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1	UNITED STATES OF AMERICA	
2	FEDERAL TRADE COMMISSION	
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4	In the Matter of:)	
5	Rambus, Inc.) Docket No. 930	12
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9	Tuesday, June 17, 2003	
10	9:30 a.m.	
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13	TRIAL VOLUME 29	
14	PART 1	
15	PUBLIC RECORD	
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17	BEFORE THE HONORABLE STEPHEN J. McGUIRE	1
18	Chief Administrative Law Judge	
19	Federal Trade Commission	
20	600 Pennsylvania Avenue, N.W.	
21	Washington, D.C.	
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25	Reported by: Josett F. Hall, RMR-CRR	
	For The Record, Inc.	
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- JUDGE McGUIRE: This hearing is now in order.
- Any items that you wish to take up before we
- 5 start this morning?
- 6 MR. OLIVER: Not at this time, Your Honor.
- 7 JUDGE McGUIRE: Okay. Then at this time we'll
- 8 begin with the cross-examination of the witness.
- 9 Mr. Jacob, would you please take the stand. I
- 10 caution you you're still under oath from your prior
- 11 testimony.
- 12 THE WITNESS: Thank you, Your Honor.
- 13 CROSS-EXAMINATION
- 14 BY MR. DETRE:
- 15 Q. Good morning, Professor Jacob.
- 16 A. Good morning.
- Q. Now, you and I first met in March of this year;
- 18 correct, when I took your deposition?
- 19 A. I believe so.
- 20 Q. Have you done any further work on this case
- 21 since that deposition?
- 22 A. Further work? Yes.
- Q. Can you give me some general idea of what that
- 24 work involved?
- 25 A. Reading -- for example, reading testimonies,

- 1 transcripts of testimonies and depositions, for
- 2 example.
- 3 Q. Anything else?
- 4 A. I've read articles. I've reread expert
- 5 reports. Things of that nature.
- 6 Q. Now, you have never designed a DRAM yourself,
- 7 have you?
- 8 A. Yes, I have. I've done architecture-level
- 9 designs.
- 10 Q. You've done architectural designs of a complete
- 11 DRAM?
- 12 A. That's -- well, that's what I do. I do
- 13 architectural-level design as opposed to circuit
- 14 design, for example.
- 15 Q. You've never done the circuit design of a
- 16 complete DRAM; is that right?
- 17 A. Correct.
- Q. And you had not designed any circuits for any
- 19 computer chips that were actually to be fabricated
- 20 until last year; correct?
- 21 A. Correct.
- 22 Q. And those chips that you did last year design
- some circuits for were not DRAM chips, were they?
- 24 A. Correct.
- Q. Now, yesterday you testified about a

1 comparative study that you performed of contemporary

- 2 DRAM architectures in 1998. Do you recall that?
- 3 A. It was -- yes. Yes.
- 4 Q. And I believe you testified that you modeled
- 5 the performance of the various architectures that you
- 6 were comparing as precisely as possible using software
- 7 simulation; is that right?
- 8 A. Correct.
- 9 Q. Now, with respect to the -- and yesterday you
- 10 discussed various alternatives to four particular
- 11 features of synchronous DRAMs. Do you recall that?
- 12 A. Yes, I do.
- 13 Q. Now, with respect to those alternatives that
- 14 you discussed yesterday, did you do this sort of
- 15 precise software simulation with respect to any of
- 16 them?
- 17 A. No, I did not.
- 18 Q. One of the features that you were proposing
- 19 alternatives for you referred to as dual-edged
- 20 clocking. Do you recall that?
- 21 A. One of the features that -- that I --
- 22 Q. Well, let me try to say that in a less
- 23 convoluted manner.
- 24 A. Thank you.
- Q. Yesterday you discussed with Mr. Oliver the

dual-edged clocking feature of DDR SDRAMs; correct?

- 2 A. Yes.
- 3 Q. And you proposed certain alternatives to that
- 4 technology; correct?
- 5 A. Yes, I did.
- 6 Q. And one of the alternatives that you proposed
- 7 was to increase the number of pins on the DRAM;
- 8 correct?
- 9 A. Correct.
- 10 Q. And another one of the alternatives that you
- 11 proposed was to increase the number of pins on the
- 12 module; correct?
- 13 A. Correct.
- Q. Now, with respect to those two alternatives in
- 15 particular, you consulted some data that you had
- 16 gathered in connection with an earlier academic study
- that you had done in order to get some idea of what
- 18 the performance would be of those alternatives;
- 19 correct?
- 20 A. Correct.
- 21 Q. And yesterday you also discussed alternatives
- 22 to the programmable burst length feature of SDRAMs;
- 23 correct?
- 24 A. Yes, I did.
- Q. And one of the alternatives that you discussed

there was to use a burst terminate command; right?

- 2 A. Yes.
- 3 Q. And in connection with the burst terminate
- 4 command alternative, you had a graduate student perform
- 5 some sort of a statistical study to give you some idea
- of what the performance of that alternative would be;
- 7 correct?
- 8 A. I and the graduate student did it together.
- 9 Q. Now, other than with respect to those three
- 10 alternatives that I just mentioned now that you
- discussed yesterday, increasing the number of pins on
- 12 the DRAM, increasing the number of pins on the module
- and using the burst terminate command for burst length,
- 14 you did no simulation or modeling of any kind with
- 15 respect to the other alternatives you testified about;
- 16 correct?
- 17 A. Not that I can recall.
- 18 Q. Yesterday you discussed programmable CAS
- 19 latency in SDRAMs with Mr. Oliver. Do you recall
- 20 that?
- 21 A. Yes.
- 22 Q. And you proposed various alternatives to that?
- 23 A. Yes.
- Q. And one of the alternatives that you proposed
- was to just fix the latency of the SDRAMs; right?

- 1 A. Correct.
- Q. And that if you wanted to have SDRAMs with
- 3 different CAS latencies you'd have to produce multiple
- 4 parts; correct?
- 5 A. Correct.
- Q. And if multiple SDRAM parts were produced, that
- 7 could lead to higher inventory costs for the DRAM
- 8 manufacturers; correct?
- 9 A. I'm not certain that it would.
- 10 Q. Potentially it could; right?
- 11 A. Well, for example, manufacturers currently
- 12 separate out into different part numbers different
- parts with different CAS latencies, like 133 megahertz
- 14 CAS 2 has a different part number from 133 megahertz
- 15 CAS 3.
- Q. You stated in your report, expert report in
- 17 this matter, that having multiple fixed latency parts
- 18 could potentially lead to higher inventory costs for
- 19 the DRAM manufacturers. Do you recall that?
- 20 A. Yes, I do.
- 21 Q. And when I took your deposition, I asked you
- about that, and you confirmed that; right?
- 23 A. Yes. It could potentially lead to higher
- costs, but as I noted, currently, at least some
- 25 manufacturers already do distinguish between different

- 1 CAS latencies, so at least for those manufacturers
- there doesn't seem to be a difference. There wouldn't
- 3 be a difference.
- Q. With respect to those potential higher
- 5 inventory costs that might exist, you don't know what
- 6 those inventory costs would be, do you?
- 7 A. You're asking me to speculate on the potential
- 8 costs of something that might not exist?
- 9 Q. Well, you don't know whether it exists or not,
- that's your testimony; right?
- 11 A. I am suggesting that it probably wouldn't exist
- 12 since already manufacturers do separate out parts with
- different CAS latencies, so you're telling me that
- if -- if they don't do that, which I have stated they
- 15 already do, then what would the costs be, and so I
- don't really understand the question.
- Q. You stated in your report, as you've just
- discussed now, that having the multiple parts could
- 19 lead to higher inventory costs; right?
- 20 A. Right.
- 21 Q. When you said that in your report, you didn't
- 22 have any idea of what those costs would be; is that
- 23 right?
- 24 A. Correct.
- Q. As one of the advantages of going to a fixed

- 1 latency part, yesterday you testified that if you did
- 2 that, you could eliminate the mode register in SDRAMs;
- 3 right?
- 4 A. Correct.
- 5 Q. Now, the mode register in SDRAMs is used for
- 6 purposes other than to store CAS latency, isn't it?
- 7 A. Yes, it is.
- Q. In particular, it stores the burst length; is
- 9 that right?
- 10 A. Yes.
- 11 Q. And it stores the burst type; right?
- 12 A. Yes.
- Q. And in DDR SDRAMs they expand the use of the
- mode register and they store other things in the mode
- 15 register; right?
- 16 A. I believe so.
- Q. And in DDR-II SDRAMs they're expanding the use
- of the mode register yet further again, storing even
- more things in the mode register; right?
- 20 A. I'm not certain about that.
- 21 Q. You don't know one way or the other?
- 22 A. I don't have the DDR-II spec in front of me and
- I have not consulted it recently, so I don't know
- 24 offhand.
- Q. If you just remove the programmable CAS latency

1 feature and went to fixed latency, you would still need

- 2 the mode register for these various other purposes;
- 3 correct?
- A. If you were to retain those features, you would
- 5 require the portion of the mode register used to
- 6 implement those features and you could eliminate the
- 7 portion of the mode register and the portion of the
- 8 control logic that would be used to implement the CAS
- 9 latency feature.
- 10 Q. One of the other alternatives for programmable
- 11 CAS latency that you mentioned yesterday was to use
- 12 fuses; right?
- 13 A. Correct.
- Q. And you mentioned that one type of fuse is a
- 15 laser-blown fuse?
- 16 A. Yes.
- Q. And you also mentioned that you couldn't use a
- laser-blown fuse after a part has been packaged;
- 19 right?
- 20 A. Yes.
- 21 Q. And you also mentioned that another type of
- fuse is an electrical fuse; right?
- 23 A. An electrically blown fuse, correct.
- Q. And you said that some DRAM manufacturers are
- using electrically blown fuses; right?

- 1 A. Yes, I did.
- 2 O. What DRAM manufacturers are those?
- 3 A. I believe Infineon and Micron and possibly
- 4 Hynix.
- Q. And do you know what parts they're using those
- 6 electrical fuses in?
- 7 A. I don't know the part numbers.
- Q. Do you know how many parts Micron is using
- 9 electrical fuses in?
- 10 A. I believe a substantial number.
- 11 Q. Is Micron using the electrical fuses in all of
- its DRAM products?
- 13 A. I don't know if it's all, but I believe it's a
- 14 substantial number. That's my understanding.
- Q. Do you know how many?
- 16 A. A substantial number of the parts that they
- 17 create.
- Q. Do you know what percentage?
- 19 A. No.
- 20 Q. Do you know what percentage of Infineon's parts
- 21 use electrical fuses?
- 22 A. No, I do not.
- Q. Now, you know that electrical fuses are not as
- 24 reliable as laser-blown fuses; right?
- A. No, I do not know that.

- 1 Q. Now, you said earlier that the fuses, if they
- were electrical fuses, could be blown by the OEMs
- 3 after the parts were shipped by the DRAM manufacturer;
- 4 right?
- 5 A. Wait. Could you restate that question.
- Q. Didn't you testify yesterday that since
- 7 electrical fuses can be blown after packaging, they
- 8 could be blown by an OEM after the DRAM parts had been
- 9 shipped by the DRAM manufacturer?
- 10 A. Yes.
- 11 Q. Now, if that were done, then the DRAM
- manufacturer couldn't test the parts; isn't that
- 13 right?
- 14 A. Yes, they could test the parts. They couldn't
- 15 test it after blowing the fuse, but they could
- certainly test the functionality the way they
- 17 currently do now and see, for example, what circuit is
- 18 working.
- 19 Q. Well, they couldn't tell if the part with the
- 20 blown fuse worked properly or not, could they?
- 21 A. If the part were -- if the fuse were to be
- 22 blown after shipping, then no, the DRAM manufacturer
- 23 would not be able to test whether or not the fuse was
- 24 blown correctly.
- Q. Do you know any examples of DRAMs where the

- 1 OEMs blow fuses after shipping?
- 2 A. No, I do not.
- 3 Q. Another alternative that you mentioned for
- 4 programmable CAS latency was to identify the CAS
- 5 latency in the command. Do you recall that?
- 6 A. Yes, I do.
- 7 Q. Now, identifying the CAS latency in the command
- 8 has the negative side effect of limiting the
- 9 simultaneous issuing of independent commands that is
- 10 possible with current SDRAMs; isn't that right?
- 11 A. I'm not sure what you're referring to.
- 12 Currently, you can only issue one command at a time,
- for example, a read or a write or a row activate,
- 14 precharge. These commands can't be issued
- 15 simultaneously. You can issue one command at a time.
- 16 O. Well, let me show you your report, professor.
- May I approach, Your Honor?
- JUDGE McGUIRE: Yes.
- 19 MR. DETRE: May I hand you a copy of the
- 20 report.
- BY MR. DETRE:
- 22 Q. And if I could direct you to page 54 of your
- 23 report.
- 24 And on that page you're discussing this
- 25 alternative; right, of identifying CAS latency in the

- 1 command?
- 2 A. Yes.
- 3 Q. And if you would look at the very last sentence
- 4 in your paragraph 108 on that page, you stated in your
- 5 report, with respect to this alternative, "This would
- 6 have the negative side effect of limiting the
- 7 simultaneous issuing of independent commands that is
- 8 possible with the current command set, for example,
- 9 setting DQ mask during the same cycle as issuing a
- 10 column write, " and you go on; is that right?
- 11 A. Yes. Yes. Typically --
- 12 JUDGE McGUIRE: Well, have you asked him a
- 13 question?
- 14 THE WITNESS: I'm sorry.
- 15 MR. DETRE: No, I haven't, Your Honor.
- 16 JUDGE McGUIRE: You just made the comment. So
- 17 wait until he asks you a question.
- 18 BY MR. DETRE:
- 19 Q. You stated that in your report; right,
- 20 Professor Jacob?
- 21 A. Yes, I did.
- Q. Let me show you another document.
- 23 Pull up RX-1308.
- You can set the report aside for the moment.
- 25 And this is a document with -- a patent with

