
CX 1866	Reporting Instructions w/Attach: DIFRA			
CA 1000	Input Output Format Rev 2.xls	4/29/2008	3 SIG-0034373	
	E-mail from Mitchell Rona to Siddharth		1010-0034373	SIG-0034376
	Bhattacharji, Jim McGivern, Victor Pais			
	et al. re: sb1 to jmg: your sugg to the			
CX 1867	Master Distribution Agreement			
	Open Letter from Jim Keffer, President of	9/6/2009	SIG-0025439	SIG-0025442
CX 1882	EBAA Iron Sales, Inc.			
	E moil from Oil Life in The	3/27/2009	SIG-0006525	SIG-0006527
	E-mail from Siddharth Bhattacharji to			010-0000327
	James P. Smith, Bill Newman, Kit			
	Lunney et al. re: update from san diego			
X 1984	convention	6/15/2000		
	E-mail from Siddharth Bhattacharji to	0/15/2009	SIG-0001573	SIG-0001574
	Victor Pais and OEM5 re: Review of			
	McW-Sigma Master Distribution			
X 1985	Agreement offer			
		8/3/2009	SIG-0002060	SIG-0002063
	E-mail from Siddharth Bhattacharji to			010-0002003
	Victor Pais re: here it is - it was tough to			
	edit! w/Attach: rp-personal letter-080509-			
X 1986	sb1.doc	O/E/DODDA		1
		0/5/2009	SIG-0003457	SIG-0003460
	E-mail from Siddharth Bhattacharji to			
X 1987	Victor Pais re: Urgent			
	Victor Pais re: Urgent - need your inputs	8/23/2009 8	SIG-0003611	SIG-0003612
i	E-mail from Siddharth Bhattacharji to			0.0-000012
(1000	Fred Stevens re: volume rebates for			
(1988	domestic ftgs	9/20/2000	20.0004040	
	E-mail from Siddharth Bhattacharji to		SIG-0004212	SIG-0004212
	Victor Pais re: my edits on the white			
	paper w/Attach: arra-ba-white-paper-			
(1991	020609-sb1.doc			
		2/6/2009	IG-0007134	SIC 0007405
1	E-mail from Siddharth Bhattacharji to		.0.007104	SIG-0007135
	Andy Podner and M20 re: QRR on tyler			
(1992	letter	4/15/2009 S		SIG-0013842

		······		
	E-mail from Siddharth Bhattacharji to			
CX 1993	Stuart Box, Victor Pais and OEM5 re:			
CX 1993	Two GDMB2445 go to San Diego!	6/8/200	9 SIG-0014881	SIG-0014884
	E-mail from Siddharth Bhattacharji to			
	Sue Love, Tom Brakefield, Victor Pais et			
	al. re: draft petition letter for our			
CX 1994	customers w/Attach: customer			
<u>CA 1994</u>	petition.doc	2/4/2009	9 SIG-0015539	SIG-0015540
	E-mail from Siddharth Bhattacharji to Jim			
CX 1996	re: monitoring the proposed changes to			
CA 1990	laws at state level	2/11/2009	9 SIG-0015677	SIG-0015677
	E-mail from Siddharth Bhattacharji to			
	Victor Pais re: edited BOD letter			
CX 1997	w/Attach: bod-'sos'-Plan-Review-060509-		· · · · ·	
CX 1997	sb1.doc	6/8/2009	SIG-0016479	SIG-0016491
	E-mail from Siddharth Bhattacharji to			
	Walter Florence, Ronald W. Kuehl, Troy			
	Noard et al. re: minutes of BOD mtg held			
CV 1000	april 14th 2009 w/Attach: sig-boardmtg-			
CX 1998	041409.doc	4/14/2009	SIG-0019431	SIG-0019433
	E-mail from Siddharth Bhattacharji to			
	Gopi Ramanathan re: Tooling cost for			
OV 1000	SDP w/Attach: Final Tooling - Sigma			
CX 1999	Board Fittings - SDP-sb1072009.xls	7/19/2009	SIG-0019437	SIG-0019478
	E-mail from Siddharth Bhattacharji to Jim			
	McGivern, Victor Pais, Larry Rybacki et			
	al. re: your sugg to the Master			
CX 2002	Distribution Agreement	9/5/2009	SIG-0025278	SIG-0025280
	E-mail from Siddharth Bhattacharji to			
	Walter Florence, Troy Noard, Ronald W.			
	Kuehl et al. re: minutes of Board Meeting			
	held 7/15/09 w/Attach: sig-boardmtg-			
CX 2003	071509.doc	7/15/2009	SIG-0025400	SIG-0025402
	E-mail from Siddharth Bhattacharji to			010 0020402
	OEM5 re: comments on tyler Master			
	Distribution Agreement w/Attach: tyler			
CX 2005	MDA-sb1 comment090209.doc	9/1/2009	SIG-0029754	SIG-0029774
	Meeting of the Board of Directors of			010 0020114
CX 2006	Sigma	7/15/2009	SIG-0030083	SIG-0030085
	E-mail from Tom Brakefield to Larry			010-0000000
	Rybacki and Siddharth Bhattacharji re:			
	DIFRA June Report w/Attach: Fittings			
CX 2011	Report June 2008.pdf	7/31/2008	SIG-0034777	SIG-0034780
	E-mail from Siddharth Bhattacharji to			010-0034780
-	Victor Pais and Mitchell Rona re: QRR			
CX 2014	on our alternative DG plans	3/14/2008	SIG-0054621	SIG-0054623
	E-mail from Siddharth Bhattacharji to			010-0004023
CX 2015	Mitchell Rona re: tyler	3/18/2008	SIG-0054746	SIG-0054748
	E-mail from Siddharth Bhattacharji to			818-0034748
	George Liu and liuguang re: draft ltr to			
CX 2017	ftgs suppliers - pl review	4/29/2008	SIG-0055628	SIG-0055629
	E-mail from Siddharth Bhattacharji to			010-000029
	Victor Pais, Larry Rybacki, Tom			
CX 2018	Brakefield et al. re: QRR on McW	RIDICICIA	SIG-0056602	
	E-mail from Siddharth Bhattacharji to	0121200013	nG-0000002	SIG-0056602
	Sean Salins, Ronaand Victor Pais re:			
	URGENT quote from tyler w/Attach:			
CX 2019	Sigma Quote.xls	6/11/2008 S	0.0056905	
		0/1/2008[5	0050825	SIG-0056828

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	E-mail from Siddharth Bhattacharji to			
	Ken Walton and Victor Pais re: sb1 to			
	rollover shareholders in SIG: investment			
	in Sigma and in a new real estate			
CX 2021	company SIGLAND	10/14/2008	SIG-0058568	SIG-0058570
	E-mail from Siddharth Bhattacharji to			
	Victor Pais re: pl review the draft BOD			
	minutes for 7/30 w/Attach: sig-boardmtg-			
CX 2022	073008-draft.doc	10/5/2008	SIG-0058791	SIG-0058793
CA 2022	E-mail from Siddharth Bhattacharji to	10/0/2000		
	Victor Pais re: yr em on D2H not sent to			
01 0004	TB2?	0/17/2008	SIG-0059078	SIG-0059080
CX 2024		3/17/2000	0000070	
	E-mail from Siddharth Bhattacharji to			
-	SST-ALL re: introducing a new supplier	0.17100000		
CX 2025	for our DM ftgs	8/7/2008	SIG-0059923	SIG-0059923
l.	E-mail from Jeff Marcus to BOD re: SIG			
	FINAL - BOD 102210 & Supplemental			
	w/Attach: BOD 102210.ppt, BOD 102210			
CX 2026	- Supplemental Pkg.ppt	10/20/2010	SIGTP00086349	SIGTP00086434
	E-mail from Victor Pais to Ruffner Page			
CX 2039	re: Response to your offer	1/6/2008	SIG-0058480	SIG-0058482
	E-mail from Ruffner Page to Victor Pais			
CX 2040	re: No worries	7/1/2008	SIG-0060296	SIG-0060296
	E-mail from Victor Pais to Ruffner Page			
	and James M. Proctor re: Format for			
	Financial data re: P2-BFA-Format-			
CX 2041	101008.xls	10/10/2008	SIG-0060431	SIG-0060432
0/ 2041	E-mail from James M. Proctor to Walter	10.10/2000		
	Florence, Ruffner Page and Victor Pais			
CX 2042	re: Meeting	11/11/2008	SIG-0039301	SIG-0039302
<u>CA 2042</u>	E-mail from Ruffner Page to Victor Pais	11/11/2000		
01/ 00/0		12/11/2000	SIG-0039742	SIG-0039743
CX 2043	re: Meeting in Chicago 12/22/08	12/14/2000	310-0039742	310-0039743
	E-mail from Victor Pais to Ruffner Page			
	re: a Outline for our meeting 10/22 to			
	discuss P2 plan w/Attach: P2-Proposal			
CX 2044	Outline-122208.ppt	12/20/2008	SIG-0009860	SIG-0009870
	E-mail from Craig Schapiro to Mitchell			
	Rona re: See sheet 2 on the excel			
CX 2045	sheet w/Attach: domestic_Mult.xls	12/21/2009	SIG-0002026	SIG-0002058
	Letter from Victor Pais to Alex and PJ			
CX 2116	Gopi re: A Strategic Master Plan	8/4/2008	SIG-0068377	SIG-0068383
	Letter from Victor Pais to Walter			
CX 2117	Florence re: Check List for Discussion	6/23/2008	SIG-0057552	SIG-0057554
	E-mail from Victor Pais to Yin Baohai &			
	Yin Zhenhao re: An update about the			
CX 2118	corporate changes at McWane	10/22/2007	SIGTP00001083	SIGTP00001085
	E-mail from Victor Pais to Larry Rybacki			
	& Siddharth Bhattacharji re: Ruffner's			
CX 2119	resp for my Request for a meeting	12/13/2007	SIGTP00058130	SIGTP00058131
UN 2119	E-mail from Victor Pais to Siddharth	12/10/2007		
	Bhattacharji re: URGPI review the			
	attached draft for McW w/Attach:	1011 10007		
CX 2120	McWane Meeting-121407.doc	12/14/2007	SIGTP00058127	SIGTP00058129
	E-mail from Craig Schapiro to M20 re:			
CX 2252	New Multipliers - Star Pipe Products	6/27/2008	SIGTP00016290	SIGTP00016291