- 1 patent number 5,835,956.
- 2 Do you see that, Professor Jacob?
- 3 A. Yes, I do.
- Q. And Matthew, if you could go down just a little
- 5 bit lower, highlight the top part of it, including the
- 6 title.
- 7 It's -- the title of the patent is Synchronous
- 8 DRAM Having a Plurality of Latency Modes.
- 9 Do you see that?
- 10 A. Yes, I do.
- 11 Q. It was assigned to Samsung and filed on
- March 17, 1997, the application. Do you see that?
- 13 A. Yes, I do.
- Q. But if you look just a little bit lower --
- 15 right there, yes -- you'll see that under Related U.S.
- 16 Application Data, it lists various parent applications
- 17 going all the way back to 1993.
- Do you see that?
- 19 A. Yes.
- 20 Q. Now, did you consider whether this patent
- 21 issued to Samsung might cover this alternative that you
- 22 mentioned yesterday about identifying the CAS latency
- in the command?
- A. No, I did not.
- Q. Did you check to see whether there might be any

- 1 patent coverage over that alternative?
- 2 A. No, I did not.
- 3 Q. Now, did you check to see whether there was any
- 4 patent coverage over any of the alternatives for
- 5 programmable CAS latency that you mentioned yesterday?
- 6 A. No, I did not.
- 7 Q. Now, yesterday you mentioned that another
- 8 possible alternative with respect to programmable
- 9 CAS latency was just to use asynchronous DRAM. Do you
- 10 recall that?
- 11 A. Yes. Yes, I do.
- 12 Q. And you testified that SDRAM was a synchronous
- 13 design; right?
- 14 A. A synchronous design?
- 15 Q. Yes. That SDRAM was a design of synchronous
- 16 DRAM; is that right?
- 17 A. Yes. Yes, it is.
- Q. And in the mid-1990s there was another proposal
- 19 for high-speed DRAM called SyncLink or SLDRAM.
- 20 Are you familiar with that?
- 21 A. Yes, I am.
- Q. And that was also a synchronous design;
- 23 correct?
- 24 A. Correct.
- Q. And another type of high-speed DRAM in the

- 1 mid-1990s was Rambus DRAM or RDRAM.
- 2 Are you familiar with that?
- 3 A. Yes, I am.
- 4 Q. And the Rambus design was also synchronous;
- 5 right?
- A. Yes.
- 7 Q. So isn't it a fact that all of the high-speed
- 8 DRAMs being seriously considered in the mid to late
- 9 1990s were synchronous DRAMs?
- 10 A. Being considered by whom?
- 11 Q. Being considered by DRAM manufacturers and
- 12 chipset companies as the next generation of high-speed
- DRAMs.
- 14 A. I don't know what these companies were
- 15 considering internally.
- 16 For example, I've seen an internal Rambus
- document where Rambus was considering asynchronous
- 18 DRAMs, so...
- 19 Q. Right.
- 20 And in fact, a significant amount of work was
- 21 being done on asynchronous technology at both the
- 22 academic and commercial level during the last decade;
- 23 right?
- 24 A. Yes.
- Q. Now, when you were talking about asynchronous

- 1 DRAMs, nonsynchronous -- I guess nonsynchronous is not
- 2 the right way to say it, but I'll just try to enunciate
- 3 as clearly as I can.
- When you were talking about asynchronous DRAMs,
- 5 you mentioned a type of asynchronous DRAM called burst
- 6 EDO that was developed by Micron; correct?
- 7 A. Yes, I mentioned burst EDO. I'm not sure who
- 8 developed it first.
- 9 Q. So you don't know whether that was developed by
- 10 Micron or not?
- 11 A. No.
- 12 Q. Do you know that Micron was only suggesting
- burst EDO for speeds of up to 100 megahertz?
- 14 A. I don't know what they were suggesting it for.
- 15 I know that they reported to have it cycling at speeds
- 16 up to 125 megahertz over voltage and temperature
- 17 ranges, meaning not in a highly controlled atmosphere
- but a wide range of possibilities with different
- 19 temperatures and voltage fluctuations, and it was
- 20 working stably at 125 megahertz, so I don't know what
- 21 they were proposing it at.
- 22 Q. And do you know that for speeds greater than
- 23 100 megahertz Micron was proposing SyncLink DRAM?
- A. No, I do not.
- Q. Are you aware, by the way, Professor Jacob,

1 that Micron has numerous patents covering burst EDO

- 2 technology?
- 3 A. No.
- 4 Q. You didn't look into that when you were
- 5 considering the asynchronous alternative?
- A. No, I did not. I was looking at technical
- 7 alternative.
- 8 Q. Now, yesterday, you also testified about
- 9 alternatives to programmable burst length in SDRAMs.
- 10 Do you recall that?
- 11 A. Yes, I do.
- 12 Q. And one of the alternatives that you suggested
- was to use a burst terminate command that already
- 14 exists; is that right?
- 15 A. Yes.
- 16 O. And that's the alternative where you mentioned
- 17 earlier today that you and this graduate student had
- done a statistical study to get some idea of what
- 19 impact using a burst terminate command instead of
- 20 programmable burst length might have on performance;
- 21 right?
- 22 A. Correct.
- Q. And this study showed that using the burst
- 24 terminate command could affect the efficiency of the
- 25 system by 10 to 15 percent; is that right?

- 1 A. I don't believe -- it could -- I think it
- 2 could have been that in hypothetical worst-case
- 3 situations, but I think we determined that it would be
- 4 in most situations less than that. That's my
- 5 recollection.
- Q. Well, let's just have a look at your deposition
- 7 if we might, and I -- do you have a copy of your
- 8 deposition there, Professor Jacob, over on the
- 9 right-hand side?
- 10 A. Oh. Yes, I do.
- 11 Q. This was, according to the cover anyway, this
- was a deposition of yourself taken on March 19, 2003?
- 13 A. Yes.
- Q. Does that sound about right for the date?
- 15 A. Yes, it does.
- 16 Q. Now, if I could ask you to turn to page 123.
- 17 And at -- and in discussing your statistical
- 18 study of the burst terminate command, if we could read
- 19 at line 13, I asked, "What were the results of that
- 20 simulation?"
- 21 And you answered, "The results were that it
- 22 didn't look like it was going to be very -- it wouldn't
- 23 have caused much overhead."
- 24 And I asked you, "How much overhead would it
- 25 have caused?"

1 And you said, "I don't remember offhand, but it

- 2 was 10-15 percent or less."
- 3 Do you see that?
- 4 A. Yes, I do.
- 5 Q. And that's what you testified to at your
- 6 deposition; right?
- 7 A. Well, this is a -- this is my deposition, yes.
- 8 Q. Now, still talking about the burst terminate
- 9 alternative, let me show you a document.
- 10 JUDGE McGUIRE: You may approach, Mr. Detre.
- MR. DETRE: Sorry, Your Honor. Thank you.
- 12 BY MR. DETRE:
- Q. And if we could pull up CX-415.
- There we go.
- 15 Now, the cover of this document identifies this
- as a set of slides entitled DDR Memory, Past, Present
- 17 and Future, Desi Rhoden, Advanced Memory International,
- 18 Inc., and the date is March 17, 2000.
- Do you see that on the cover?
- 20 A. Yes, I do.
- 21 Q. And Desi Rhoden was one of the gentlemen who
- 22 you spoke to in connection with your work on this case;
- 23 right?
- A. Yes, he is.
- Q. Now, if I could ask you to turn to page 10 of

1 this document, Major Changes with DDR, do you see

- 2 that?
- 3 A. Yes, I do.
- Q. And down at the bottom, the last bullet point,
- 5 it says, "Eliminate burst stop command, an internal
- 6 device timing nightmare."
- 7 Do you see that?
- 8 A. Yes, I do.
- 9 Q. Now, the burst stop command, that's just
- 10 another name for what you're calling burst terminate,
- 11 isn't it?
- 12 A. Yes, it is.
- 13 Q. And did Mr. Rhoden discuss with you that the
- burst stop command was an internal device timing
- 15 nightmare?
- 16 A. I'm not sure if he did or not. I know that
- some engineers did. I don't recall exactly who said
- 18 what.
- 19 Q. Now, another alternative that you -- you can
- 20 put that document aside, Professor Jacob.
- 21 Another alternative that you discussed for
- 22 programmable burst length was the use of the CAS pulse
- 23 to control data output. Do you recall that?
- 24 A. Yes.
- Q. And you could describe that as basically a

- 1 burst EDO style of bursting data; is that right?
- 2 A. Similar to either burst EDO or toggle mode, or
- 3 you can also think of it as being similar to DDR, DDR's
- 4 use of DQS.
- 5 Q. You mentioned toggle mode just then, and by
- 6 that, I think you testified yesterday you were
- 7 referring to a technology proposed by IBM; is that
- 8 right?
- 9 A. Yes.
- 10 Q. And that was a form of asynchronous technology;
- 11 correct?
- 12 A. That was -- I believe they were calling that an
- asynchronous DRAM at the time, but it depends upon your
- definition of "synchronous" and "asynchronous."
- 15 Q. Well, yesterday your definition of an
- 16 asynchronous DRAM was one in which the RAS and the CAS
- actually controlled the operation of the DRAM rather
- 18 than the system clock; right?
- 19 A. Correct.
- 20 So toggle mode has an asynchronous capture of
- 21 commands, but it has a synchronous capture of data, so
- that's why it's sort of in that gray area.
- Q. RAS and CAS are asynchronous in the IBM design;
- 24 right?
- 25 A. I believe so in that toggle mode design.

- 1 Q. Now, let me show you another document.
- 2 If we could pull up RX-2099 -- excuse me --
- $3 \quad RX-2099-007.$
- 4 May I approach, Your Honor?
- 5 JUDGE McGUIRE: Yes, you may.
- 6 MR. DETRE: Thanks to Mr. Perry, I remembered.
- JUDGE McGUIRE: Thank you, Mr. Perry.
- BY MR. DETRE:
- 9 Q. And this is a paper entitled A White Paper on
- 10 the Benefits of Chipkill Correct ECC for PC Server Main
- 11 Memory.
- Do you see that on the cover, Professor Jacob?
- 13 A. Yes, I do.
- Q. And that's by one Timothy J. Dell; is that
- 15 right?
- 16 A. Yes, it is.
- 17 Q. And it's from the IBM Microelectronics
- 18 Division.
- 19 Do you see that?
- 20 A. Yes, I do.
- Q. Now, if we could turn to page 16 of this
- document, and there's a section at the bottom entitled
- 23 Design Trade-Offs.
- Do you see that?
- 25 A. Yes, I do.

- Q. And there, Mr. Dell writes, "For example, a
- 2 forerunner of the emerging double data rate DDR SDRAM
- 3 called toggle mode was implemented in conjunction with
- 4 the low multibit piecepart architecture."
- 5 Do you see that?
- 6 A. Yes, I do.
- 7 Q. And he goes on to say, "The cumulative effect
- 8 of these design trade-offs was to present a DRAM that
- 9 was very fast and very RAS friendly but also very big,
- very hot and very nonstandard."
- 11 Do you see that?
- 12 A. Yes, I do.
- 13 Q. And you know that the IBM toggle mode part was
- very big and very hot, don't you?
- 15 A. Well, this is describing that research part,
- 16 yes.
- 17 Q. Your understanding is that the IBM toggle mode
- part was a research part?
- 19 A. Their implementation of it at the time was a
- 20 research part. It wasn't in volume production, so by
- 21 definition, it was a research part.
- 22 Q. And Mr. Dell goes on to say in his next
- paragraph at the last sentence that in the commodity
- 24 market these attributes, referring to the toggle mode
- 25 attributes that we just mentioned, are disastrous;

- 1 right?
- 2 A. Yes, he says that.
- 3 Q. Now, staying on toggle mode for a second,
- 4 Professor Jacob, are you aware that Gordon Kelley of
- 5 IBM testified at this hearing? Is that one of the
- 6 transcripts that you've reviewed?
- 7 A. I don't recall. I recognize the name, but I'm
- 8 not sure if I've read the testimony.
- 9 Q. Well, do you recall or have you heard that
- 10 Mr. Kelley has testified in this hearing that IBM has
- 11 patents on its toggle mode design?
- 12 A. No, I do not know that.
- Q. Do you know that according to IBM witnesses,
- 14 IBM's licensing rates for its patents are in the 1 to
- 15 5 percent range?
- 16 MR. OLIVER: Objection, Your Honor.
- 17 Mischaracterizes the testimony.
- 18 JUDGE McGUIRE: Sustained.
- 19 BY MR. DETRE:
- 20 Q. Now, yesterday you testified that you don't
- 21 think of toggle mode really as an alternative to DDR
- because it's essentially the same thing; is that
- 23 right?
- A. It's -- the problem is that it's sitting in
- 25 that gray area. If you look at dual-edged clocking as

1 just the concept of sending and receiving data on both

- 2 edges of the clock, then it is dual-edged clocking.
- 3 If you look at dual-edged clocking as an
- 4 implementation in specific, you know, how you
- 5 implement the dual-edged clocking, then it would be an
- 6 alternative.
- 7 So it's in that gray area, and -- well...
- 8 Q. Do you know that Rambus submitted the patents
- 9 that IBM holds on toggle mode to the patent office?
- 10 A. You mean with their original application?
- 11 Q. No. I mean later on.
- 12 A. I'm not sure if I recall that or not. Was that
- 13 mentioned in Soderman's report?
- Q. I frankly don't recall exactly what was in
- 15 Dr. Soderman's report, so I can't help you there, but
- 16 you don't recall, Professor Jacob?
- 17 A. It sounds familiar, but I can't say definitely
- 18 whether I know that to be true or not. But for
- 19 instance, if it was mentioned in Dr. Soderman's report,
- then certainly I read that report and I would be aware
- 21 of that, but that's not one of the main details that
- 22 stands out in my mind.
- Q. Do you know that Rambus' patents related to DDR
- technology were issued by the patent office even though
- 25 the patent office had before it the IBM toggle mode

- 1 patents?
- 2 A. Do I know that or do I believe it to be true?
- 3 Q. Do you know it?
- 4 A. No, I don't know it for a fact.
- 5 Q. Now, toggle mode was one of the alternatives
- 6 that you were suggesting to the dual-edged clocking
- 7 feature of DDR SDRAMs; right?
- 8 A. Well, as I think I mentioned in testimony
- 9 yesterday, it was listed there more out of -- for the
- sake of completeness. I'm not sure whether it was an
- 11 alternative or not, and that depends upon your
- 12 viewpoint. It was there because Rambus believes it to
- 13 be different and so I figured I would provide that
- 14 alternative for the sake of completeness.
- 15 Q. And the patent office believes it to be
- 16 different, too; right?
- 17 A. If you tell me so, then I believe you.
- 18 Q. One of the other alternatives you mentioned for
- 19 dual-edged clocking was interleaving on-chip banks. Do
- 20 you recall that?
- 21 A. Yes, I do.
- Q. You've never tried to design such a system,
- have you?
- 24 A. I did an architectural design of that system.
- 25 That's in the 19 -- study published in 1999 and the

- 1 follow-on published in 2001.
- Q. Well, let's have a look at your deposition.
- 3 Have you still got that there, Professor Jacob?
- 4 A. Yes, I do.
- 5 Q. And if you would turn to page 179, and if you
- 6 would just look at the very last question on that page
- 7 at line 25.
- You were asked the question: "Have you tried
- 9 to design such a system?"
- And you responded at the very top of page 180:
- "An interleaved system? No, I have not."
- 12 Do you see that?
- 13 A. Yes, I do.
- Q. And that's what you stated at your deposition;
- 15 right?
- 16 A. I believe that the question referred to a
- 17 physical design. And yes, that's what I said in
- 18 response.
- 19 Q. Now, that interleaved system or interleave
- 20 alternative that you were discussing yesterday, that
- 21 used two clocks; right? One clock went to one bank
- and another clock went to the other bank; is that
- 23 right?
- 24 A. That is right.
- Q. Let me show you another document.

- 1 May I approach, Your Honor?
- JUDGE McGUIRE: Yes, you may, Mr. Detre.
- 3 MR. DETRE: I apologize, Your Honor. I'm going
- 4 to get the hang of this.
- 5 BY MR. DETRE:
- 6 Q. Could we pull up RX-1472.
- 7 And if you could just blow up the top part of
- 8 that, the title and the number.
- 9 I've handed you, Professor Jacob, a U.S.
- patent, patent number 5,915,105. Do you see that?
- 11 A. Yes, I do.
- 12 Q. And it's assigned to Rambus; right?
- 13 A. Yes.
- 14 O. The inventors are Michael Farmwald and
- 15 Mark Horowitz?
- 16 A. Yes.
- Q. And it was filed in November of 1997, but if
- 18 you look at the related U.S. application data and trace
- 19 that back, it goes all the way back to the
- 20 '898 application that you testified about yesterday.
- 21 Do you see that?
- 22 A. Yes, I do.
- Q. Could we turn to page 31 of this document,
- 24 please.
- 25 And on the right-hand column, you'll see

- 1 there's a claim 27.
- 2 Could we blow that up.
- And claim 27 states, "A memory device having at
- 4 least one memory section which includes a plurality of
- 5 memory cells, the memory device comprises," and then it
- 6 lists "a first clock receiver to receive a first
- 7 external clock signal; a second clock receiver to
- 8 receive a second external clock signal; and input
- 9 receiver circuitry, coupled to the first and second
- 10 clock receivers, to sample information on a bus
- 11 synchronously with respect to the first and second
- 12 external clock signals."
- Do you see that?
- 14 A. Yes, I do.
- 15 Q. Did you consider this patent or any of its
- 16 claims when you were proposing the interleaving of
- on-chip banks as an alternative to DDR?
- 18 A. No, I did not.
- 19 Q. Now, yesterday you also testified,
- 20 Professor Jacob, about alternatives to on-chip DLL as
- 21 used in DDR SDRAMs; correct?
- 22 A. Yes.
- Q. And yesterday you testified that a PLL and a
- 24 DLL were similar circuits but whereas a PLL uses an
- oscillator to generate a clock signal, a DLL does not

- use an oscillator; correct?
- 2 A. Correct.
- 3 Q. A DLL uses variable delay circuitry to delay
- 4 one signal so that it is in sync with another signal;
- 5 correct?
- 6 A. Correct.
- 7 Q. And a PLL uses an oscillator instead of varying
- 8 the delay circuitry; right?
- 9 A. Correct.
- 10 Q. But both can be used for that same purpose, to
- get one clock signal in sync with another; right?
- 12 A. Both can be used to produce two clock signals
- 13 that are in sync with each other.
- 14 O. Now, the DLL as it's used in DDR SDRAMs is
- transparent in DRAM interface; correct?
- 16 A. Correct.
- Q. And that means that the rest of the system
- 18 doesn't care whether there is a DLL there or some
- 19 other kind of circuitry so long as the data arrives at
- 20 the controller in the appropriate timing window;
- 21 right?
- 22 A. Yes.
- Q. So if you took a PLL and stuck it on the
- DDR SDRAM instead of the DLL, it would operate just
- 25 fine; right?

1 A. I believe so. I'm not absolutely certain, but

- 2 I believe so.
- 3 Q. Now, one of the alternatives that you mentioned
- 4 yesterday to this idea of using an on-chip DLL was a
- 5 vernier circuit; right?
- 6 A. Yes.
- 7 Q. And you're aware, correct, that the SLDRAM chip
- 8 designed by SyncLink used a vernier?
- 9 A. Yes, I am.
- 10 Q. And isn't it also true that the SLDRAM chip
- 11 used an on-chip DLL in addition to the vernier in order
- 12 to make the timing more accurate?
- 13 A. I'm not sure what you mean by making the
- 14 timing more accurate. The DLL was not used to capture
- 15 data. That's not the timing that it was making more
- 16 accurate.
- So I don't know what you're getting at.
- 18 Q. Well, you testified in your deposition that the
- 19 purpose of that DLL on top of the vernier in SyncLink
- 20 SLDRAMs was to make the timing more accurate, didn't
- 21 you?
- 22 A. I didn't say it was on top of the vernier.
- Q. Could we turn to your deposition, at page 167.
- And on that page, we're discussing a
- conversation that you had with Mr. Terry Lee of Micron

- about the use of verniers and DLLs and SLDRAMs.
- 2 Do you see that?
- 3 A. Yes, I do.
- Q. And you're describing what Mr. Lee told you in
- 5 your response there; right?
- A. I am describing my understanding of the way the
- 7 vernier and the DLL are used in the SLDRAM work.
- Q. And in the sentence of your response, lengthy
- 9 response that begins at line 17, you state, "And so
- 10 this static calculation was done, and the vernier was
- 11 set in each of the DRAMs, and that the DLL was used to
- make that timing a little bit more accurate"; correct?
- 13 MR. OLIVER: Objection, Your Honor.
- 14 Counsel has read a half of a sentence here. I
- 15 believe if the entire answer is read you'll see that
- 16 the answer is completely consistent with his testimony
- this morning.
- JUDGE McGUIRE: I'll give you that opportunity
- 19 to do that either on your questioning or I'll let you
- interject at this time after he's done with that half
- 21 question and I quess complete, you know, the
- 22 statement.
- MR. OLIVER: I'd like to do that at this time
- if I could, please, Your Honor.
- JUDGE McGUIRE: All right.

- 1 BY MR. DETRE:
- 2 Q. Do you have the question in mind,
- 3 Professor Jacob?
- 4 A. No, I do not.
- 5 Q. If we could begin reading at line 17 of your
- 6 response, you state, "And so this static calculation
- 7 was done, and the vernier was set in each of the DRAMs,
- 8 and that the DLL was used to make that timing a little
- 9 bit more accurate."
- 10 That's what you stated; correct?
- 11 A. That's what I state there.
- MR. OLIVER: Your Honor, may I read the entire
- 13 question?
- JUDGE McGUIRE: Yes, Mr. Oliver.
- MR. OLIVER: Thanks, Your Honor.
- 16 Beginning on page 167, line 1, reading through
- 17 page 167, line 25:
- 18 "QUESTION: And what did Mr. Lee tell you about
- 19 the use of verniers in DLLs and SLDRAMs?
- 20 "ANSWER: He said that contrary to what
- 21 Soderman had said, that the SLDRAM part that was built
- 22 did use verniers. Soderman had said that they
- 23 abandoned the use of a vernier in favor of a DLL and
- therefore that the vernier is a useless mechanism. Lee
- 25 said that the verniers were used at both the controller

- 1 side and the DRAM side to capture data.
- They were used to, quote-unquote, level the
- 3 bus so that all DRAMs responded to transactions at
- 4 nominally the same time so that even though a nearby
- 5 DRAM would receive a request sooner than a faraway
- 6 DRAM, the nearby DRAM would delay its response so that
- 7 it appeared -- so that it would write things out onto
- 8 the bus at the same time that the further-away DRAM
- 9 would.
- 10 "And so this static calculation was done, and
- 11 the vernier was set in each of the DRAMs, and that the
- 12 DLL was used to make that timing a little bit more
- 13 accurate, and that the verniers were used to delay the
- data with respect to the strobe so that the strobe
- 15 captured the data.
- "So the verniers, according to Mr. Lee, were
- 17 used in the capture of data and not the DLL. That's my
- 18 recollection."
- 19 JUDGE McGUIRE: All right. Mr. Detre, you may
- 20 proceed.
- MR. DETRE: Thank you, Your Honor.
- BY MR. DETRE:
- Q. Let me show you a document, Professor Jacob.
- 24 May I approach, Your Honor?
- JUDGE McGUIRE: Yes.

- 1 BY MR. DETRE:
- 2 Q. Could we pull up RX-1701.
- And if you'd blow up that usual top part,
- 4 please.
- 5 I've handed you, Professor Jacob, a patent with
- 6 patent number 6,115,318. It's titled Clock Vernier
- 7 Adjustment. It's assigned to Micron Technology. And
- 8 it was filed on December 3, 1996.
- 9 Do you see that?
- 10 A. Yes, I do.
- 11 Q. When you were proposing your vernier
- 12 alternative, did you consider this patent?
- 13 A. No, I did not.
- Q. Do you know whether this patent covers the type
- of vernier technology that you were proposing?
- 16 MR. OLIVER: Objection, Your Honor. He said he
- 17 did not consider this patent.
- 18 JUDGE McGUIRE: Sustained.
- 19 BY MR. DETRE:
- Q. Let me show you another document.
- 21 Could we pull up RX-1479.
- May I approach, Your Honor?
- JUDGE McGUIRE: Yes.
- 24 BY MR. DETRE:
- Q. And I've handed you now a patent with patent

- 1 number 5,917,760, Deskewing Data Signals in a Memory
- 2 System, assigned to SLDRAM, Inc., filed September 19,
- 3 1997.
- 4 Professor Jacob, do you know whether this
- 5 patent covers the use of verniers as used in SLDRAM
- 6 devices?
- 7 A. No, I do not.
- 8 Q. Did you consider this patent when you were
- 9 proposing your vernier alternative?
- 10 A. No, I did not.
- 11 Q. Let me move on, Professor Jacob, to another
- 12 topic that you covered yesterday with Mr. Oliver.
- And you discussed with Mr. Oliver whether
- certain Rambus patent claims pending between 1991 and
- 15 1996 covered JEDEC work. Do you recall that?
- 16 A. Yes.
- 17 Q. Now, when you were responding to those
- questions, what did you understand by "a patent claim
- 19 covering JEDEC work"? What did you understand by
- 20 "cover"?
- 21 A. My understanding was that for it to cover, an
- 22 engineer reasonably versed in the art would reasonably
- 23 understand the -- that the engineer's interpretation of
- 24 the claims, using the normal and customary meanings of
- 25 the terms, would relate to the work in question, the

- 1 work going on in the JEDEC subcommittee.
- 2 Q. That it would relate to the work going on in
- 3 the JEDEC subcommittee; is that right?
- 4 A. That every element in the claim would
- 5 correspond to something going on within JEDEC at the
- 6 time, so every claim would need to be satisfied. You
- 7 know, it couldn't just be this is near, this is near to
- 8 it. Every element would have to be satisfied.
- 9 Q. So that a product actually built to the
- 10 specifications being discussed at JEDEC would infringe
- 11 the patent claims; is that what you meant?
- MR. OLIVER: Objection, Your Honor. To the
- extent he's asking about infringement, that would call
- 14 for a legal conclusion.
- 15 JUDGE McGUIRE: Sustained.
- 16 BY MR. DETRE:
- 17 Q. You mentioned just now that you tried to
- interpret claims, do this analysis from -- actually let
- me step back for one second. Excuse me.
- 20 Prior to this case, you've never done any
- 21 claims analysis of the type that you presented here
- 22 yesterday; correct?
- 23 A. Correct.
- Q. And as you testified just now, you do
- 25 understand that claims are to be interpreted from the

1 point of view of a person of ordinary skill in the art

- 2 to which the patent pertains; correct?
- 3 A. Correct.
- 4 Q. And you would consider a person of ordinary
- 5 skill in the art to have a technical understanding of
- 6 DRAMs and several years experience in designing DRAM
- 7 systems, architectures and/or circuits; correct?
- 8 A. Correct.
- 9 Q. Let's look at one of the claims you testified
- about yesterday, CX-1504.
- 11 May I approach, Your Honor?
- 12 JUDGE McGUIRE: Yes.
- 13 BY MR. DETRE:
- 14 Q. I've handed you a copy, Professor Jacob, of one
- of the exhibits Mr. Oliver used with you yesterday,
- 16 CX-1504, a copy of the file wrapper, U.S. serial number
- 17 08/910,810, and if we could turn to page 216.
- And blow up just the top part there, please.
- 19 You've testified about this amendment that
- 20 begins on page 216 yesterday. Do you recall that,
- 21 Professor Jacob?
- 22 A. I believe so, yes.
- Q. And if we look at this amendment, in the block
- 24 at the top right it identifies the serial number of the
- application that's being amended as 07/847,961.

- 1 Do you see that?
- 2 A. At the top right?
- 3 Q. The top left. Excuse me. I'm getting my hands
- 4 backwards.
- 5 A. No problem.
- Q. You see that; right, the '961 application?
- 7 A. Yes.
- Q. And you testified, if we move ahead to
- 9 page 221, about claim 160 that begins at the bottom of
- 10 that page; right?
- 11 A. I believe so.
- 12 Q. And you testified about certain other claims in
- this amendment; right?
- 14 A. Okay.
- 15 Q. And you testified that claim 160, as well as
- 16 the other claims that you testified about, covered
- 17 SDRAMs; right?
- 18 A. I -- could you restate that.
- 19 Q. Didn't you testify yesterday that claim 160, as
- 20 well as certain other claims that you testified about
- 21 in this amendment, covered SDRAMs due to their
- 22 programmable CAS latency and programmable burst length
- 23 features? Do you recall that?
- 24 A. I believe what I testified to was that this
- 25 would cover the mode register of the SDRAM

- 1 specification.
- 2 O. So that if --
- 3 A. And would therefore cover the implementation of
- 4 CAS latency, yes.
- 5 Q. Sorry. I didn't quite catch it. You said
- 6 therefore it covered the implementation of?
- 7 A. Of the programmable CAS latency. Because you
- 8 said programmable CAS latency; correct?
- 9 Q. Okay. So it's your understanding that
- 10 claim 160, as well as the other claims that you
- 11 testified about in this amendment --
- 12 A. Well, actually let me -- I'm sorry. I don't
- 13 have these -- the numbers of the claims memorized the
- 14 way all the lawyers do. Let me reread this.
- 15 Q. Sure. Take your time.
- 16 (Pause in the proceedings.)
- 17 A. Yes. Yes. I'm sorry. Yes.
- Q. You testified that claim 160, as well as
- 19 certain other claims in this amendment, would cover the
- 20 mode register as specified for SDRAMs and consequently
- 21 would cover SDRAMs that contain such a mode register;
- is that right?
- 23 A. Yes.
- Q. Now, let me show you a document, RX-2111.
- 25 May I approach, Your Honor?