r		· · · · · · · · · · · · · · · · · · ·		
	E-mail from Greg Fox to Russell Axon,			
	Gloria Lamborne, Scott Marlow et al. re:			
	Fitting/Accessory Price Increase			
	Confirmation Letters w/Attach: Price			
	Increase Southeast - July 14 2008.pdf;			
	Price Increase Mississippi - July 14			
CX 2253	2008.pdf	7/7/2008	3 SIGTP00094741	SIGTP00094743
	E-mail from Thad G. Long to Tom	1112000		3131700094743
CX 2272	Brakefield re: DIFRA Meeting	2140/2000		
0/ 2212	E-mail from Victor Pais to Leon	3/18/2008	3 SIG-0034192	SIG-0034192
1				
	McCullough re: Victor to Leon: Thank			
	you see you Tuesday Have a great			
CX 2291	weekend!	4/24/2009	SIG-0037288	SIG-0037289
	E-mail from Victor Pais to Tom			
	Brakefield, Larry Rybacki, & Siddharth			
CX 2329	Bhattacharji re: DIFRA meeting	5/14/2009	SIG-0032795	SIG-0032798
		0/14/2000	010-0032733	010-0032798
	Spreadsheet: Sigma International Group			
CX 2407	Financial Records January 31, 2008	410410000		
	in inalicial Records January 31, 2008	1/31/2008	SIGTP00067292	SIGTP00067292
	Spreadsheet: Sigma International Group			
CX 2408	Financial Records February 29, 2008	2/29/2008	SIGTP00067293	SIGTP00067293
		, , , , , , , , , , , , , , , , , , ,		
	Spreadsheet: Sigma International Group			
CX 2409	Financial Records March 31, 2008	3/31/2008	SIGTP00067294	SIGTP00067294
		0/0 1/2000	01011 00007234	51317 00007294
	Spreadsheet: Sigma International Group			
CX 2410		4/20/0000		
01 2410	Financial Records April 30, 2008	4/30/2008	SIGTP00067295	SIGTP00067295
	Spreadsheet: Sigma International Group			
CX 2411	Financial Records May 31, 2008	5/31/2008	SIGTP00067296	SIGTP00067296
			1	
	Spreadsheet: Sigma International Group			
CX 2412	Financial Records June 30, 2008	6/30/2008	SIGTP00067297	SIGTP00067297
	Spreadsheet: Sigma International Group	0/00/2000	01011 00007297	3131200087297
CX 2413	Financial Records July 31, 2008	7/24/2000		
		113 112008	SIGTP00067298	SIGTP00067298
	Conservation of the second sec			
	Spreadsheet: Sigma International Group			
CX 2414	Financial Records August 31, 2008	8/31/2008	SIGTP00067299	SIGTP00067299
	Spreadsheet: Sigma International Group			
CX 2420	Financial Records September 30, 2008	9/30/2008	SIGTP00067300	SIGTP00067300
		0,00/2000	0.011 00007000	
	Spreadsheet: Sigma International Group			
CX 2421	Financial Records October 31, 2008	10/04/0000		
		10/31/2008	SIGTP00067301	SIGTP00067301
	Spreadsheet: Sigma International Group			
CX 2422	Financial Records November 30, 2008	11/30/2008	SIGTP00067302	SIGTP00067302
	Spreadsheet: Sigma International Group			
CX 2423	Financial Records December 31, 2008	12/31/2008	SIGTP00067303	SIGTP00067303
		/01/2000	0.011 00007000	
	Spreadsheet: Sigma International Group			
CX 2424		410410000		
0/ 2424	Financial Records January 31, 2009	1/31/2009	SIGTP00067203	SIGTP00067203
	Spreadsheet: Sigma International Group			
CX 2425	Financial Records February 28, 2009	2/28/2009	SIGTP00067204	SIGTP00067204
	Spreadsheet: Sigma International Group			
CX 2426	Financial Records March 31, 2009	3/31/2000	SIGTP00067205	SIGTP00067205
		0,01/2009	01011 00007200	0101205

r				
	Spreadsheet: Sigma International Group			
CX 2427	Financial Records April 30, 2009	1/20/200	SICTRODOCTOC	
	E-mail from Matt Minamyer to Ramon	4/30/2008	SIGTP00067206	SIGTP00067206
CX 2428	Prado re: McDade-FL Issue	11/20/200		000001010
0/(2120	E-mail from Dan McCutcheon to Jason	11/29/2007	7 SPP011346	SPP011346
	O'Nan & Vishal Chandak re: CISPI file -			
CX 2429		0/00/0000		
<u>CA 2429</u>	domestic only quote 3.28.08	3/28/2008	3 E00002609	E00002609
	E-mail from Star Pipe Products to			
OX 0400	Outside Sales re: New Multipliers - Star			
CX 2430	Pipe Products	6/27/2008	3 SPP024877	SPP024878
	E-mail from Star Pipe Products to			
	Outside Sales re: New Multipliers - Star			
CX 2431	Pipe Products	6/27/2008	SPP024881	SPP024882
	E-mail from Star Pipe Products to			
	Outside Sales re: New Multipliers - Star			
CX 2432	Pipe Products	6/27/2008	SPP024883	SPP024884
	E-mail from Star Pipe Products to			
	Outside Sales re: New Multipliers - Star			
CX 2433	Pipe Products	6/27/2008	SPP024885	SPP024886
	E-mail from Vinod Mantri to Dan		011024000	SFF 024880
	McCutcheon re: DIFRA Report - Sept 08			
CX 2434	w/Attach: DIFRA Report.xls	10/13/2009	E00007074	500007000
	E-mail from Vinod Mantri to Dan	10/13/2006	E00007074	E00007083
	McCutcheon and Navin Bhargava re:			
	DIFRA Report - Oct 2008 w/Attach:			
CX 2435	DIFRA Report - Oct 2008 WAllach.	444440000		
JA 2400	E-mail from Dave Pietryga to M20 re:	11/11/2008	E00022589	E00022598
CX 2445	EW/: Tyles Impart Dries Issues			
57 2445	FW: Tyler Import Price Increase	12/22/2009	SIG-0002008	SIG-0002009
	E-mail from Greg Fox to Russell Axon et			
22 2440	al re: Sigma Fitting & Accessory Price			
CX 2446	Increase Announcement	12/31/2009	SIG-0027014	SIG-0027015
ov o .	E-mail from Rick Tatman to Tom			
CX 2447	Brakefield re: DIFRA	8/18/2008	SIG-0034589	SIG-0034589
	E-mail from Tom Brakefield to Rick			
CX 2448	Tatman re: DIFRA	9/23/2008	SIG-0034594	SIG-0034594
	E-mail from Susan Welch to Harry Bair et			
CX 2449	al re: Pricing for fittings	4/3/2008	SIGTP00015120	SIGTP00015121
	E-mail from Dave Pietryga to Brian Brian			
CX 2450	Ast et al re: FW: Tyler Price Increase	6/18/2010	SIGTP00022144	SIGTP00022145
	E-mail from Greg Fox to Russell Axon et		01011 00022114	01011 00022145
	al re: Southeast Sales Team: F&A Price			
X 2451	Increase	1/16/2000	SIGTP00034867	SIGTROODADOO
	E-mail from Greg Fox to Russell Axon et	1,10/2009	01011 00004007	SIGTP00034868
	al re: FW: New Multiplier - Star Pipe			
X 2452	Products	1/26/2000	SIGTP00034869	
	E-mail from Michael Walsh to Harry Bair	1/20/2009	5131700034869	SIGTP00034871
	et al re: Price Increase Letter from Larry			
X 2453	letter inde indease Letter indir Larry	CHO/OCTO		
	E-mail from Victor Pais to M20 re: VP to	6/10/2010	SIGTP00087498	SIGTP00087500
X 2454		10/17/00/2		
1 2404	M20 : Tyler update	10/17/2008	SIGTP00107036	SIGTP00107036
	Created basets Circuit I is a set			
V 0400	Spreadsheet: Sigma International Group			
X 2460	Financial Records May 31, 2009	5/31/2009	SIGTP00067207	SIGTP00067207
	Spreadsheet: Sigma International Group			
				1
X 2461	Financial Records June 30, 2009	6/30/200918	SIGTP00067208	SIGTP00067208
X 2461	Financial Records June 30, 2009 Spreadsheet: Sigma International Group	6/30/2009 8	SIGTP00067208	SIGTP00067208

CX 2463	Spreadsheet: Sigma International Group Financial Records August 31, 2009	8/21/2000		
	Tindholal Accolds Adgust 51, 2009	0/31/2008	SIGTP00067210	SIGTP00067210
	Spreadsheet: Sigma International Group			
CX 2464	Financial Records September 30, 2009	0/30/2000	SIGTP00067211	CLOT DOGO 7011
	I manour records opperinder 50, 2005	9/30/2005	131G1P00067211	SIGTP00067211
	Spreadsheet: Sigma International Group			
CX 2465	Financial Records October 31, 2009	10/31/2000	SIGTP00067212	SIGTP00067212
		10/01/2008		3131700007212
	Spreadsheet: Sigma International Group			
CX 2466	Financial Records November 30, 2009	11/30/2009	SIGTP00067213	SIGTP00067213
				01011 00007213
	Spreadsheet: Sigma International Group			
CX 2467	Financial Records December 31, 2009	12/31/2009	SIGTP00067214	SIGTP00067214
	RESERVED FOR Designated Deposition			
CX 2495	Transcript of Tom Brakefield - Volume 1	5/4/2012	CX 2495-001	CX 2495-001
		······································		
	RESERVED FOR Designated Deposition			
CX 2496	Transcript of Tom Brakefield - Volume 2	5/4/2012	CX 2496-001	CX 2496-001
	RESERVED FOR Designated Deposition			
CX 2523	Transcript of Siddharth Bhattacharji	5/29/2012	CX 2523-001	CX 2523-001
A 1 A A A A A A A A A A	RESERVED FOR Designated Deposition			
CX 2524	Transcript of Stuart Box	5/2/2012	CX 2524-001	CX 2524-001
	RESERVED FOR Designated			
	Investigational Hearing Transcript of Matt			
CX 2525	Minamyer	11/10/2011	CX 2525-001	CX 2525-001
OV 0500	RESERVED FOR Designated Deposition			
CX 2526	Transcript of Matt Minamyer	5/9/2012	CX 2526-001	CX 2526-001
	RESERVED FOR Designated			
CV 2527	Investigational Hearing Transcript of			
CX 2527	Victor Pais	7/23/2010	CX 2527-001	CX 2527-001
CX 2520	RESERVED FOR Designated Deposition			
CX 2528	Transcript of Victor Pais	5/31/2012	CX 2528-001	CX 2528-001
	RESERVED FOR Designated			
CX 2529	Investigational Hearing Transcript of Mitchell Rona	0 10 10 0 1-	01/ 0500 050	
UN 2029	RESERVED FOR Designated Deposition	8/6/2010	CX 2529-001	CX 2529-001
CX 2530	Transcript of Mitchell Rona	FUNCTION	01/ 0500 001	
0// 2000	RESERVED FOR Designated Deposition	5/18/2012	CX 2530-001	CX 2530-001
CX 2531	Transcript of Larry Rybacki	E IA AIO AAO	OV 0504 004	
0/12001		5/14/2012	CX 2531-001	CX 2531-001

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SIGMA CORPORATION Attachment B

CX 2495 - Brakefield, Thomas 05/04/2012 Deposition - Volume 1

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CX 2496 - Brakefield, Thomas 05/04/2012 Deposition - Volume 2

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CX 2523 - Bhattacharji, Siddarth 05/29/2012 Deposition

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CX 2526 - Minamyer, Matthew 05/09/2012 Deposition

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CX 2527 - Pais, Victor 07/23/2010 Investigational Hearing

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CX 2528 - Pais, Victor 05/31/2012 Deposition

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CX 2529 - Rona, Mitchell 08/06/2010 Investigational Hearing

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CX 2530 - Rona, Mitchell 05/18/2012 Deposition

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CX 2531 - Rybacki, Larry 05/14/2012 Deposition

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UNITED STATES OF AMERICA BEFORE THE FEDERAL TRADE COMMISSION

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In the Matter of

McWANE, INC., Respondent PUBLIC

DOCKET NO. 9351

DECLARATION

, pursuant to 28 U.S.C. § 1746, make the following

statement:

I.

- I am an employee of Sigma Corporation. I have personal knowledge of the facts set forth in this declaration, and if called as a witness I could and would testify competently under oath to such facts.
- 2. I have reviewed the documents referenced in Attachment A to this Declaration, which have been identified by Complaint Counsel with CX numbers for use as exhibits in the above-captioned matter.
- 3. I hereby certify that each document referenced in Attachment A herein: (a) was made at or near the time of the occurrence of the matters set forth by, or from information transmitted by, a person with knowledge of those matters; (b) was kept in the course of regularly conducted activity; and (c) was made by the regularly conducted activity as a regular practice.

1

Pursuant to 28 U.S.C. § 1746, I declare, under the penalty of perjury, that the foregoing is true and correct to the best of my knowledge, information, and belief.

Executed on:

Name: Title:

EXHIBIT 2

From: White, Matthew A. (Phila) [mailto:WhiteMA@ballardspahr.com]
Sent: Thursday, July 19, 2012 10:48 AM
To: Ansaldo, Alexander; joseph.ostoyich@bakerbotts.com; william.lavery@bakerbotts.com;
'tthagard@maynardcooper.com'
Cc: Leckerman, Jason (Phila); 'djasinski@washdc.whitecase.com'; Hassi, Edward; John, Leslie E. (Phila)
Subject: In Matter of McWane and Star Pipe, FTC Docket No. 9351

Dear Counsel,

I received yesterday by Federal Express documents and testimony that both the FTC and McWane have indicated may be introduced in the administrative hearing.

In light of the volume of information to review—over 500 exhibits and thousands of pages of deposition testimony—my client will file a motion with Judge Chappell requesting a 10 day extension of the July 27 deadline for filing any motion re: *in camera* treatment of the materials.

I note that my client has not decided actually to file a motion on the merits of *in camera* protection, and, I suspect, that any motion so filed will be for a limited category of documents.

All we are requesting now, however, is that both FTC and McWane interpose no objection to a request for an extension of the July 27 deadline.

We would appreciate your prompt response.

Regards,

Matthew A. White Ballard Spahr LLP 1735 Market Street, 51st Floor Philadelphia, PA 19103

Direct 215.864.8849 Fax 215.864.8999 email: <u>whitema@ballardspahr.com</u>

EXHIBIT 3
Ansaldo, Alexander

From:	White, Matthew A. (Phila) <whitema@ballardspahr.com></whitema@ballardspahr.com>
Sent:	Thursday, August 16, 2012 8:09 PM
То:	Holleran, Linda; Ansaldo, Alexander; 'william.lavery@bakerbotts.com'
Subject:	Re: 9351: SIGMA document admissibility declaration

Please send us all of the documents you'd like us to review, preferably with exhibit tabs, and we'll run it by our client.

Thanks.

From: Holleran, Linda [mailto:lholleran@ftc.gov]
Sent: Thursday, August 16, 2012 07:50 PM
To: Ansaldo, Alexander <jansaldo@ftc.gov>; White, Matthew A. (Phila); william.lavery@bakerbotts.com
<william.lavery@bakerbotts.com>
Subject: RE: 9351: SIGMA document admissibility declaration

Matt – I spoke to Will Lavery this afternoon, and he informed me that McWane is unwilling to stipulate to the admissibility of any Sigma documents. Thus, we are in the position of needing your client to fill out the declaration Alex sent to you, unless of course, you would prefer a document custodian deposition on their admissibility.