- 1 Could you blow up the top half, please.
- 2 Professor Jacob, I've handed you an opinion of
- 3 the United States Court of Appeals for the Federal
- 4 Circuit in the case of Rambus, Inc. versus Infineon
- 5 Technologies, et al.
- 6 Do you see that?
- 7 A. Yes, I do.
- 8 Q. Have you seen this document before?
- 9 A. I'm not sure. I may have.
- 10 Q. Did you review the Federal Circuit's opinion
- in the Rambus case after it came out earlier this
- 12 year?
- 13 A. Are you referring to the one that I mentioned
- in my deposition? Is this that document?
- 15 O. I believe it is.
- 16 A. Okay. Well, then yes. I read it, and if this
- is it, then I've read this document. My printout
- looks very different from this, so it's hard to
- 19 recognize.
- 20 Q. And the opinions are produced in various
- 21 different formats, that's true.
- If we could go to page 33 of the opinion, and
- 23 if we could just look at the sentence, the very last
- sentence on the page that just begins on that page and
- 25 then carries over to the next page, it begins, "The

1 court also identified application" -- I'm not going to

- 2 need both pages. We can just -- let's just start with
- 3 that page. Let's just look at that line.
- 4 "The court also identified application
- 5 numbers 07/847,651" -- now let's go on to the next
- 6 page -- "('651 application) filed in March 1992 and
- 7 07/847,961 ('961 application) filed in March 1992 but
- 8 later abandoned as having claims directed toward CAS
- 9 latency."
- 10 Do you see that?
- 11 A. Yes, I do.
- 12 Q. And that application, which the court refers to
- as the '961 application, that's the same
- '961 application that you were testifying about
- 15 yesterday; correct?
- 16 A. If the numbers match up, then yes, it is.
- Q. And if you could look at the next paragraph on
- that page, the very first couple of sentences:
- 19 "The (sic) court has examined the claims of the cited
- 20 applications," referring to the applications in the
- 21 previous paragraph, including the '961 application, "as
- 22 well as the relevant portions of the SDRAM standard.
- 23 Based on this review, this court has determined that
- 24 substantial evidence does not support the finding that
- 25 these applications had claims that read on the SDRAM

- 1 standard."
- 2 Do you see that?
- 3 A. Yes, I do.
- Q. Now, in reading the Federal Circuit's opinion,
- 5 did that cause you to in any way revise your opinion
- 6 that claim 160 and the other claims you testified about
- 7 in the '961 application had claims that would cover an
- 8 SDRAM with a mode register?
- 9 A. No, it would not.
- 10 Q. Do you disagree with the Federal Circuit's
- 11 finding there?
- 12 A. I'm not sure what this says.
- 13 Q. You don't understand those sentences?
- 14 A. You asked me about this in my deposition, and I
- 15 still don't understand the meaning of that sentence.
- Q. Well, let's move to the end of that paragraph,
- 17 the last two sentences beginning with "similarly."
- 18 It states, "Similarly, claims in the
- 19 '961 application were limited to the device identifier
- 20 feature, "and then it discusses another application and
- 21 goes on to say, "Thus, licenses under the claims of
- these applications or the '717 patent would not be
- 23 necessary to practice the SDRAM standard."
- Do you see that?
- 25 A. Yes, I do.

- 1 Q. Now, do reading those sentences change in any
- 2 way your opinion that the claims that you testified
- 3 about yesterday would read on SDRAMs?
- 4 A. Not at all because the claims that I talked
- 5 about were not limited to the device identifier
- 6 feature.
- 7 Q. Okay. So if the Federal Circuit is saying that
- 8 those claims in the '961 application were so limited,
- 9 you simply disagree; is that right?
- JUDGE McGUIRE: Well, I'm a little bit confused
- about that answer that you just gave, professor. Would
- 12 you expound on that for my edification.
- 13 THE WITNESS: Sure. The sentence here says,
- "Similarly, claims in the '961 application were limited
- to the device identifier feature," and indeed if you
- 16 read through that application, there are claims that
- are explicitly limited to the device identifier
- 18 feature, and then there are other claims that are not
- 19 explicitly limited to the device identifier feature.
- 20 Some of them explicitly use that language;
- 21 they say this is a bus that uses device identifiers.
- 22 And then there are other claims that omit that
- wording.
- JUDGE McGUIRE: Okay. You may proceed,
- 25 Mr. Detre.

- 1 BY MR. DETRE:
- 2 Q. So you do not believe, Professor Jacob, that
- 3 all the claims in the '961 application were limited to
- 4 the device identifier feature; is that right?
- 5 A. The claims that I looked at did not say
- 6 anything about device identifiers.
- Well, the claims that I used.
- Q. Could we go back to CX-1504. Have you still
- 9 got that in front of you, Professor Jacob? Excuse me.
- 10 That's the big document with the '961 amendment in the
- 11 middle.
- 12 A. Okay. Yes. Yes, I have it.
- Q. And if we could go back to -- let's see --
- 14 page 216.
- Now, if we blow up the top part of that again,
- 16 do you see the date over on the right-hand side,
- 17 January 6, 1995?
- 18 A. Yes, I do.
- 19 Q. So you understand this amendment was sent to
- 20 the patent office on that date; is that right?
- 21 A. Frankly, I don't know exactly what that date
- 22 means.
- Q. If you look at the mailroom stamp at the top
- left, do you see it's stamped January 10, 1995 at the
- 25 Patent and Trademark Office?

- 1 A. Yes.
- 2 Q. Do you know that these claims that you
- 3 testified about yesterday were canceled in June of
- 4 1995 and so were only pending at the patent office for
- 5 about six months?
- 6 A. Do I know that.
- 7 No, I do not know that offhand.
- Q. Let's move on to the '692 application if we
- 9 could.
- 10 May I approach, Your Honor?
- JUDGE McGUIRE: Yes.
- BY MR. DETRE:
- Q. Excuse me. CX-1502. It's the '481 patent file
- 14 wrapper.
- Now, if we could turn to page 205 of this
- 16 document.
- 17 And this is identified as a preliminary
- 18 amendment in patent application serial
- 19 number 07/847,692.
- Do you recall testifying about claim 151 in
- 21 that preliminary amendment yesterday, Professor Jacob?
- 22 And maybe we could just turn to claim 151, which
- appears on page 208.
- 24 A. Yes. That looks familiar.
- Q. And if we could just blow up claim 151 at the

- 1 very top of the page.
- Now, one element of that claim identified by
- 3 the letter C calls for a phase-locked loop, PLL,
- 4 coupled to the clock signal -- excuse me -- coupled to
- 5 the clock signal receiving circuit and the memory array
- for providing a variable delay to the local clock
- 7 signal such that the delayed local clock signal is
- 8 synchronized with the external clock signal received by
- 9 the clock signal receiving circuit.
- 10 Do you see that?
- 11 A. Yes, I do.
- 12 Q. Now, that description of the PLL circuitry
- providing a variable delay to the local clock signal,
- that actually describes a DLL, doesn't it,
- 15 Professor Jacob?
- 16 A. Yes. That's my understanding of a DLL.
- 17 Q. Let's put that aside for a second and let's
- 18 look at the NEC presentation that you compared this
- 19 claim to yesterday, Professor Jacob.
- 20 A. Okay.
- Q. And that's going to be JX-21.
- 22 May I approach, Your Honor?
- JUDGE McGUIRE: Yes.
- 24 BY MR. DETRE:
- Q. If we could turn to page 91 of that document.

- 1 Have you got that, Professor Jacob?
- 2 A. Yes, I do.
- 3 Q. And that's the diagram you were testifying
- 4 about yesterday comparing to claim 151 in that
- 5 amendment to the '692 application; right?
- 6 A. Correct.
- 7 Q. Now, if you look at the part of that diagram on
- 8 the right-hand side headed With PLL, do you see that
- 9 little square near the top which says "PLL" in it? Do
- 10 you see that?
- 11 A. Yes, I do.
- 12 Q. Now, in your understanding, does that PLL have
- any effect on what goes on in the rectangle marked
- "memory array"?
- 15 A. It affects the timing of reads and writes with
- 16 respect to the memory array.
- Q. What on this diagram shows you that it affects
- 18 the timing of writes?
- 19 A. Oh, okay. Good point. That's sort of -- yes,
- you're right. This diagram shows reads.
- Q. And it shows the PLL affecting the data output
- 22 from the data output buffer; correct?
- 23 A. Yes.
- Q. Does the PLL affect in any way the timing of
- 25 data from the memory array going to the data output

- 1 buffer?
- 2 A. It's not clear.
- 3 Q. Now, you testified yesterday also about the
- 4 little diagram that appears at the bottom of this
- 5 picture which shows the clock signal and the internal
- 6 clock in sync.
- 7 Do you see that?
- 8 A. Yes, I do.
- 9 Q. And you said that they were synchronized by
- 10 variable delay. Do you recall that?
- 11 A. Yes, I do.
- 12 Q. What on this diagram indicates to you that they
- were synchronized through the use of a variable delay
- 14 element?
- 15 A. Well, because you're sending two clock signals
- 16 into the PLL.
- 17 Q. Isn't it the case that that PLL could be
- 18 synchronizing the internal clock with the clock using
- 19 an oscillator?
- 20 A. Well, but then you wouldn't require sending two
- 21 clocks into the PLL; you would just have one input.
- 22 This is showing two inputs from the left-hand side and
- one output to the right.
- Q. You see two clocks going in at the left-hand
- 25 side?

- 1 A. Yeah. Clock and I-clock.
- Q. Oh, you're talking about the little diagram?
- 3 A. Oh, yeah. What -- I'm sorry. Then maybe I
- 4 misunderstood your question.
- 5 Q. Perhaps we're talking at cross-purposes.
- At the top of this diagram, a clock signal goes
- 7 into the PLL; right?
- 8 A. Correct.
- 9 Q. And I-clock comes out of the PLL; right?
- 10 A. And then feeds back into the PLL, yes.
- 11 Q. Oh, I see what you're saying.
- 12 A. Yes.
- 13 Q. Now, if you were using a PLL with an
- oscillator, you would -- that's a loop; correct? It's
- called a phase-locked loop; is that right?
- 16 A. Yes.
- Q. So something from the output of the PLL feeds
- 18 back into the PLL; right?
- 19 A. Correct.
- MR. DETRE: I think this would be a good time
- 21 for a break, Your Honor, if that's okay.
- JUDGE McGUIRE: That's fine. We'll take a
- 23 ten-minute break.
- But before we go, I want to ask of any
- 25 respondent, the other day I granted provisional

- in camera treatment to some items of Micron, and I'm
- 2 getting ready to issue an order on that pending motion.
- 3 Was there any opposition that you intended to file on
- 4 that, Mr. Perry?
- 5 MR. PERRY: Your Honor, I wasn't involved in
- 6 that. If I could get back to you at the lunch break
- 7 with an answer to your question.
- JUDGE McGUIRE: Okay. Because if you are, your
- 9 time is if not already expired is about to, so...
- 10 MR. PERRY: I'll take that into consideration
- 11 when discussing it.
- JUDGE McGUIRE: So will I.
- 13 All right. Thanks very much.
- 14 This hearing is in recess for ten minutes.
- MR. DETRE: Thank you, Your Honor.
- 16 (Recess)
- 17 BY MR. DETRE:
- Q. Professor Jacob, before the break, we were
- 19 talking about PLLs; correct?
- A. Yes, we were.
- Q. And you testified earlier that a PLL has an
- 22 oscillator in it; right?
- 23 A. Yes, I did.
- Q. And a PLL also has a phase comparator in it; is
- 25 that right?

- 1 A. Correct.
- 2 Q. And the phase comparator compares the phase of
- 3 two signals; is that right?
- 4 A. It -- yes.
- 5 Q. And it uses those two signals then to generate
- 6 the signal output by the PLL?
- 7 A. It uses two signals -- that's not a very
- 8 precise statement. What do you mean?
- 9 Q. It uses information gleaned from those two
- 10 signals in order to generate the output?
- 11 A. The comparator? The comparator doesn't
- 12 generate the output.
- 13 Q. The PLL.
- 14 A. Oh, the PLL. The PLL has an oscillator and a
- 15 reference and it synchronizes the oscillator's output
- 16 to the reference.
- 17 Q. Yesterday you testified about Rambus'
- 18 '327 patent. Do you recall that?
- 19 A. Yes, I do.
- Q. Let me hand you a copy of CX-1494.
- 21 May I approach, Your Honor?
- JUDGE McGUIRE: Yes.
- BY MR. DETRE:
- Q. If we could go to page 23 of the patent.
- 25 And if we could blow up claim 1.