Consistent with our litigation needs, we'd be happy to do what we can to make filling out the declaration easier (e.g., sending you electronic copy, etc)...

Sorry, Linda

Linda M. Holleran, Esq. Anticompetitive Practices Division Bureau of Competition Federal Trade Commission 601 New Jersey Ave, NW Washington D.C. 20580 Ph: (202) 326-2267 Fax: (202) 326-3496 *****************************

From: Ansaldo, Alexander
Sent: Tuesday, August 14, 2012 6:20 PM
To: Holleran, Linda; Hassi, Edward
Subject: FW: 9351: SIGMA document admissibility declaration

From: White, Matthew A. (Phila) [mailto:WhiteMA@ballardspahr.com]
Sent: Tuesday, August 14, 2012 12:43 PM
To: Ansaldo, Alexander
Cc: Joseph, Keith B. (Phila); Leckerman, Jason (Phila); <u>TThagard@maynardcooper.com</u>;
'joseph.ostoyich@bakerbotts.com'; <u>william.lavery@bakerbotts.com</u>
Subject: RE: 9351: SIGMA document admissibility declaration

Alex:

You're missing my point—you gave us a list of hundreds of documents. You would need to print them all out, send them to us, and have them placed in front of a witness for authentication purposes. Why kill all the trees?

If you and McWane's counsel have one or two upon which there is some disagreement (and, candidly, I suspect that you have no disagreements at all, if you'd just pick up the phone and talk to one another), then we'll look at the documents you want authenticated.

From: Ansaldo, Alexander [mailto:jansaldo@ftc.gov]
Sent: Tuesday, August 14, 2012 12:35 PM
To: White, Matthew A. (Phila)
Cc: Joseph, Keith B. (Phila); Leckerman, Jason (Phila); <u>TThagard@maynardcooper.com</u>;
'joseph.ostoyich@bakerbotts.com'; <u>william.lavery@bakerbotts.com</u>
Subject: RE: 9351: SIGMA document admissibility declaration

Complaint Counsel would have no objection if you combined the two declarations into one declaration that addressed all documents.

From: White, Matthew A. (Phila) [mailto:WhiteMA@ballardspahr.com]
Sent: Tuesday, August 14, 2012 12:29 PM
To: Ansaldo, Alexander
Cc: Joseph, Keith B. (Phila); Leckerman, Jason (Phila); <u>TThagard@maynardcooper.com</u>;
'joseph.ostoyich@bakerbotts.com'; <u>william.lavery@bakerbotts.com</u>
Subject: RE: 9351: SIGMA document admissibility declaration

Alex,

We have a similar request from McWane—can't you deal with this be seeking a stipulation from the other side as to authenticity?

Put another way, if there are any disputes, can't you and McWane figure out disputed documents are so we can have a narrower declaration?

From: Ansaldo, Alexander [mailto:jansaldo@ftc.gov]
Sent: Tuesday, August 14, 2012 12:23 PM
To: White, Matthew A. (Phila); Joseph, Keith B. (Phila); Leckerman, Jason (Phila)
Subject: 9351: SIGMA document admissibility declaration

Matt, Jason & Keith:

In my July 17 letter, a copy of which is attached, I requested that SIGMA provide a declaration regarding the admissibility of documents Complaint Counsel intends to offer into evidence at the administrative trial *In the Matter of McWane, Inc.*, FTC Docket No. 9351. My request was that, in lieu of a deposition on the admissibility of the referenced documents, you provide the declaration by July 29, 2012. Complaint Counsel has not received a declaration from you.

If you intend to submit a declaration, please let me know as soon as possible and provide the declaration by Monday, August 20. The declaration is on the last two pages of the correspondence, following Attachment B. If you do not intend to provide a declaration, please let me know so that we can schedule a deposition on the admissibility of those documents. Regards,

- Alex

J. Alexander Ansaldo Attorney, Division of Anticompetitive Practices Bureau of Competition Federal Trade Commission 601 New Jersey Ave, NW Washington, DC. 20580

Tel: 202-326-3695 jansaldo@ftc.gov

EXHIBIT 4

Ansaldo, Alexander

From:	White, Matthew A. (Phila) <whitema@ballardspahr.com></whitema@ballardspahr.com>
Sent:	Friday, August 17, 2012 12:13 PM
То:	Holleran, Linda; Ansaldo, Alexander; william.lavery@bakerbotts.com;
	'joseph.ostoyich@bakerbotts.com'
Cc:	John, Leslie E. (Phila); Leckerman, Jason (Phila); Grugan, Terence M. (Phila); Joseph,
	Keith B. (Phila)
Subject:	RE: 9351: SIGMA document admissibility declaration
Attachments:	15533381_1.pdf

Dear Counsel,

I attach a document addressing several issues pertaining to the requested declarations.

Bottom line: after you've had a chance to review, I'd like to set up a call to discuss.

I'm available most of Monday.

Regards,

Matthew A. White Ballard Spahr LLP 1735 Market Street, 51st Floor Philadelphia, PA 19103

Direct 215.864.8849 Fax 215.864.8999 email: whitema@ballardspahr.com

From: Holleran, Linda [mailto:lholleran@ftc.gov]
Sent: Thursday, August 16, 2012 7:51 PM
To: Ansaldo, Alexander; White, Matthew A. (Phila); william.lavery@bakerbotts.com
Subject: RE: 9351: SIGMA document admissibility declaration

Matt – I spoke to Will Lavery this afternoon, and he informed me that McWane is unwilling to stipulate to the admissibility of any Sigma documents. Thus, we are in the position of needing your client to fill out the declaration Alex sent to you, unless of course, you would prefer a document custodian deposition on their admissibility.

Consistent with our litigation needs, we'd be happy to do what we can to make filling out the declaration easier (e.g., sending you electronic copy, etc)...

Sorry, Linda

From: Ansaldo, Alexander
Sent: Tuesday, August 14, 2012 6:20 PM
To: Holleran, Linda; Hassi, Edward
Subject: FW: 9351: SIGMA document admissibility declaration

From: White, Matthew A. (Phila) [mailto:WhiteMA@ballardspahr.com]
Sent: Tuesday, August 14, 2012 12:43 PM
To: Ansaldo, Alexander
Cc: Joseph, Keith B. (Phila); Leckerman, Jason (Phila); <u>TThagard@maynardcooper.com</u>;
'joseph.ostoyich@bakerbotts.com'; <u>william.lavery@bakerbotts.com</u>
Subject: RE: 9351: SIGMA document admissibility declaration

Alex:

You're missing my point—you gave us a list of hundreds of documents. You would need to print them all out, send them to us, and have them placed in front of a witness for authentication purposes. Why kill all the trees?

If you and McWane's counsel have one or two upon which there is some disagreement (and, candidly, I suspect that you have no disagreements at all, if you'd just pick up the phone and talk to one another), then we'll look at the documents you want authenticated.

From: Ansaldo, Alexander [mailto:jansaldo@ftc.gov]
Sent: Tuesday, August 14, 2012 12:35 PM
To: White, Matthew A. (Phila)
Cc: Joseph, Keith B. (Phila); Leckerman, Jason (Phila); <u>TThagard@maynardcooper.com</u>;
'joseph.ostoyich@bakerbotts.com'; <u>william.lavery@bakerbotts.com</u>
Subject: RE: 9351: SIGMA document admissibility declaration

Complaint Counsel would have no objection if you combined the two declarations into one declaration that addressed all documents.

From: White, Matthew A. (Phila) [mailto:WhiteMA@ballardspahr.com]
Sent: Tuesday, August 14, 2012 12:29 PM
To: Ansaldo, Alexander
Cc: Joseph, Keith B. (Phila); Leckerman, Jason (Phila); <u>TThagard@maynardcooper.com</u>;
'joseph.ostoyich@bakerbotts.com'; <u>william.lavery@bakerbotts.com</u>
Subject: RE: 9351: SIGMA document admissibility declaration

Alex,

We have a similar request from McWane—can't you deal with this be seeking a stipulation from the other side as to authenticity?

Put another way, if there are any disputes, can't you and McWane figure out disputed documents are so we can have a narrower declaration?

From: Ansaldo, Alexander [mailto:jansaldo@ftc.gov]
Sent: Tuesday, August 14, 2012 12:23 PM
To: White, Matthew A. (Phila); Joseph, Keith B. (Phila); Leckerman, Jason (Phila)
Subject: 9351: SIGMA document admissibility declaration

Matt, Jason & Keith:

In my July 17 letter, a copy of which is attached, I requested that SIGMA provide a declaration regarding the admissibility of documents Complaint Counsel intends to offer into evidence at the administrative trial *In the Matter of McWane, Inc.,* FTC Docket No. 9351. My request was that, in lieu of a deposition on the admissibility of the referenced documents, you provide the declaration by July 29, 2012. Complaint Counsel has not received a declaration from you.