1 And claim 1 begins, "A dynamic random access

- 2 memory (DRAM) comprising a first circuit for providing
- 3 a clock signal."
- 4 Do you see that?
- 5 A. Yes, I do.
- 6 Q. Now, that part of claim 1 indicates to you
- 7 that claim 1 is referring to a synchronous DRAM;
- 8 correct?
- 9 A. No. It indicates to me that it's -- it is
- 10 referring to a DRAM that has a clock signal.
- 11 Q. Do you still have your report handy?
- 12 A. My what?
- JUDGE McGUIRE: Your expert report.
- 14 THE WITNESS: My expert report.
- MR. DETRE: Thank you.
- 16 BY MR. DETRE:
- 17 Q. And if you would turn to page 48.
- And on that page, you have a chart analyzing
- 19 the '327 patent; right?
- 20 A. Uh-huh.
- 21 Q. And in the top left box on the right-hand side,
- you have the language I just read you from claim 1 of
- 23 the '327 patent?
- 24 A. Yes.
- 25 Q. "Dynamic random access memory (DRAM)

1 comprising a first circuit for providing a clock

- 2 signal"; right?
- 3 A. Correct.
- 4 Q. And then in the box next to that you have claim
- 5 interpretation; right?
- 6 A. Yes, I do.
- 7 Q. And you were interpreting that claim as a
- 8 person of ordinary skill in the art there; right?
- 9 A. Yes.
- 10 Q. And you state, "This claim applies to a
- 11 synchronous DRAM, i.e., one that uses a clock signal to
- 12 time the DRAM's operations"?
- 13 A. Correct. I thought you were -- I thought you
- 14 meant synchronous as in capital S.
- 15 Q. Oh, no. I meant synchronous. --
- 16 A. Yes.
- Q. -- as you defined it yesterday, namely a DRAM
- 18 which uses the system clock to drive the DRAM's
- 19 operations.
- A. What's the question?
- Q. When you referred to this claim applying to a
- 22 synchronous DRAM on page 48, you meant synchronous the
- 23 way you defined it yesterday as a DRAM in which a
- 24 system clock is used to drive not only the memory
- controller's operations but also the DRAM?

- 1 A. No. Right here I say very clearly what I mean.
- 2 I say a synchronous DRAM is one that uses a clock
- 3 signal to time the DRAM's operations. That's...
- 4 O. Now, isn't it a fact that in DDR SDRAMs the
- 5 system clock is all but ignored in the data transfer,
- in the data transfer portion of DDR write requests?
- 7 A. Correct.
- 8 Q. In fact, in DDR SDRAMs, the DRAM samples the
- 9 incoming data with respect to not that clock but
- instead a separate signal known as a DQS; right?
- 11 A. Correct.
- 12 Q. That's sometimes also referred to as a data
- 13 set; right?
- 14 A. Yes.
- Q. Now, if you've still got the '327 patent
- there, yesterday you also -- CX-1494, page 23, the top
- 17 right-hand column -- you also discussed claim 7; right?
- 18 A. Yes, I did.
- 19 Q. And one of the elements that claim 7 requires
- is a multiplexer; right?
- 21 A. Yes.
- 22 Q. Now, isn't it the case that if you could come
- 23 up with a circuit that performs the function that the
- 24 multiplexer performs in some DDR SDRAMs but isn't a
- 25 multiplexer, then the multiplexer isn't a necessary

- 1 part of the DDR specification?
- 2 A. In theory, yes, but in reality, there's no such
- 3 thing that performs the function of a multiplexer that
- 4 isn't a multiplexer. A multiplexer by definition is
- 5 something that multiplexes between things and it
- 6 says -- the term itself has no implications of its
- 7 implementation, so anything that multiplexes between
- 8 two inputs is by definition a multiplexer.
- 9 Q. Well, when -- you could do types of
- interleaving between two banks without a multiplexer,
- 11 couldn't you?
- 12 A. Correct.
- Q. Going back briefly to your discussion about
- 14 alternatives yesterday, you proposed, as we discussed
- 15 earlier, a number of different alternatives to four
- 16 features found in SDRAMs and DDR SDRAMs; right?
- 17 A. Yes.
- 18 Q. Now, you can't say with any certainty what the
- 19 cost would be to implement any of the alternatives that
- you discussed yesterday; isn't that right?
- 21 A. Well, I list the advantages and disadvantages
- 22 wherever appropriate and I discuss cost in a general
- 23 sense in that this would require more design effort,
- 24 this would require less design effort, more pins, fewer
- 25 pins, that sort of thing.

- 1 Q. Beyond that, you can't specify with any
- 2 certainty what the costs would actually be, can you?
- 3 A. No. I've not done an economic analysis of the
- 4 implications.
- 5 Q. Now, yesterday you also testified about the
- 6 implications of a redesign today. Do you recall that?
- 7 A. Yes, I do.
- 8 Q. Now, if we go back a little bit further in
- 9 time, isn't it correct to say that with respect to
- 10 programmable CAS latency and programmable burst length
- in SDRAMs that many alternative technologies could have
- 12 been used even in the mid-1990s after the SDRAM
- 13 standard was set but before it had become widely
- 14 deployed?
- 15 A. Correct.
- 16 O. And moving on to the DDR standard, isn't it a
- fact that JEDEC could have eliminated programmable CAS
- latency and programmable burst mode from the DDR
- 19 standard at any point prior to the standard being
- 20 finalized?
- 21 A. Yes.
- 22 Q. Now, you mentioned with respect to many of your
- proposed alternatives that if you were to try to
- implement those today, it would cause disruption, it
- could cause a slippage in DRAM production schedules.

- 1 Do you recall that?
- 2 A. Yes, I do.
- Q. Can you quantify in any way the degree of
- 4 disruption or the amount of slippage that any of your
- 5 alternatives would have caused?
- 6 A. Well, I can talk about it in general terms.
- 7 DRAMs take several years from design, beginnings of the
- 8 design stage to when you have the final part that's
- 9 shipping, so it depends upon what sort of redesign is
- 10 required, how much of the design is going to be
- 11 changed. It could affect it by anywhere from, you
- 12 know, months to years.
- 13 Q. Have you tried to quantify precisely any of the
- 14 alternatives you proposed about how much time it would
- 15 take?
- 16 A. No.
- Q. Now, turning to another topic, yesterday you
- discussed some patents that were disclosed to JEDEC in
- 19 Rambus' withdrawal letter. Do you recall that?
- 20 A. Yes, I do.
- 21 Q. Let me get you a copy of that.
- 22 May I approach, Your Honor?
- JUDGE McGUIRE: Yes.
- 24 BY MR. DETRE:
- 25 Q. CX-887.

1 And if we could turn to page 2, that contains

- 2 the list of Rambus patents you discussed; right?
- 3 A. Yes, it does.
- Q. And if we could just -- thanks, Matthew.
- Now, the very first patent on that list is
- 6 patent number -- let me step back for a second. Excuse
- 7 me.
- Now, you testified yesterday that all of these
- 9 patents fall into one of three categories. Do you
- 10 recall that?
- 11 A. I believe I said at least one of three.
- 12 Q. And the three categories that you mentioned
- 13 were: one, restricted to a narrow, packetized bus;
- 14 two, outside the scope of JEDEC's 42.3 committee; or
- three, describing minor implementation details that
- 16 JEDEC did not consider.
- Do you recall that?
- 18 A. Yes.
- 19 Q. Now, the first patent on the list is patent
- 20 number 5,319,575 (sic). Do you see that?
- 21 A. Yes, I do.
- 22 Q. Now, that patent --
- 23 A. Can I make one clarification? The use of the
- word "consider" is probably too broad. I probably
- 25 should have said did not include in the standard.

1 Q. Now, that patent focuses on low-voltage swing

- 2 signaling; correct?
- 3 A. Just looking at the number, I don't know.
- Q. Well, perhaps your recollection would be
- 5 refreshed by looking at page 38 of your expert report.
- 6 A. Sure.
- 7 Q. If you'd look at the very last patent on
- 8 that -- well, excuse me. I got my patent numbers mixed
- 9 up, so I'm glad -- this has actually refreshed my
- 10 recollection. I don't mean to be focusing on the top
- 11 patent. I mean to be focusing on one further down,
- 12 5,473,575.
- 13 A. Okay.
- Q. And that one in fact focuses on low-voltage
- 15 swing signaling; correct?
- 16 A. I believe so.
- 17 O. Pardon me?
- 18 A. Yes.
- 19 O. Yes.
- 20 And if we look at the second patent on the
- 21 list, number 5,355,391, that patent focuses on current
- 22 mode drivers; correct?
- A. Yes. I believe so.
- Q. Now, yesterday you testified about Rambus'
- 25 '898 patent application. Do you recall that?

- 1 A. Yes.
- 2 Q. Let me hand you a copy.
- May I approach, Your Honor?
- 4 JUDGE McGUIRE: Yes.
- 5 BY MR. DETRE:
- 6 O. And that's CX-1451.
- 7 And if we could turn to page 16, please.
- And there's some discussion on that page I
- 9 believe you discussed with Mr. Oliver of access-time
- 10 registers. Do you recall that?
- 11 Are you on the right page, Professor Jacob?
- 12 A. This is 16, CX-1451-016?
- 13 Q. Yes.
- 14 And in the second paragraph it discusses
- access-time registers and again in the third
- 16 paragraph?
- 17 A. Okay. Yes. Access-time registers, yes.
- 18 Q. Now, if we look at the first part of the last
- 19 paragraph on that page, it begins, "Most of these
- 20 registers can be modified and preferably are set as
- 21 part of an initialization sequence that occurs when the
- 22 system is powered up or reset"; right?
- 23 A. Yes, I do see that.
- Q. And I believe yesterday you testified that in
- common use anyway, the mode register in SDRAMs is --

- 1 the programmable CAS latency in the mode registers in
- 2 SDRAMs is set at initialization; is that right?
- 3 A. Correct.
- Q. Now, if we look a little bit further down in
- 5 that paragraph, I believe it's the second to last
- 6 sentence, beginning, "Each slave" -- you can just blow
- 7 up the whole paragraph.
- The second to last sentence: "Each slave may
- 9 have one or several access-time registers"; right?
- 10 A. Yes, I see that.
- 11 Q. And "slave" in that sentence can refer to a
- 12 DRAM; is that right?
- 13 A. Yes.
- Q. Now, if we could turn ahead to page 148 of this
- document, figure 12 of the patent.
- 16 You testified about that yesterday; correct,
- 17 Professor Jacob?
- 18 A. Yes.
- 19 Q. And you testified I believe that figure 12 does
- 20 not show either a PLL or a DLL?
- 21 A. Yes.
- Q. But figure 12 does look like two DLLs coupled
- 23 together, doesn't it?
- A. It looks vaguely like two DLLs coupled
- 25 together, but it -- it isn't.

- 1 Q. It's got variable delay circuitry?
- 2 A. Absolutely.
- 3 Q. It's got a feedback loop?
- 4 A. It's got a feedback loop.
- 5 Q. It is true, however, that the '898 application
- 6 nowhere shows a PLL circuit with an oscillator; right?
- 7 A. Correct.
- 8 Q. You don't have any patents in your name, do
- 9 you, Professor Jacob?
- 10 A. No.
- 11 Q. Now, many JEDEC representatives have numerous
- 12 patents in their names; right?
- 13 A. I have no way of knowing.
- Q. Well, you spoke to Desi Rhoden in connection
- with your work on this case; right?
- 16 A. Yes, I did.
- Q. Did Mr. Rhoden tell you, as he testified here
- 18 at this trial, that he has 15 or 20 patents?
- 19 A. He did not tell me that.
- Q. You spoke to Mark Kellogg of IBM in connection
- 21 with your work on this case; is that right?
- 22 A. Yes, I did.
- Q. Did Mr. Kellogg tell you that he has over
- 24 35 patents?
- 25 A. No, he did not.

1 Q. You spoke to Kevin Ryan of Micron in connection

- with this case; is that right?
- 3 A. Yes, I did.
- 4 O. Did Mr. Ryan tell you that he has over
- 5 30 patents?
- A. No, he did not.
- 7 Q. Now, do you know whether Rambus has any patents
- 8 that cover the use of programmable CAS latency as it's
- 9 used in SDRAMs?
- MR. OLIVER: Objection, Your Honor. Beyond the
- scope of his report and beyond the scope of his
- 12 testimony.
- 13 JUDGE McGUIRE: Sustained.
- 14 BY MR. DETRE:
- 15 Q. You understand, Professor Jacob, that before a
- 16 patent claim is allowed to issue by the patent office,
- 17 the patent examiner reviews the claim in the
- application to determine whether the claim meets
- 19 certain criteria?
- 20 A. Yes.
- 21 O. One of those criteria is called the written
- 22 description requirement; is that right?
- MR. OLIVER: Objection, Your Honor. It goes
- 24 beyond the scope of the expertise of this witness.
- 25 JUDGE McGUIRE: I'll let him answer that if he

- 1 can.
- THE WITNESS: What is the question again?
- 3 BY MR. DETRE:
- 4 Q. Are you familiar with a requirement for patents
- 5 called the written description requirement?
- 6 A. I -- not by that name. That doesn't, you
- 7 know --
- 8 Q. Okay. Well, you understand that after a patent
- 9 application is filed, additional claims can then be
- 10 filed in what are sometimes called continuation
- 11 applications?
- 12 A. Yes.
- 13 Q. And that in order to be valid, those -- the
- patent application as originally filed must convey with
- 15 reasonable clarity to those of ordinary skill in the
- 16 art that the applicant was in possession of the
- invention being claimed as of the filing date of the
- 18 application?
- MR. OLIVER: Objection, Your Honor.
- 20 Requirements for validity of a patent are beyond this
- 21 witness' expertise.
- JUDGE McGUIRE: Sustained.
- BY MR. DETRE:
- Q. So, Professor Jacob, you're not offering any
- opinion as to whether Rambus has any patents that cover

- the SDRAM or DDR SDRAM JEDEC-compliant devices?
- 2 A. Well, as I've shown, I found patent claims that
- 3 were active or were being considered at the time of
- 4 Rambus' involvement in JEDEC and I've shown there are
- 5 claims that would cover SDRAM's programmable CAS
- 6 latency. Whether all of those claims or any of those
- 7 claims were ultimately granted I don't know offhand. I
- 8 don't know if that's what you're asking, but I've shown
- 9 that claims -- there existed claims at the time that
- 10 covered it.
- 11 Q. You don't know whether any of those claims
- 12 actually issued in patents?
- 13 A. I don't know off the top of my head.
- Q. Well, didn't you testify yesterday that claims
- of the '327 patent in your opinion would cover
- 16 DDR SDRAM devices?
- 17 A. Like I said, I don't know the numbers the way
- 18 you guys do. If you say "'327 patent," that doesn't
- 19 necessarily recall to me any particular.
- 20 Q. I think you've got it in front of you. It's
- 21 CX-1494.
- 22 A. Okay. CX-1494.
- Q. I believe you testified about claims 1 and 7.
- A. All right. Let's see.
- Okay. Yes, the dual-edged clocking patent.