If you intend to submit a declaration, please let me know as soon as possible and provide the declaration by Monday, August 20. The declaration is on the last two pages of the correspondence, following Attachment B. If you do not intend to provide a declaration, please let me know so that we can schedule a deposition on the admissibility of those documents.

Regards,

- Alex

J. Alexander Ansaldo Attorney, Division of Anticompetitive Practices Bureau of Competition Federal Trade Commission 601 New Jersey Ave, NW Washington, DC. 20580

Tel: 202-326-3695 jansaldo@ftc.gov Dear Counsel,

We suggest that a "meet and confer" call is advisable with respect to the request that both Complaint Counsel and Respondent's Counsel (also identified as "McWane's Counsel") have put to SIGMA: providing an affidavit attesting to several facts about hundreds of proposed exhibits that both parties seem to want to introduce in the forthcoming Administrative Hearing. I outline SIGMA's concerns below.

(As an aside, I also wish to apologize for the strident tone of some of the emails below. It was born of frustration with my belief, at the time, that Complaint Counsel was being overly aggressive and cavalier with the impact and burden this entire proceeding has placed upon my client. Upon further reflection, I believe that the Complaint Counsel's tone is likely simply the result of the press of time as Complaint Counsel is preparing for a Hearing and a reaction to my own tone, which, I concede could be characterized as "flip" and, at a minimum, unhelpful. That being said, in the spirit of compromise, I am prepared to move forward to reach an accommodation. If one cannot be reached, then, from my end, I shall endeavor to at least keep the tone more civil.)

I. Actions Requested of SIGMA.

On July 17, 2012, Complaint Counsel sent to SIGMA's undersigned counsel, among other items, a 27-page list of exhibits (containing approximately 500 exhibits) that it states it "intend[s] to offer...into evidence in the administrative trial. . ." At the conclusion of the letter, Complaint Counsel requested: ". . .we ask that you sign and return the attached declaration regarding the admissibility of these documents. . . " (SIGMA, Complaint Counsel, and Counsel for McWane reached agreement on the in camera treatment of certain documents. That issue is still pending before the Administrative Judge.). While the list itself identifies documents by identification numbers ("Bates" numbers and exhibit numbers), the submission does not include the actual documents that Complaint Counsel seeks to have verified.

The requested form of affidavit proffered by Complaint Counsel requests a SIGMA witness (or, presumably, witnesses) to verify, under penalty of perjury, that every single one of the several hundred exhibits has been personally reviewed; that each document (a) "was made at or near the time of the occurrence of the matters set forth by, or from information transmitted by, a person with knowledge of those matters," (b) "was kept in the ordinary course of regularly conducted activity," and (c) "was made by the regularly conducted activity as a regular practice."

This largely tracks 16 C.F.R.§ 3.43:

Relevant, material, and reliable evidence shall be admitted....Evidence that constitutes hearsay may be admitted if it is relevant, material, and bears satisfactory <u>indicia of reliability</u> so that its use is fair.... Extrinsic evidence of authenticity as a condition precedent to admissibility of documents received from third parties is not required with respect to the original or a duplicate of a domestic record of regularly conducted activity by that third party that otherwise meets the standards of admissibility described in paragraph (b) if accompanied by a written declaration of its custodian or other qualified person, in a manner complying with any Act of Congress or rule prescribed by the Supreme Court pursuant to statutory authority, certifying that the record:

(1) Was made at or near the time of the occurrence of the matters set forth by, or from information transmitted by, a person with knowledge of those matters;

(2) Was kept in the course of the regularly conducted activity; and

(3) Was made by the regularly conducted activity as a regular practice.

(emphasis added).

On July 25, 2012, Respondent's Counsel sent to Counsel for SIGMA's undersigned counsel, a 3-page list containing approximately 60 exhibits. As with the document proffered by Complaint Counsel, the list itself identifies documents by identification numbers ("Bates" numbers and exhibit numbers), and it too fails to include the actual documents that Respondent's Counsel seeks to have verified.

The proposed affidavit proffered is substantially similar to the affidavit proposed by Complaint Counsel.

II. Applicable Obligations of Complaint Counsel and Respondent's Counsel

Pursuant to the February 15, 2012 Scheduling Order, on or before July 6, 2012, Complaint Counsel was to provide Respondent's Counsel "its final proposed witness and exhibit list....copies of all exhibits...Complaint Counsel's basis of admissibility for each proposed exhibit." (2/15/2012 Scheduling Order, p.2). Respondent's Counsel had the same obligations to Complaint Counsel, with a compliance deadline of July 16, 2012. (*Id.*). Neither Complaint Counsel nor Respondent's Counsel has provided Counsel for SIGMA with either the "final" exhibit list as they pertain to SIGMA, or the required copies of all such exhibits that were required to have been made.

By August 16, 2012, Complaint Counsel and Respondent's Counsel were to have provided the ALJ with the "final" exhibit list.

By August 21, 2012, Complaint Counsel and Respondent's Counsel are to exchange stipulations pertaining to authenticity of all exhibits. By August 28, Complaint Counsel and Respondent's Counsel are to file stipulations of authenticity of exhibits. The Scheduling Order also requires Complaint Counsel and Respondent's Counsel to "meet and confer" concerning the authenticity of exhibits. The Scheduling Order plainly invites the parties to <u>agree</u> upon admissibility—"Any Joint Exhibit will be signed by each party with no signature for the judge required."

To date, it does not appear that Complaint Counsel and Respondent's Counsel have met or even conferred as to: a) which SIGMA documents they both seek to introduce and b) what objections, if any, they have. Thus, because no effort has been made to focus the issue, SIGMA is faced with having to have a witness or, really several witnesses, review all of the approximately 560 exhibits to confirm the information requested. Neither Counsel has even provided SIGMA's Counsel with a set of copies of documents to facilitate this review, even though both counsel were required to copy and to deliver such documents to each other in the Scheduling Order.

III. Burden Upon SIGMA

The burden placed upon non-party SIGMA for the requested exercise is substantial. First, because the parties have not met and conferred as to (a) which exhibits that they actual intend to introduce and (b) whether they actually have any disagreement that would require the certification, SIGMA is faced with having to organize this data, find appropriate witnesses to review each of the documents, work with each witness to make sure that he or she understands the obligation being asked of them and faithfully exercises the review, and generally shepherd through this process.

This process is not straightforward, nor is it inexpensive in terms of out-of-pocket costs to SIGMA and burdens placed upon it and its employees. While a burden exists no matter how many documents would be at issue, it is self-evident that the burden will be less if there are 50 documents (more reasonable) rather than 550.

To illustrate the burden a bit more, we want to explain some of the work entailed with compliance.

First, because the actual documents that are the subject of the proposed affidavits have not been delivered, SIGMA's counsel will be required to have a paralegal retrieve each document, print it out and deliver it to one of SIGMA's outside lawyers. This will take an estimated 25 hours of paralegal time.

Second, once those documents are printed out, a SIGMA lawyer will have to review those documents to assess which SIGMA witness is a likely person to provide the requested information. Quite clearly, not every one of the 560 or so exhibits is capable of being authenticated or attested to by a single witness. To make this process proceed with a modicum of efficiency, the lawyer will need to direct a paralegal to organize the documents and assess who should be the appropriate affiant.

Third, the documents will have to be delivered to the appropriate witness, and each witness will have to be instructed in what to do, and assess whether, in fact, the requisite information can be provided. A lawyer will be required to field the inevitable questions and provide assistance so that each witness understands what is actually being asked of him or her. If past practice (derived from our work on the previous document productions) is any indication, this will require at least 25 hours of lawyer's time.

Fourth, a lawyer will be required to gather such responses and communicate with Complaint Counsel and Respondent's Counsel as to issues that might arise during this process. This will be an additional 15 hours of time (it has already been almost 10).

One side issue bears mention. Complaint Counsel has indicated that the documents need not be printed out, and that SIGMA should be able to have its witness review documents in .pdf or .tif format from a CD-ROM that Complaint Counsel will prepare. Given

the number of documents and pages involved, it is a very cumbersome process to have a nonlawyer fact witness (who is not in the business of document review) to review .pdf or .tif files. It is also not fair to the witnesses: the affidavit requires a verification that all of the documents were thoroughly reviewed. Such review is made much more burdensome when one has to review it on a screen rather than in hard copy. Thus, for instance, it seems likely that the parties in this hearing will present hard copy evidence to the ALJ—this is because the parties will want the ALJ to actually review the evidence. Or, if there were to be a records' custodian deposition, undoubtedly each exhibit would be marked as an exhibit and shown to the witness—indeed, this was done for scores, if not hundreds, of exhibits already during the depositions of SIGMA's witnesses. At bottom, however, it is difficult for witnesses to review a CD-ROM with .pdf or .tif files, and that difficulty makes compliance with the facts to be verified very burdensome.

The burdens above are real. They would easily exceed \$20,000 in outside legal fees and costs, not to mention the time required of non-party witnesses to review the information requested. This burden is ameliorated to the extent fewer documents are to be considered.

III. The Proposed Affidavits Are Substantively Flawed.

Both Complaint Counsel's and Respondent's Counsel's affidavit seek to authenticate the documents under 16 C.F.R.§ 3.43. SIGMA is certainly willing to stipulate that any document it provided in response to any subpoena in this proceeding: (a) came from its files; (b) is a true and correct copy of such document; and (c) and were stored in SIGMA's files in the ordinary course of SIGMA's record keeping. There should be little doubt about "reliability" of the source of this information. There are likely similar facts that Complaint Counsel or Respondent's Counsel could request that will not be difficult to establish. We are prepared to work with you to reach agreement on as much as possible.

But it is unlikely that an affiant could satisfy the statements actually requested for many of the documents on the list. For example, whatever the phrase: "was made by the regularly conducted activity as a regular practice" is intended to mean, it is clear that many of the exhibits were not "regularly conducted activity" at all. One brief example is illustrative. The MDA was not a "regular conducted activity." It was a unique business solution to a set of unique problems. Most of the emails associated with it would not be considered "regularly conducted" because they pertain to a somewhat unique event. In fact, while not on point, under FRE 803(6) "business records" typically do not include emails, as contrasted with periodic financial records or reports that do typically satisfy the "business records" exception to the hearsay rules.

IV. Proposed Resolution

First, we are more than willing to provide a verification to address the provenance (i.e. "reliability") of the documents that came from SIGMA's files. This is only partially addressed in the current draft affidavits we have.

Second, we request that Complaint Counsel and Respondent's Counsel meet and confer as to what SIGMA exhibits they intend to use and whether they have <u>objections</u> that can be addressed by SIGMA's witnesses Presumably, both counsel are in a position to state whether

they have objections and, if so, then to identify them. We then request that those documents be forwarded to us.

Once that is done, we will expeditiously work with both counsel to provide whatever verification we can to a more limited set of documents.

We thank you for your consideration.

EXHIBIT 5



SUBPOENA AD TESTIFICANDUM PUBLIC DEPOSITION

Provided by the Secretary of the Federal Trade Commission, and Issued Pursuant to Rule 3.34(a), 16 C.F.R. § 3.34(a) (2010)

1. TO

2. FROM

Sigma Corporate Representative c/o Matthew A White, Esq. Ballard Spahr 1735 Market Street, 51st Floor Philadelphia, PA 19103

UNITED STATES OF AMERICA FEDERAL TRADE COMMISSION

This subpoena requires you to appear and give testimony at the taking of a deposition, at the date and time specified in Item 5, and at the request of Counsel listed in Item 8, in the proceeding described in Item 6.

3. PLACE OF DEPOSITION	4. YOUR APPEARANCE WILL BE BEFORE
	J. Alexander Ansaldo, Esq.
Ballard Spahr	
1735 Market Street, 51st Floor Philadelphia, PA 19103	5. DATE AND TIME OF DEPOSITION
	August 31, 2012 @ 10:00 a.m.

6. SUBJECT OF PROCEEDING

McWane, Inc. and Star Pipe Products, Ltd., Docket No. 9351

7. ADMINISTRATIVE LAW JUDGE		8. COUNSEL AND PARTY ISSUING SUBPOENA
The Honorable D. Michael Chappell		Thomas H. Brock or designee 601 New Jersey Avenue, NW
Federal Trade Commission Washington, D.C. 20580		Washington, DC 20001 (202) 326-2813
DATE SIGNED	SIGNATURE OF COUNSEL ISSUING SUBPOENA	
8/27/2012	July Men	
GENERAL INSTRUCTIONS		

APPEARANCE

The delivery of this subpoena to you by any method prescribed by the Commission's Rules of Practice is legal service and may subject you to a penalty imposed by law for failure to comply.

MOTION TO LIMIT OR QUASH

The Commission's Rules of Practice require that any motion to limit or quash this subpoena must comply with Commission Rule 3.34(c), 16 C.F.R. § 3.34(c), and in particular must be filed within the earlier of 10 days after service or the time for compliance. The original and ten copies of the petition must be filed before the Administrative Law Judge and with the Secretary of the Commission, accompanied by an affidavit of service of the document upon counsel listed in Item 8, and upon all other parties prescribed by the Rules of Practice.

TRAVEL EXPENSES

The Commission's Rules of Practice require that fees and mileage be paid by the party that requested your appearance. You should present your claim to Counsel listed in Item 8 for payment. If you are permanently or temporarily living somewhere other than the address on this subpoena and it would require excessive travel for you to appear, you must get prior approval from Counsel listed in Item 8.

A copy of the Commission's Rules of Practice is available online at <u>http://bit.ly/FTCRulesofPractice</u>. Paper copies are available upon request.

This subpoena does not require approval by OMB under the Paperwork Reduction Act of 1980.

RETURN OF SERVICE

I hereby certify that a duplicate original of the within subpoena was duly served: (check the method used)

C in person.

• by registered mail.

C by leaving copy at principal office or place of business, to wit:

on the person named herein on: August 27, 2012

(Month, day, and year)

Terri Martin

(Name of person making service)

Litigation Support Specialist (Official title)

UNITED STATES OF AMERICA BEFORE THE FEDERAL TRADE COMMISSION OFFICE OF THE ADMINISTRATIVE LAW JUDGES

)

In the Matter of

McWANE, INC. Respondent. DOCKET NO. 9351

COMPLAINT COUNSEL'S NOTICE OF DEPOSITION OF SIGMA CORPORATION

PLEASE TAKE NOTICE, that pursuant to Rules 3.33(a) and (c)(1) of the Federal Trade Commission's Rules of Practice for Adjudicative Proceedings (16 C.F.R. §§ 3.33(a) and (c)(l)), Complaint Counsel will take the deposition of Sigma Corporation ("SIGMA") or its designee(s), who shall testify on SIGMA's behalf, about matters known or reasonably available to SIGMA.

DEPOSITION TOPICS

1. The authenticity and admissibility under the provisions of Rule 3.43 of the Rules of Practice for Adjudicative Proceedings of the Federal Trade Commission, 16 C.F.R. §3.43, of the documents listed in Attachment A.

CX 0893

From:	Star Pipe Products [marketing@starpipeproducts.com]
Sent:	Thursday, February 07, 2008 01:34:25 AM
То:	CS1 (Craig Schapiro - CRM)
Subject:	New Multipliers - Star Pipe Products



February 6, 2008

RE: Multiplier Changes - Effective February 18, 2008

To Our Valued Customers:

The following is a breakdown of our current price lists and the new multipliers that will take effect Monday, February 18, 2008.

Product Description	<u>Price List</u>	<u>Multiplier</u>
» Utility Fittings C110 & C153	UPL.07.02 (blue)	.31
» Accessories	UPL.07.02 (blue)	.31
» Joint Restraint Products	JRPL.06.03 (gray)	No Change
» AWWA Grooved Products	GRVPL.07.02 (yellow)	CALL*
» Ceramic Epoxy Lined Fittings	UPL.07.02 (blue)	CALL*

(* please contact your local Star representative for pricing)

The new multipliers will apply to all orders received on or after Monday, February 18, 2008. Any order received prior to that date will be price protected provided no shipping restrictions apply.

Please provide your local Territory Manager with documentation regarding any municipal bids before February 18, 2008. Municipal contracts will be honored through the term of the contract, provided the documentation includes quantities and delivery dates.

We remain committed to earning your business.

Regards,

CX 0893-001

SIG - 0061257 Confidential FOIA Exempt

m/m manya

Matt Minamyer National Sales Manager

STAR PRPE PRODUCTS

4019 WESTNOLLOW PARYWAY HOLSTON, TEXAS 77082-4504 warmissingerodulition

7: 803.998 8000 F: 251.568.2073

This message was sent from Star Pipe Products to cs1@sigmaco.com. It was sent from: Star Pipe Products, 4018 Westhollow Pkwy, Houston, TX 77082. You can modify/update your subscription via the link below.



Manage your subscription

CX 0893-002

SIG - 0061258 Confidential FOIA Exempt

CX 1124

From:	Mitchell Rona
Sent:	Tuesday, March 11, 2008 08:16:03 AM
То:	SB1 (Siddharth Bhattacharji-CRM); VP (Victor Pais - CRM)
Cc:	'GR1 (Gopi Ramanathan-CRM)'; 'SS1'; CS1 (Craig Schapiro - CRM); SB2 (Stuart Box - CRM)
Subject:	RE: sb1 to mr1+ss1: : 3"-8" DIWF from Tyler/Union

Siddharth,

Let's put together our 200 ST mix and then I will work with them to make the plan.