- 1 Okay. Yes, I'm with you.
- 2 Q. And that was your testimony yesterday, that in
- 3 your opinion those claims read on DDR SDRAM devices?
- 4 A. Yes.
- 5 Q. And you know that these claims were duly issued
- 6 by the patent office; right?
- 7 A. I would assume so. This looks like an issued
- 8 patent.
- 9 Q. And you don't know what criteria the patent
- office applies when it chooses to issue claims, that's
- outside the scope of your expertise; is that right?
- 12 A. You mean, for example, I don't know how the
- patent office decides to issue claims on biomedical
- 14 stuff or -- is that what you mean?
- 15 Q. No. I mean you don't know --
- 16 A. Issue claims outside of my expertise?
- Q. No. I mean you don't know that when the patent
- 18 office decides to issue a claim, it checks whether the
- 19 patent application as originally filed conveys to
- 20 persons of reasonable skill -- of ordinary skill in the
- 21 art that the applicant was in possession of the
- invention claimed as of the date of the filing of the
- 23 application.
- 24 A. Are you asking me --
- 25 Q. I'm asking whether you know that the patent --

- 1 A. -- how I interpret patent claims as an
- 2 engineer or are you -- and how that would be different
- 3 from the way a patent examiner would interpret the
- 4 claims or...
- 5 Q. No. I'm asking you whether you know, one way
- or the other, that before a patent examiner will allow
- 7 a claim, he checks to see whether the application as
- 8 originally filed would convey with reasonable clarity
- 9 to a person of ordinary skill in the art that the
- 10 applicant was in possession of the invention being
- 11 claimed when he filed his original patent application.
- 12 A. And I'm still lost on the question. I think
- what you're saying is do I know what patent
- 14 examiners -- do I know how patent examiners go about
- 15 their job, and since I'm not a patent examiner, I don't
- 16 know exactly what they do, but I can tell you what
- 17 standards I used to interpret these claims.
- JUDGE McGUIRE: Well, that's not the question,
- 19 so...
- BY MR. DETRE:
- 21 Q. Okay. Well, let me ask that question.
- 22 Did you, in reviewing these claims of the
- '327 patent, determine whether the patent application
- 24 as originally filed -- that's the '898 application --
- conveyed with reasonable clarity to those of ordinary

- skill in the art that the applicant was in possession
- of the invention being claimed as of the filing of
- 3 that --
- A. Oh, I think I see what you're saying. Okay.
- 5 No. My understanding is that you -- that it's -- you
- 6 look at the specification that accompanies the claims.
- 7 Q. Did you check to see whether the specification
- 8 of the '327 patent was in all material respects
- 9 identical to the '898 application?
- 10 A. I did not do a line-by-line verification that
- 11 there's no typographical differences or omissions, but
- 12 I did scan through it to make sure that it's similar,
- 13 yes. And that's my understanding, that these are
- 14 similar.
- 15 Q. Okay. So did you, in reviewing the claims of
- 16 the '327 patent, determine whether the specification of
- 17 the '327 patent conveyed with reasonable clarity to
- those of ordinary skill in the art that the applicant
- 19 was in possession of the invention being claimed?
- 20 A. Is there any way you can restate that? You're
- 21 using really torturous English.
- JUDGE McGUIRE: I'm having a little trouble
- 23 understanding it myself.
- THE WITNESS: Good. I thought maybe I'm an
- 25 imbecile over here. But no, I'm really not following

- 1 that.
- 2 BY MR. DETRE:
- 3 Q. Okay. Did you check to see whether there was
- 4 sufficient support in the specification of the
- 5 '327 patent so that one of ordinary skill in the art
- 6 would understand that Rambus could claim the inventions
- 7 that it actually is claiming in the claims?
- 8 A. Oh, did I do a validity check on the claim?
- 9 Q. Well, that's one aspect of patent validity, I
- 10 agree with you.
- 11 A. I did not try to do a validity check on this
- 12 patent. I just read the claims as an engineer versed
- in the art would interpret it, using common, normal
- meanings of the terms.
- 15 Q. Well, you testified that the claims of the
- 16 '327 patent covered dual-edged clocking; right?
- 17 A. Yes.
- Q. And you also testified that one of -- you
- 19 testified that it covered dual-edged clocking as used
- in DDR SDRAMs; right?
- 21 A. Yes, I did.
- 22 Q. And you also testified that one of ordinary
- 23 skill in the art looking at the specification of the
- '327 patent, substantially similar to the
- '898 application, wouldn't see dual-edged clocking?

- 1 A. Would not see.
- 2 Q. Would not see dual-edged clocking as used in
- 3 DDR SDRAMs in there, didn't you?
- A. Oh, I see what you're -- what I said was that
- 5 the implementation -- okay. Okay.
- 6 What I was talking about at the time was would
- 7 an engineer reading the '898 application, not the
- 8 '327 patent but the '898 application, an engineer
- 9 reading the '898 application, would they have thought
- 10 that this implementation of dual-edged clocking was the
- 11 same as JEDEC's implementation and I showed how the
- 12 implementations were different.
- 13 Q. Okay. And so you think that an engineer of
- ordinary skill in the art reading the '898 application
- 15 would not see in there support for claims covering
- 16 dual-edged clocking as used in DDR SDRAMs; is that
- 17 right?
- 18 MR. OLIVER: Objection, Your Honor. It
- 19 mischaracterizes the testimony.
- JUDGE McGUIRE: Sustained.
- BY MR. DETRE:
- 22 Q. Do you know whether an engineer reading the
- '898 application would see in the '898 application
- 24 support for claims to dual-edged clocking as used in
- 25 DDR SDRAMs?

- 1 A. What I've shown is that an engineer in the
- 2 early to mid-'90s reading the specification in the
- 3 '898 application would have seen that this is a
- 4 different implementation of dual-edged clocking than
- 5 what was considered in JEDEC.
- 6 Q. And you don't know what an engineer would have
- 7 realized about the breadth of claims that Rambus might
- 8 be able to obtain based on that?
- 9 A. I mean, they could have -- I still don't quite
- 10 get what you're getting at.
- 11 Q. Well, you've now said that all you testified
- 12 about yesterday was the differences between Rambus'
- implementation in the '898 application of dual-edged
- 14 clocking and the way it's implemented in DDR SDRAMs;
- 15 right?
- MR. OLIVER: Objection, Your Honor.
- 17 Mischaracterizes the testimony.
- 18 MR. DETRE: Sustained.
- 19 BY MR. DETRE:
- 20 Q. You testified yesterday you discussed the
- 21 differences between the implementation of dual-edged
- 22 clocking as used in the '898 application and as used in
- DDR SDRAMs; right?
- 24 A. What I showed was that -- or the question that
- I was asked to answer was would an engineer reading the

- 1 '898 application have suspected that Rambus would be
- 2 able to claim intellectual property over the work that
- 3 was going on within JEDEC, and what I showed was that
- 4 an engineer would have read the application and seen
- 5 that it was a different implementation of dual-edged
- 6 clocking, and so an engineer would not have suspected
- 7 that.
- Now, that's, you know -- I answered the
- 9 question I was asked to answer.
- 10 Q. So an engineer looking at the specification in
- 11 the '327 patent would also have seen that it's a
- 12 different implementation of dual-edged clocking than
- what's in DDR SDRAMs; right?
- 14 A. Very possibly.
- 15 Q. But in your opinion, the claims of the
- 16 '327 patent do read on DDR SDRAMs; right?
- 17 A. Yes.
- 18 Q. Now, in connection with your testimony -- well,
- 19 yesterday you testified that in your opinion no
- 20 reasonable engineer would have understood from looking
- 21 at Rambus' original '898 application that Rambus might
- 22 someday have patents covering various features in JEDEC
- 23 standard devices; right?
- A. That sounds familiar.
- Q. In reaching that opinion, did you look at any

- 1 of the evidence about what technical information was
- 2 provided by Rambus to various DRAM companies in the
- 3 early 1990s?
- A. I don't believe so. That was largely centered
- 5 on the '898 application.
- Q. Do you know whether or not any engineers ever
- 7 reviewed Rambus' '898 application in the early 1990s?
- A. I believe it was made available to JEDEC,
- 9 either in its application form or as the specifications
- of patents.
- 11 Q. Did anyone ask you to give an opinion about
- whether a reasonable engineer would have understood
- from looking at Rambus' international patent
- 14 application whether Rambus might someday have patents
- 15 covering features in various JEDEC standard devices?
- 16 A. No. I was -- I've been -- it's my
- 17 understanding that it is substantially the same as the
- 18 '898 application.
- 19 Q. Now, before you gave your opinion about what
- 20 reasonable engineers would or would not understand, did
- 21 you make any effort to learn whether there was any
- 22 evidence that what engineers actually did understand in
- that time frame about Rambus' patent application?
- A. Such as?
- Q. Anything -- any documents?

1 A. Well, I read numerous articles from the time

- 2 period, you know, trade publications, things in
- 3 EETimes, and so forth.
- 4 Q. Well, let me show you some documents and ask
- 5 you whether you considered them.
- 6 May I approach, Your Honor?
- 7 JUDGE McGUIRE: Yes.
- BY MR. DETRE:
- 9 Q. RX-286-A.
- Now, if we blow up the top portion of this with
- 11 the names, this is identified as being from W. Meyer of
- 12 Infineon, employee, and this is about a telephone
- 13 conference, participants Gordon Kelley IBM, Dr. Peisl
- 14 and Mr. Meyer.
- 15 Dr. Peisl was one of the people you spoke to;
- 16 correct, Professor Jacob?
- 17 A. Yes.
- 18 Q. And if we turn to the second page, there's a
- 19 paragraph the second from the bottom headed Rambus.
- 20 Do you see that?
- 21 A. Yes, I do.
- 22 Q. And the second sentence there states, "Rambus
- 23 has announced a claim against Samsung" -- well,
- 24 actually, excuse me. Let me just go back to the first
- 25 page for one second. Sorry.

1 And if we go up to the very top, you see the

- 2 date 4-30-92.
- 3 Do you see that, Professor Jacob?
- 4 A. Yes, I do.
- 5 Q. Okay. And now, if we can go back to that
- 6 paragraph on Rambus, "Rambus has announced a claim
- 7 against Samsung for USD 10 million due to the
- 8 similarity of the SDRAM with the Rambus storage device
- 9 architecture."
- 10 Do you see that?
- 11 A. Yes, I do.
- 12 Q. Did you consider this information in
- 13 Exhibit RX-286-A in forming your opinion about the
- state of mind of a reasonable engineer in the early
- 15 1990s?
- 16 A. No, I did not.
- Q. Well, let me show you another document.
- May I approach, Your Honor?
- 19 JUDGE McGUIRE: Yes.
- BY MR. DETRE:
- 21 Q. RX-290.
- 22 And these are some notes taken by Mark Kellogg
- 23 at the JEDEC JC-42.3 meeting in New Orleans, May 7,
- 24 1992, it says at the very top.
- Do you see that heading?

- 1 A. Yes, I do.
- Q. And you spoke to Mr. Kellogg; right, in
- 3 connection with this case?
- 4 A. I believe so.
- 5 Q. Now, if you could turn to page 3 of that
- document, there's a heading Siemens, the fourth one
- 7 down.
- 8 Do you see that?
- 9 A. Yes.
- 10 Q. It says: "Kernel of chip. Similar to Rambus."
- 11 Do you see that?
- 12 A. Yes, I do.
- Q. Were you here for Mr. Kellogg's testimony last
- 14 Friday?
- 15 A. No, I was not.
- Q. Are you aware that he testified that this is a
- 17 reference to the similarity in the fundamental
- 18 architecture of the SDRAM and a Rambus device?
- 19 A. No.
- 20 Q. And then after that it says "Patent concerns?"
- 21 Do you see that?
- 22 A. Yes, I do.
- Q. Now, you didn't consider this document either
- in coming up with your opinion about reasonable
- engineers; is that right?

- 1 A. No.
- Q. Now, in talking to Mr. Kellogg, did you find
- 3 him to be a reasonable engineer?
- 4 A. Yes. Yes, I did.
- 5 Q. Let's look a little bit --
- 6 MR. PERRY: Pardon me, Your Honor.
- 7 (Pause in the proceedings.)
- BY MR. DETRE:
- 9 Q. Let me just clarify one point. Mr. Perry has
- 10 pointed out that due to my inartful phrasing of a
- 11 question, a double negative, an answer may not have
- 12 been clear.
- 13 A. Oh.
- 14 O. Did you consider this document RX-290 in
- forming your opinions in this case about what a
- reasonable engineer would have understood?
- 17 A. I don't think so.
- 18 Q. Let's go back to 290, page 3.
- 19 If we could look a couple of lines below the
- 20 Siemens reference, there is a reference headed NEC.
- 21 Do you see that?
- 22 A. Yes.
- Q. And it says "Rambus international patent
- 24 150 pages."
- Do you see that?

- 1 A. Yes.
- Q. And then it says, a sentence later, "Suspect
- 3 claims won't hold."
- 4 Do you see that?
- 5 A. Yes, I do.
- 6 Q. And you said your understanding was that the
- 7 international application was similar or identical in
- 8 material respects to the '898 application; is that
- 9 right?
- 10 A. Yes. That's what's been related to me.
- 11 O. Now, those notes taken from what the NEC
- 12 representative said, they don't say that the
- application is irrelevant, do they?
- 14 MR. OLIVER: Objection, Your Honor. I believe
- 15 the witness has already said he did not believe he
- 16 considered this document in forming his opinion.
- 17 MR. DETRE: Sustained.
- 18 BY MR. DETRE:
- 19 Q. Have you spoken to Howard Sussman, who was the
- NEC representative at that time in 1992?
- 21 A. Well, I don't know that he was the NEC
- 22 representative, but I have spoken to Howard Sussman,
- 23 yes.
- Q. In connection with this case; right?
- 25 A. In connection with this case.

- 1 Q. And did he tell you that he had looked at
- 2 Rambus' international patent application?
- 3 A. Not specifically.
- 4 Q. Now, did you do anything to discover whether
- 5 after JEDEC -- after Rambus' patent application was
- 6 discussed at a JEDEC meeting, whether any JEDEC members
- 7 actually got ahold of it and studied it?
- 8 A. Okay. That's a really complex question.
- 9 Q. Did you do anything to learn whether any JEDEC
- 10 members actually studied Rambus' international patent
- 11 application?
- 12 A. Well -- no. I did not ask them specifically if
- they read the international patent application.
- 14 Q. Let me show you another document.
- May I approach, Your Honor?
- JUDGE McGUIRE: You may.
- 17 BY MR. DETRE:
- 18 O. RX-406.
- 19 I won't ask you to read the front page of that,
- 20 Professor Jacob, but there is a translation.
- 21 A. Thank you very much.
- 22 Q. And if you would turn to page 4 of this
- 23 document.
- And if we could blow up the bottom, there are
- 25 numbered paragraphs, number 3. And actually -- no.

- 1 That's fine.
- Do you see, Professor Jacob, that this document
- 3 is dated June 10, 1993 at the top?
- 4 A. Yes, I see that.
- 5 Q. And I'll indicate to you that this is a
- 6 document produced by Mitsubishi.
- 7 MR. OLIVER: Excuse me, Your Honor. Before we
- 8 continue, could we have a foundation to see if this
- 9 witness has considered this document?
- 10 JUDGE McGUIRE: Mr. Detre?
- 11 MR. DETRE: Well, I'd like to focus him on a
- 12 particular part of the document and ask him if he's
- 13 considered that.
- JUDGE McGUIRE: Okay. You may ask that.
- 15 BY MR. DETRE:
- 16 Q. If we could look down at the bottom of this
- document, it states, "The individual technologies that
- appear in the Rambus patent will be used independently
- in the future."
- 20 Do you see that part down at the bottom?
- 21 A. Yes, I see that.
- 22 Q. "There is a need to examine the specifications
- of the patent claims to determine whether individual
- technologies used independently will infringe on the
- 25 Rambus patent, and for that we will have to obtain the

- views and interpretations of experts."
- 2 And then a little bit below that in
- 3 paragraph 4: "What are the measures for dealing with
- 4 this? The buses of the future will not be limited to
- 5 the Rambus, but we will probably be able to use
- 6 technological elements that are partially similar to
- 7 Rambus as described in 3."
- B Did you consider that, Professor Jacob, when
- 9 you formulated your opinion about what reasonable
- 10 engineers would have understood in looking at Rambus'
- 11 patent application?
- 12 A. No, I did not.
- Q. Let me show you one more document, RX-2213-A.
- 14 And if I may approach, Your Honor?
- 15 JUDGE McGUIRE: Yes.
- 16 MR. DETRE: And just as a question of timing,
- 17 Your Honor, I only have about five more minutes on this
- line of questioning and then I'll be done.
- 19 JUDGE McGUIRE: All right. Very good.
- BY MR. DETRE:
- 21 O. And this is another Mitsubishi document.
- 22 If you could turn to the fifth page, Bates
- 23 number MEC 001424 at the bottom.
- 24 Have you got that page? And I'm not going to
- ask you to read that page either, but there are parts

- 1 of it you can read.
- Do you see it says "Rambus" at the top?
- 3 A. Yes.
- 4 Q. And I'll point you to a translated page
- 5 shortly, but I just want to see if you see "Rambus" at
- 6 the top.
- 7 A. Yes, I see "Rambus" at the top.
- 8 Q. Then in the box below that it says "103."
- 9 Do you see that? Near the top?
- 10 MR. OLIVER: Objection, Your Honor. Could we
- 11 establish a foundation and see if this witness has ever
- seen this document before?
- JUDGE McGUIRE: It would be a lot easier,
- Mr. Detre, if you would just ask that question first
- and then we could perhaps save some time if he hasn't
- 16 seen it.
- 17 MR. DETRE: Okay. Your Honor, if I could just
- 18 point him perhaps to the last translated page, because
- 19 perhaps he would not recognize the Japanese.
- JUDGE McGUIRE: Okay. Well, I'll let you do
- 21 that.
- BY MR. DETRE:
- Q. If we could go to the very last page of this
- document, and just looking about halfway down the page
- 25 where it says -- I'm just going to read one line,

- 1 Your Honor, and ask him if that refreshes his
- 2 recollection of this document.
- JUDGE McGUIRE: Go ahead.
- 4 BY MR. DETRE:
- 5 Q. Where it says "103 similar to SDRAM latency
- 6 control," do you see that?
- 7 A. Yes, I do.
- Q. Do you recall considering this document when
- 9 determining what a reasonable engineer would have
- 10 understood, Professor Jacob?
- 11 A. No.
- 12 Q. Now, one of the features that you spent a long
- time on yesterday was programmable latency; right?
- 14 A. Yes.
- 15 Q. Now, did you ever see any evidence in
- 16 connection with your work on this case that reasonable
- engineers thought that the use of programmable latency
- in a DRAM was something new and different?
- 19 A. Did I see evidence during the course of my
- 20 evaluation that engineers thought it would -- is that
- 21 what you're saying?
- 22 Q. Yeah, whether engineers thought that using
- 23 programmable latency in a DRAM was a really novel
- 24 inventive feature.
- 25 A. No. I didn't see anything that specifically

1 said, hey, latency is a novel, you know, hey, this is a

- 2 great new idea.
- 3 Q. Let me just show you --
- 4 A. Not that I recall at least.
- 5 O. -- show you a document and see if it refreshes
- 6 your recollection about whether you saw anything like
- 7 that, RX-199.
- 8 May I approach, Your Honor?
- 9 JUDGE McGUIRE: Go ahead.
- 10 BY MR. DETRE:
- 11 Q. This is a fax. It's dated December 10, 1991,
- 12 from Jim Townsend.
- And if I could just turn right away to page 2
- and just point you to one sentence and ask if it
- 15 refreshes your recollection about whether you saw this
- 16 document.
- 17 It says at the very top of page 2, "Kalter of
- 18 IBM said programmable latency was the cleverest item
- 19 Toshiba ever created."
- Do you see that?
- 21 A. Yes.
- 22 Q. Does that refresh your recollection about
- 23 whether you actually saw this document or any other
- about the inventiveness of programmable latency?
- 25 A. I've seen that sentence. I don't recall if I

- 1 read it from this document or if I read it in one of
- 2 the trial transcripts or deposition transcripts, but
- 3 that, that sentence certainly looks familiar.
- 4 Q. Oh, you saw that one?
- Now, as we discussed earlier today, it's your
- 6 opinion that a reasonable engineer would have
- 7 understood from looking at the '327 patent's claims
- 8 that it covered the use of dual-edged clocking in
- 9 DDR SDRAMs; right?
- 10 A. Yes.
- 11 Q. Let me show you a document, RX-1214.
- May I approach, Your Honor?
- 13 JUDGE McGUIRE: Yes.
- 14 BY MR. DETRE:
- Q. This is apparently an e-mail from Mr. H.J. Oh
- of Hyundai to Farhad Tabrizi and various other people,
- 17 with a list of Rambus patents, and if you look at
- 18 number 22 down on the list -- excuse me -- 23, you see
- 19 the '327 patent; right?
- 20 A. I see something that ends "327." Is that the
- 21 same number? Is that the same '327 patent?
- Q. I'll represent to you that it is.
- 23 A. Okay.
- Q. And this e-mail is dated July 13, 1998;
- 25 correct?

- 1 A. Yes.
- 2 Q. Now, did you interview any of the engineers
- 3 from Micron, Hynix, Texas Instruments, IBM, Siemens,
- 4 Mitsubishi, Compaq or VLSI who got this e-mail?
- 5 A. Yes, I did.
- Q. You spoke to Mr. Rhoden, for example; right?
- 7 A. Yes, I did.
- 8 Q. He got this e-mail?
- 9 A. Well, according to the cc list, yes, he did.
- 10 Q. And you spoke to Terry Lee at Micron, for
- 11 example?
- 12 A. Yes.
- 13 O. He's on this e-mail?
- 14 A. I spoke to him. I'm looking for his name.
- 15 Yes, T. Lee.
- 16 MR. OLIVER: Objection, Your Honor. To the
- 17 extent this line of questioning --
- JUDGE McGUIRE: Okay. Mr. Detre, it would just
- 19 save an awful lot of time if you could ask him that
- 20 right off the bat and then actually we can save some
- 21 time if he hasn't seen it. Now, that's an easier
- 22 protocol for the court also to follow when it goes back
- 23 through the transcript.
- MR. DETRE: I'm actually going to ask him a
- 25 hypothetical question based on this document.

- 1 BY MR. DETRE:
- Q. Now, if these gentlemen who you spoke to and
- 3 other gentlemen on the list were reasonable engineers,
- 4 they would have known from looking at the '327 patent
- 5 that it covered the DDR SDRAM device they were working
- 6 on; right?
- 7 A. Yes.
- Q. And you know that that device wasn't actually
- 9 finally standardized until one year later in
- 10 August 1999; right?
- 11 A. I believe that was when the final standard
- 12 appeared, yes.
- Q. Did you ask any of the engineers who received
- this e-mail, RX-1214, whether they had voted to
- 15 standardize the DDR device after knowing that the
- 16 '327 patent covered it?
- 17 A. No, I did not ask them that question.
- 18 MR. DETRE: I have no further questions.
- JUDGE McGUIRE: Okay. Thank you, Mr. Detre.
- 20 It's ten after twelve. Why don't we take a
- 21 break and convene again after lunch at 1:30.
- MR. PERRY: Your Honor, it's up to you
- obviously. If Mr. Oliver has only a few seconds here,
- then some of our team can leave.
- JUDGE McGUIRE: Oh. Well, sure.

1 Mr. Oliver, how much time do you anticipate to

- 2 spend on redirect?
- 3 MR. OLIVER: I don't think I will spend much
- 4 time. I need a couple of minutes to consult with --
- 5 JUDGE McGUIRE: That's fine. Let's go off the
- 6 record and then let's go ahead and conclude on this
- 7 witness. Right? Is that what we're talking about?
- 8 MR. PERRY: I appreciate that.
- 9 JUDGE McGUIRE: That's the idea; right?
- MR. PERRY: Yes.
- JUDGE McGUIRE: Okay. Very good.
- 12 (Discussion off the record.)
- JUDGE McGUIRE: Mr. Oliver, you may proceed on
- 14 redirect.
- MR. OLIVER: Thank you, Your Honor.
- 16 REDIRECT EXAMINATION
- 17 BY MR. OLIVER:
- 18 Q. Professor Jacob, if I could ask you to locate
- 19 RX-2111 in front of you, please. This is the
- 20 United States Court of Appeals decision that Mr. Detre
- 21 showed you earlier today.
- 22 A. Yes, I have it.
- Q. And if I could ask you to turn, please, to
- 24 page 34.
- 25 And then toward the bottom of the page is a

1 sentence that Mr. Detre asked you about: "Similarly,

- 2 claims in the '961 application were limited to the
- 3 device identifier feature."
- Do you recall Mr. Detre asking you some
- 5 questions about that?
- 6 A. Yes, I do.
- 7 Q. If I could then ask you to locate CX-1504 in
- 8 front of you, please, one of the thick documents.
- 9 A. 1504. I have it.
- 10 Q. And Mr. Detre then asked you some questions
- about the amendment appearing at page 216 within
- 12 CX-1504.
- 13 A. Yes. I'm there.
- 14 Q. And again, he pointed out the reference to the
- 15 '961 application in the upper left-hand corner there
- and then asked you some questions about the claims, and
- 17 you said that you recall that, if I recall your
- 18 testimony correctly, that certain of chose claims did
- 19 contain references to the Rambus device identifier
- 20 feature?
- 21 A. Yes.
- Q. Do you recall that testimony?
- 23 A. Yes, I do.
- Q. If I could ask you to turn, please, to
- 25 page 219.

1 And if I could ask you to look specifically at

- 2 claim 153 that appears in the bottom of that page and
- 3 carries over to the top of page 220, and I'd like to
- 4 ask you what, if any, language in claim 153 pertains to
- 5 the Rambus device identifier feature.
- 6 A. For example, the phrase "wherein each
- 7 semiconductor device is assigned an identification
- 8 number based upon its position in the bus."
- 9 Q. And if you look above that, claim 152, is there
- 10 similar language in that claim?
- 11 A. Yes, there is. The phrase "wherein the
- 12 register is an identification register operative to
- 13 store an identification number for the semiconductor
- 14 device."
- 15 Q. And does that correspond to the device
- identifier feature contained in the '898 application?
- 17 A. Yes. Absolutely.
- 18 Q. And if I could then direct your attention to
- 19 claim number 160, it appears at the bottom of page 221,
- $20 \quad CX-1504.$
- 21 A. Yes. I'm there.
- 22 Q. And does -- is this one of the claims you
- 23 analyzed yesterday? Do you recall that?
- 24 A. Yes.
- Q. Does that claim contain any similar language?

- 1 A. No, it does not.
- Q. If I could direct your attention to claim 151
- 3 appearing on page 218, carries over to the top of
- 4 page 219.
- 5 A. I'm there.
- 6 Q. Does that claim contain any language
- 7 referencing the Rambus device identifier?
- 8 A. No, it does not.
- 9 Q. If I could ask you to look, please, at claim
- 10 number 164 that appears on page 223.
- 11 A. All right.
- 12 Q. I apologize. I'm getting a little bit -- I'm
- 13 getting ahead of my assistant at the computer.
- Claim 164, is there any language in that claim
- 15 that identifies the Rambus device identifier feature?
- 16 A. No, there is not.
- Q. And likewise, claim 165 appearing at the same
- 18 page?
- 19 A. No, there is no such language.
- Q. Again, just to be certain the record is clear
- 21 on that, is there any language in claim 165 that
- relates to the Rambus device identifier feature?
- 23 A. No. It does not explicitly identify a device
- 24 identification feature. This is claim 165; correct?
- 25 Q. Yes.

- 1 A. Claim 166 does, but claim 165 does not.
- Q. And again, claim 166, what language are you
- 3 referring to?
- 4 A. The phrase "wherein the value specifies an
- 5 identification number that uniquely identifies the
- 6 semiconductor device."
- 7 Q. Claim 166 is not one of the claims that you
- 8 testified about yesterday, is it?
- 9 A. No. No, it isn't. I was just making sure that
- 10 I was reading the right claim.
- MR. OLIVER: Nothing further, Your Honor.
- 12 JUDGE McGUIRE: Thank you, Mr. Oliver.
- Any further recross, Mr. Detre?
- MR. DETRE: No, Your Honor.
- 15 JUDGE McGUIRE: Okay. Thank you for your
- 16 testimony, professor. You're excused from this
- 17 proceeding.
- 18 THE WITNESS: Thank you, Your Honor.
- JUDGE McGUIRE: Mr. Perry?
- 20 MR. PERRY: Yes, Your Honor. You asked me to
- 21 get back to you on the Micron request for in camera
- treatment of the documents that supported the
- demonstratives, and Rambus does not oppose that
- 24 request.
- JUDGE McGUIRE: Thank you very much.