As long we do what we say I think they will begin the process with us.

Sean ---> please prepare

Thanks,

Mitchell

From: SB1 (Siddharth Bhattacharji-CRM) Sent: Tuesday, March 11, 2008 12:32 AM To: Mitchell Rona; VP (Victor Pais - CRM) Cc: 'GR1 (Gopi Ramanathan-CRM)'; 'SS1'; CS1 (Craig Schapiro - CRM); SB2 (Stuart Box - CRM) Subject: sb1 to mr1+ss1: : 3"-8" DIWF from Tyler/Union

ATT: MR1 3/11

You can assure that SIGMA will not and cannot sell a domestic line with a limited ptrn range. We will not be making any distinction between ftgs made in Tyler/Union and elsewhere. Since the ftgs will carry the SIGMA logo, there is no question of we pretending to be domestic.

SS1 – please see what is our total usage of these 15 items. I doubt we can make 200MT with just 15 items since we have to still give some tonnage to our existing suppliers. Can we if we can reach this tonnage including some more tees like 8x6, 8x8, 890, etc.?

Rgds/

Siddharth

From: Mitchell Rona
Sent: Monday, March 10, 2008 10:55 PM
To: VP (Victor Pais - CRM)
Cc: SB1 (Siddharth Bhattacharji-CRM); GR1 (Gopi Ramanathan-CRM); SS1; CS1 (Craig Schapiro - CRM); SB2 (Stuart Box - CRM)
Subject: FW: 3"-8" DIWF from Tyler/Union

Guys,

SIG - 0054525 Confidential FOIA Exempt Please read below from Rick.

He had indicated that he wanted to limit the # of items they supply us in his first email. He has now provided a list (below) but says it is not firm.

He clearly stated they don't want us to have a complete domestic range so we could quote domestic jobs.

I told him we have no interest at this time to sell against Tyler in the domestic market. I said I'm sure we could assure Tyler of that fact.

He said if they found different they would stop selling us.

He said he hears that some of the new prices in the market are being compromised with deals. He hopes the market will improve and hopes do our part.

I gave him the \$0.55 max number for glands. He said he would review and try and give us \$0.54 to \$0.55.

He felt the export incentive would not be reduced considering the steep increases in raw materials and currency appreciation.

He said A-1 should be very concerned about not be environmentally compliant and that after this Olympics this could quickly become and issue.

Let me know if this range or the limited range can generate the 200 tons and that is the road we want to go.

He also said that scrap is up and that he would qualify his fittings and gland quote.

Let me know.

Thanks.

Mitchell

From: Rick Tatman [mailto:rtatman@tylerunion.com] Sent: Monday, March 10, 2008 2:47 PM To: Mitchell Rona Subject: FW: 3"-8" DIWF from Tyler/Union

Mitchell,

Looks like I never actually provided the item list. Below is what we were considering internally.

On fittings we'd need at least 200 tons per month of volume in order for this to make sense logistically.

SIG - 0054526 Confidential FOIA Exempt Glands are a somewhat easier change over process to run your Brand so the monthly volume hurdle on Glands would 100 tons.

8" Pattern Combinations	6" MJ Pattern Combinations	4" MJ Pattern Combinations
8" 45 MJ Cpt	6" MJ Lee Cpt	4" MJ Lee Cpt
8" 22 1/2 MJ Cpt	6" MJ x Swl Tee Cpt	4" MJ 1/4 Bd Cpt
8" 11 1/4 MJ Cpt	6x4 " MJ Tee Cpt	4" MJ 45 Bd Cpt
	6" MJ 90 Bd Cpt	4" MJ 22 1/2 Bd Cpt
	6" MJ 45 Bd Cpt	4" MJ 11 1/4 Bd Cpt
	6" MJ 22 1/2" Bd Cpt	
	6" MJ 11 1/4 Bd Cpt 🖇	

Richard (Rick) Tatman

VP&GM Tyler/Union McWane Waterworks Fittings Division (903) 882-240 rtatman@tylerunion.com

From: Rick Tatman [mailto:RTatman@TylerPipe.com]
Sent: Friday, February 01, 2008 8:06 AM
To: 'vp@sigmaco.com'
Cc: ' (rpage@mcwane.com)'; 'Leon McCullough (Imccullough@clowvalve.com)'; 'Thomas Walton (twalton@MH-Valve.com)'
Subject: 3"-8" DIWF from Tyler/Union

Victor,

For your consideration I'd like to propose providing Sigma with $3^{"} - 8^{"}$ Ductile Iron Waterworks fittings @ \$1,220 per ton FOB Anniston, AL. Terms would be 30 days net with no discounts allowed.

As some tooling modifications are required to incorporate the Sigma branding, we'd limit the availability to the top 12-20 items and require a substantial minimum volume commitment.

There are probably other commercial or legal issues I'd need to check on prior to being able to make a firm commitment. Before going through that exercise, I'd like to receive your feedback on the conditions above as well as the volume commitment Sigma would be comfortable committing to.

Regards,

Richard (Rick) Tatman

VP & GM Tyler/Union McWane Waterworks Fittings Division (903) 882-2440

> SIG - 0054527 Confidential FOIA Exempt

No virus found in this outgoing message. Checked by AVG Free Edition. Version: 7.5.516 / Virus Database: 269.19.17/1253 - Release Date: 1/31/2008 9:09 AM

> SIG - 0054528 Confidential FOIA Exempt

CX 1396

Mike Walsh
Friday, June 25, 2010 09:14:04 AM
Mike Roy; Susan Van Hook; Kevin Flanagan; Richard Hueth; Ken Stephenson; Harry Bair; Julie Bell
FW: New Sigma Price Increase Letter
Sigma Price Increase 6-24-2010.pdf

We need to get this letter out today. Each of you need to handle your respective customers. MW

From: Iryb446150@aol.com [mailto:lryb446150@aol.com]
Sent: Thursday, June 24, 2010 5:24 PM
To: Iona Shenoy; Chris King; Mike Walsh; Al Richardson; Greg Fox; David Pietryga; Mitchell Rona; Steve Goodwyn
Subject: New Sigma Price Increase Letter

FYI

Cindy Dayotas Sigma / Allcast Corporation Iryb446150@aol.com

> SIGTP00005143 CONFIDENTIAL-FTC Docket No. 9351 FOIA Exempt/Protected by Court Order

Σ SIGMA Your Fitting Choice...

June 24, 2010

To: Sigma Customers in the following territories:

MA, CT, ME, VT, NH, RI, NY, NJ, DE, MD, VA, WV, NC, SC, GA, FL, AL, MS, TN, KY, OH, IN, IL, MI, WI, MN, IA, MO, AR, LA, TX, OK, KS, NE, SD, ND, WY, CO, NM, CA, AZ, HI, and Puerto Rico

Re: The New Multipliers take effect July 1, 2010.

Dear Sigma Customers,

As I stated in my previous letter about the rising costs of producing product overseas, we at Sigma Corporation will be increasing our multipliers to a .29 in the above mentioned territories. The new multipliers will be off our current list prices and will be as follows:

.29 for MJ Push On and Flanged Fittings C110 and C153 (3"- 48")

.29 for Glands and Accessories (3"- 48")

P.O.A. 401 lined and any other special coated Fittings.

Our Domestic Fitting prices remain in effect.

All annual municipal bid contracts will be honored per the terms of the contract. Any job quoted prior to today's letter will be honored through July 31, 2010 provided orders for immediate release have been received prior to July 31, 2010. Thank you for your support and we look forward to working with all of you for many years to come.

Sincerely yours,

SIGTP00005144 CONFIDENTIAL-FTC Docket No. 9351 FOIA Exempt/Protected by Court Order

CX 1401

From:	GF1 (Greg Fox - ALX)
Sent:	Thursday, January 31, 2008 11:11:55 AM
То:	AR1 (Al Richardson-HTN); Ck1 (Chris King - ONT); DP2 (David Pietryga -
	CHI); MW (Mike Walsh - CRM)
Cc:	LR (Larry Rybacki)
Subject:	Southeast Multiplier Adjustment Announcement
Attachments:	20080131100925819.pdf

To RMs -

Attached is a letter that I wrote announcing Sigma's multiplier adjust for the SOutheast.

Let me know your thoughts, suggested revisions, etc.

Greg

-----Original Message-----From: kw1@sigmaco.com [mailto:kw1@sigmaco.com] Sent: Thursday, January 31, 2008 9:09 AM To: GF1 (Greg Fox - ALX) Subject:

This E-mail was sent from "RNP828582" (Aficio 2022).

Scan Date: 01.31.2008 10:09:25 (-0500) Queries to: kw1@sigmaco.com

> SIGTP00004727 CONFIDENTIAL-FTC Docket No. 9351 FOIA Exempt/Protected by Court Order

P.O. Box 519 Alexander City, AL 35011 Email: RPS@sigmaco.com www.sigmaco.com



TEL: (256) 234-2514 (800) 824-4513 FAX: (256) 234-4956

Date: February 1, 2008

Re: Fitting & Accessory Multiplier Adjustment

Dear Valued Alabama Customer:

As you may be aware, Sigma Corporation intended to publish a new list price sheet on January 2, 2008 which reflected an increase in our products due to increased cost of raw materials, freight and personnel. When the industry did not accept this list price increase, Sigma elected to announce a multiplier adjustment.

Therefore, <u>effective February 18, 2008</u>, Sigma's multiplier will be as follows:

x.25 against price book dated July 1, 2007

All municipal bids will be honored through the length of the contract.

Jobs quoted prior to this announcement will be honored through March 1, 2008.

We thank you for your past and future support of Sigma and wish you success in 2008.

Sincerely,

Greg Fox-Southeast Regional Manager Sigma Corporation

> SIGTP00004728 CONFIDENTIAL-FTC Docket No. 9351 FOIA Exempt/Protected by Court Order

CX 1751

From: DAVE PIETRYGA [davepie@msn.com]

Sent: Monday, May 11, 2009 12:26:16 PM

To: CK1 (Chris King-ONT); AR1 (Al Richardson-HTN); RM6

Subject: RE: Price Increase Letter

Mine are out also.

To: ar1@sigmaco.com; RM6@sigmaco.com Subject: Re: Price Increase Letter From: ck1@sigmaco.com Date: Mon, 11 May 2009 15:10:21 +0000

Mine are goneSent from my Verizon Wireless BlackBerry

From: "AR1 (AI Richardson-HTN)" Date: Mon, 11 May 2009 11:00:41 -0400 To: RM6<RM6@sigmaco.com> Subject: Price Increase Letter

We really need to get these letters out. GF felt that LR's letter was a little harsh. I spoke with LR and mentioned that to him and he loves the letter and does not care if he hurts anyones feeling.

Are you guys sending it out, AS IS?

Thanks

Al Richardson SW Regional Manager Houston, TX 281-987-1200 800-999-0109 281-987-0200 Fax

> SIGTP00012516 CONFIDENTIAL-FTC Docket No. 9351 FOIA Exempt/Protected by Court Order

CX 2252

From:CS1 (Craig Schapiro - CRM) [CS1@sigmaco.com]Sent:Friday, June 27, 2008 09:44:20 PMTo:m20@sigmaco.comSubject:From Craig: New Multipliers - Star Pipe Products

Looks like STAR is sending their version of the TYLER letters...

Craig Schapiro SIGMA Corporation (800)999-2550 x238 cs1@sigmaco.com FAX 609-758-1158

From: Star Pipe Products [mailto:marketing@starpipeproducts.com]
Sent: Fri 6/27/2008 5:22 PM
To: CS1 (Craig Schapiro - CRM)
Subject: New Multipliers - Star Pipe Products



June 27, 2008

RE: Multiplier Changes - <u>Effective July 14, 2008</u> (CT, MA, ME, MI, NH, NJ, NY, RI, VT, AZ, CA, NM, El Paso-TX)

To Our Valued Customers:

The following is a breakdown of our current price lists and the new multipliers that will take effect Monday, July 14, 2008.

Product Description	<u>Price List</u>	<u>Multiplier</u>
» Utility Fittings C110 & C153	UPL.07.02 (blue)	.33
» Accessories	UPL.07.02 (blue)	.33
» Joint Restraint Products	JRPL.08.01B (purple)	No Change
» AWWA Grooved Products	GRVPL.07.02 (yellow)	CALL*
» Ceramic Epoxy Lined Fittings	UPL.07.02 (blue)	CALL*

(* please contact your local Star representative for pricing)

SIGTP00016290 CONFIDENTIAL-FTC Docket No. 9351 FOIA Exempt/Protected by Court Order The new multipliers will apply to all orders received on or after Monday, July 14, 2008. Any order received prior to that date will be price protected provided no shipping restrictions apply.

Please provide your local Territory Manager with documentation regarding any municipal bids before July 14, 2008. Municipal contracts will be honored through the term of the contract, provided the documentation includes quantities and delivery dates.

We remain committed to earning your business.

Regards,

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increased he deviated. The file was been been been cound, researed or debiad. Such that the lost resids to the council file and local

Matt Minamyer National Sales Manager

This message was sent from Star Pipe Products to cs1@sigmaco.com. It was sent from: Star Pipe Products, 4018 Westhollow Pkwy, Houston, TX 77082. You can modify/update your subscription via the link below.



Manage your subscription

SIGTP00016291 CONFIDENTIAL-FTC Docket No. 9351 FOIA Exempt/Protected by Court Order

CX 2445

Attachments:	20091222095059283.pdf
Subject:	FW: Tyler Import Price Increase
То:	M20
Sent:	Tuesday, December 22, 2009 09:52:05 AM
From:	DAVE PIETRYGA [davepie@msn.com]

> From: scanner@sigma_chi2k

> Subject:

> To: dp2@sigmaco.com> Date: Tue, 22 Dec 2009 09:50:59 +0000

> > This E-mail was sent from "ricoh" (Aficio 2018D).

>

> Scan Date: 12.22.2009 09:50:59 (+0000)

> Queries to: scanner@sigma_chi2k

SIG - 0002008 Confidential FOIA Exempt 

708-758-6790

December 21, 2009

To: Tyler Union Customers in the following States:

ME, VT, NH, MA, RI, CT, NY, NJ, DE, MD, VA, WV, NC, SC, GA, FL, PR, AL, MS, TN, KY, OH, IN, IL, MI, WI, MN, IA, MO, AR, LA, TX, OK, KS, NE, SD, ND, WY, CO, NM

Re: New Multipliers Effective January 22, 2010

Dear Valued Customer,

Effective Friday, January 22, 2010, Tyler Union will be implementing a price increase on all ductile iron waterworks fittings, gland and accessory products. This increase will be accomplished by an increase in our published multipliers for those products against our current List Price, LP 5091, as follows:

Non Domestic - Import Product Group

.27 Utility Fittings C110 and C153 (3"- 48")

.27 Accessories (3"-48")

Non Domestic Valve and Service Boxes - Call for Pricing

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All annual municipal bid contracts will be honored per the terms of the contract. Jobs quoted prior to today's announcement will be honored through January 22, 2010.

If you have any questions regarding this announcement, please contact your local Tyler Union territory manager. We look forward to continuing to work together to provide you and the waterworks industry quality products and service.

We thank you for your business and support.

Jerry Jansen National Sales Manager

> SIG - 0002009 Confidential FOIA Exempt

CERTIFICATE OF SERVICE

I hereby certify that on September 5, 2012, I filed the foregoing document electronically using the FTC's E-Filing System, which will send notification of such filing to:

Donald S. Clark Secretary Federal Trade Commission 600 Pennsylvania Ave., NW Washington, DC 20580

I also certify that I delivered via electronic mail and hand delivery a copy of the foregoing document to:

The Honorable D. Michael Chappell Administrative Law Judge Federal Trade Commission 600 Pennsylvania Ave., NW, Rm. H-110 Washington, DC 20580

I further certify that I delivered via electronic mail a copy of the foregoing document to:

Joseph A. Ostoyich William C. Lavery Baker Botts L.L.P. The Warner 1299 Pennsylvania Ave., NW Washington, DC 20004 (202) 639-7700 joseph.ostoyich@bakerbotts.com william.lavery@bakerbotts.com

J. Alan Truitt Thomas W. Thagard III Maynard Cooper and Gale PC 1901 Sixth Avenue North 2400 Regions Harbert Plaza Birmingham, AL 35203 (205) 254-1000 atruitt@maynardcooper.com tthagard@maynardcooper.com

Counsel for Respondent McWane, Inc.

Matthew A. White Ballard Spahr LLP 1735 Market Street, 51st Floor Philadelphia, PA 19103-7599 (215) 864-8849 whitema@ballardspahr.com

Counsel for Sigma Corporation

CERTIFICATE FOR ELECTRONIC FILING

I certify that the electronic copy sent to the Secretary of the Commission is a true and correct copy of the paper original and that I possess a paper original of the signed document that is available for review by the parties and the adjudicator.

September 5, 2012

By: <u>s/ Thomas H. Brock</u> Attorney