1		Counse	l, it	's a	about	twenty	afte	er tu	welve.	We'll
2	reconve	ne at t	wenty	unt	til tw	0.				
3		Hearin	g in 1	rece	ess.					
4		(Where	upon,	at	12:16	p.m.,	a lı	ınch	recess	was
5	taken.)									
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- 2 (1:40 p.m.)
- JUDGE McGUIRE: This hearing is now in order.
- 4 Complaint counsel may call its next witness.
- 5 MR. OLIVER: Your Honor, because we were not
- 6 certain about the length of Professor Jacob's
- 7 testimony, we don't have any other live witnesses.
- 8 We're going to proceed with the deposition of
- 9 Mr. Joel Karp this afternoon.
- JUDGE McGUIRE: Okay.
- MR. OLIVER: Before we do that, I did promise
- 12 you a courtesy copy of the demonstratives we used with
- 13 Professor Jacob.
- 14 JUDGE McGUIRE: Oh, yes.
- MR. OLIVER: May I approach, Your Honor?
- 16 JUDGE McGUIRE: Yes. And I quess your side
- 17 already has a copy?
- 18 MR. STONE: Yes. He already provided them to
- 19 us, Your Honor.
- JUDGE McGUIRE: Okay. Thank you.
- 21 MR. WEBER: Good afternoon, Your Honor.
- JUDGE McGUIRE: Good afternoon.
- MR. WEBER: Let me hand up the hard copy of the
- video that we're looking at and I'll also hand up the
- 25 FTC deposition which, when we finish the Infineon

- deposition, we'll start with that one.
- JUDGE McGUIRE: Okay.
- Mr. Stone, where are all your colleagues? They
- 4 must have found better things to do today.
- 5 MR. STONE: I think the excitement of the
- 6 morning got to them, Your Honor.
- 7 MR. WEBER: Your Honor, we stopped at page 90 I
- 8 believe, was where we got to.
- 9 JUDGE McGUIRE: Now, which one are we on?
- MR. WEBER: We are on the Infineon one, which
- 11 would be the January 9.
- MR. STONE: And this one page, the one reason
- 13 we stopped here, Your Honor, is because this one page
- is impacted I think by the order you issued this
- morning, and my view is that this one page is an
- 16 effort to question about the brief without any showing
- that he had seen the brief before. I think your
- 18 ruling this morning stands for the proposition that
- 19 the question on page 90 should not be part of the
- 20 record.
- JUDGE McGUIRE: Well, like I said this morning,
- 22 unless complaint counsel is able to lay an adequate
- foundation that Karp was involved in that brief being
- 24 prepared, then it's hearsay and I'm not going to
- entertain any inquiries from that deposition.

1 MR. WEBER: Okay. The question and answer on

- 2 page 90 starting at line 13 deals with whether or not
- 3 Mr. Karp agrees with the statement about whether it's
- 4 a proper definition of an open standard, and I don't
- 5 think he actually needed the brief to ask the
- 6 question.
- 7 So it's based on Mr. Karp's experience and it's
- 8 not hearsay because Your Honor has already ruled that
- 9 this deposition is a party admission.
- JUDGE McGUIRE: How does it pertain then to the
- 11 brief, Mr. Stone?
- MR. STONE: Well, Your Honor, my view is, if
- you wouldn't mind looking at page 90 --
- JUDGE McGUIRE: Yes. Which page is that?
- MR. STONE: Page 90.
- 16 MR. WEBER: Page 90, the question is -- the
- portion is lines 3 through 21.
- JUDGE McGUIRE: Okay.
- 19 Well, it does refer to the brief. On the other
- 20 hand, it's an open question that I don't think has any
- 21 pertinence to the brief itself, so on that basis I'm
- 22 going to overrule the objection.
- MR. WEBER: Okay. So if we could play that
- 24 clip, please, which would be clip number 41.
- This is again page 90, lines 3 through 21.

1 (Whereupon, the videotape was played for the

- 2 record in open court.)
- 3 MR. WEBER: The next excerpt relates to his ITC
- 4 declaration which I think Your Honor has just ruled on,
- 5 and this would be page 100 at line 9 and the clip
- 6 continues to page 102, line 21, so if we could play
- 7 that, please.
- 8 (Whereupon, the videotape was played for the
- 9 record in open court.)
- MR. WEBER: Next we're going to do two short
- 11 excerpts together. This would be page 104, lines 6
- through 16 and then page 104, line 25 continuing to
- 13 page 107, line 9.
- 14 (Whereupon, the videotape was played for the
- 15 record in open court.)
- 16 MR. STONE: Your Honor, if I can interrupt for
- 17 a moment.
- JUDGE McGUIRE: Cut the tape.
- 19 MR. STONE: Your Honor, again, this is just an
- 20 effort to read the brief for the truth of what's in the
- 21 brief. It doesn't refresh his recollection. There's
- 22 no showing it refreshes his recollection.
- So I think this is just an effort to get the
- 24 contents of a brief he hadn't seen before into the
- 25 record through a question.

- JUDGE McGUIRE: Mr. Weber?
- 2 MR. WEBER: Your Honor, I think he was shown
- 3 the brief to -- as either possible impeachment or
- 4 refreshing recollection over what is meant by the
- 5 phrase "the intellectual property right." That is the
- 6 question that was being asked and I think he was shown
- 7 the brief to --
- 8 MR. STONE: And if there were a subsequent
- 9 question of does that refresh your recollection,
- 10 what's your recollection now, that would be fine,
- 11 but --
- 12 JUDGE McGUIRE: I sustain the objection.
- MR. WEBER: Okay. We can move on to the next
- series of clips then. We're going to run a series of
- 15 short clips.
- 16 JUDGE McGUIRE: Keep in mind now what I've
- 17 ruled on this ITC brief is that essentially it's
- hearsay, so if there's -- hopefully you get some idea
- 19 where I'm going on this through this order, so I don't
- 20 know how much more you intend to offer on the brief,
- 21 but it's going to continue in this vein unless the
- 22 inquiry does not pertain to the brief, and if it did --
- if it does not, then it wouldn't be at issue.
- MR. WEBER: I don't see any more questions
- coming up on the brief.

- 1 JUDGE McGUIRE: All right.
- MR. WEBER: We're going to be at page 113,
- 3 line 20 through page 114, line 3; followed by a
- 4 counter-designation at page 114, line 22 through
- 5 page 115, line 2; and then page 115, line 7 through
- 6 line 21; followed by a question at page 115, line 24
- 7 and an answer at page 116, line 1 through 116, line 9.
- 8 So if we can play that, please.
- 9 (Whereupon, the videotape was played for the
- 10 record in open court.)
- MR. WEBER: Next we have a series of excerpts
- 12 along this same line of questioning, starting at
- page 118, line 7 through page 119, line 13 and
- 14 continuing page 119, line 15 through page 120 at
- 15 line 3.
- And also page 120, line 23 through page 121,
- 17 line 5, continuing at page 121, line 15 through
- page 123, line 3, and the final clip in this series is
- 19 page 127, line 9 through page 129, line 6.
- 20 And this includes portions that have been
- 21 designated by both sides.
- JUDGE McGUIRE: Okay.
- 23 (Whereupon, the videotape was played for the
- record in open court.)
- MR. WEBER: Next we're going to move on to a

- 1 different subject matter from the same transcript.
- 2 It's page 131, line 14 through page 133, line 16.
- And then we're going to continue on with the
- 4 next two clips, which are page 139, line 21 through
- 5 page 141, line 12 and page 142, line 8 through
- 6 page 143, line 9.
- 7 MR. STONE: Your Honor, I have no objection to
- 8 the substance of the testimony. This goes into how
- 9 much stock Mr. Karp had at the time in Rambus. I
- 10 wonder if that's the kind of information that is not
- 11 entitled to in camera protection. With respect to
- 12 documents in the case, we have kept that kind of
- 13 information confidential.
- I know different judges have had different
- 15 views. I don't know if it's come up in this case.
- 16 JUDGE McGUIRE: I have no problem with that. I
- think if that's going to be an area of his personal
- 18 concern, then perhaps it should be in camera.
- And I assume this isn't going to take very
- 20 long; right?
- 21 MR. WEBER: No. We may have an in camera issue
- 22 later with respect to some Rambus information.
- MR. STONE: We do.
- JUDGE McGUIRE: Can we go in camera and do both
- of them at once?

- 1 MR. STONE: I should have raised it with
- 2 Mr. Weber in advance, and I apologize for not doing so,
- 3 about whether we can do them all at once. Maybe they
- 4 could submit them in writing. They may want you to
- 5 hear it, and if they do, maybe we can do it at all
- 6 once.
- 7 JUDGE McGUIRE: Can we edit the tape as such?
- 8 Let me ask you this. How long will it take to
- 9 do everything you just indicated if it's all in
- 10 in camera?
- 11 MR. STONE: Three minutes.
- MR. WEBER: The other part is a little bit
- later on, so we'll need to find it. It might be easier
- 14 to defer this one until we get to the other one and
- 15 come back to it.
- JUDGE McGUIRE: I'm not talking about editing
- 17 the tape. Whatever we can do with the tape that's
- 18 convenient is fine with me, but I want to do all the
- in camera stuff at once.
- 20 So however is -- I'll leave that up to
- 21 complaint counsel.
- MR. WEBER: Okay. What we can do -- is this
- the next two clips, Greg?
- It's going to affect these two clips and then
- we'll have to maybe come back and play 141 -- I think

1 what we're going to have to do is probably stay in the

- 2 public session until we hit the next in camera thing
- 3 and then come back to these, and then that's probably
- 4 the easiest way.
- 5 JUDGE McGUIRE: Whatever is the easiest way to
- 6 do it. So you advise me whenever you're ready to go in
- 7 in camera.
- 8 MR. WEBER: So I think what we'll do is just
- 9 play page 142, line 8 through 143, line 9, which I
- don't think has any of this information in it.
- 11 JUDGE McGUIRE: Okay.
- 12 (Whereupon, the videotape was played for the
- 13 record in open court.)
- MR. WEBER: Next we're going to have a clip
- 15 that is on the topic of Mr. Karp's ITC declaration.
- 16 This is page 150, line 8. It continues to page 151,
- 17 line 6.
- 18 Following that, there's a counter-designation
- 19 which we actually have an objection to, so I think
- 20 we'll just play the first clip and then we'll get to
- 21 the objection.
- JUDGE McGUIRE: All right.
- 23 (Whereupon, the videotape was played for the
- 24 record in open court.)
- MR. WEBER: The next excerpt is a

- 1 counter-designation starting at page 151, line 17 and
- 2 it continues through page -- the clip continues through
- 3 page 152, line 4, and then there's a second clip 152,
- 4 line 5 through line 12.
- We object that the question is a hypothetical
- 6 and calls for speculation. In fact, the counsel for
- 7 Rambus actually objected to the question at the time.
- JUDGE McGUIRE: Mr. Stone?
- 9 MR. STONE: Yes, Your Honor. Counsel for
- 10 Rambus I think objected to some of the earlier
- 11 questions on this subject as well, but what this does
- 12 is try to put in context what he meant in this
- 13 preceding statement in the declaration that he was
- 14 asked about because he's then asked, well, if you
- change the sentence, he's trying to say would you be
- 16 comfortable with it today. He's trying to explore his
- 17 current understanding, and I think that's relevant to
- put in context what it was that was said and meant by
- 19 this statement in the declaration, so this is offered
- 20 to clarify the statement that he was just asked about.
- JUDGE McGUIRE: Sustained.
- 22 MR. WEBER: I think then that takes us to
- 23 the bottom of page 153, line 24 and continuing through
- 24 154, line 7.
- 25 And then there is also another clip 154,

- 1 line 12 through 158, line 5.
- We do have an objection to one of the answers,
- 3 but I'll stop the tape when it comes to that part.
- 4 (Whereupon, the videotape was played for the
- 5 record in open court.)
- 6 MR. WEBER: That takes us to page 155, line 21.
- 7 There's a question that's asked starting at
- 8 line 23 and also at the top of page 156 through line 5,
- 9 and then there's a long answer that we're objecting to
- 10 as nonresponsive to the question. It starts at
- page 156, line 6 and actually continues on to the top
- 12 of page 157.
- And then we also have the same objection to the
- 14 next answer given at page 157, line 11 that Mr. Karp is
- 15 just engaging in a monologue and not really responding
- 16 to specific questions.
- JUDGE McGUIRE: Let me just go through that and
- 18 I'll just first read it.
- MR. WEBER: Certainly.
- JUDGE McGUIRE: We're talking about starting on
- 21 line 23 at page 155?
- MR. WEBER: Yes, that's where the question is
- 23 starting. And I think he actually rephrases it at the
- top of the next page, and it's a fairly simple
- question, but then there's this long answer which we

- don't think is responsive to the question.
- 2 (Pause in the proceedings.)
- 3 JUDGE McGUIRE: And then it goes down through
- 4 where, Mr. Weber?
- 5 MR. WEBER: Your Honor, I think it actually
- 6 winds up going to the top of page 158 because there's
- 7 another question at page --
- JUDGE McGUIRE: Right, there's a question on
- 9 page --
- 10 MR. WEBER: It's the same objection to both
- 11 answers.
- 12 JUDGE McGUIRE: All right. But the first
- answer concludes on line 3 at page 157?
- MR. WEBER: All right.
- 15 JUDGE McGUIRE: I mean, is that correct?
- MR. WEBER: Yes.
- 17 JUDGE McGUIRE: Then that objection is
- 18 overruled.
- 19 MR. WEBER: Okay. So you want us to play
- 20 that?
- JUDGE McGUIRE: Go ahead.
- MR. WEBER: Can we also get a ruling on the
- 23 next question and answer and play the rest of the
- 24 tape?
- 25 JUDGE McGUIRE: The other one starts on

- 1 page 157 at line 4.
- 2 MR. WEBER: That's the question, and the answer
- 3 starts at line 11, yes, Your Honor.
- 4 JUDGE McGUIRE: And then it ends on line 5 on
- 5 page 158.
- 6 MR. WEBER: That's correct, Your Honor.
- 7 JUDGE McGUIRE: All right. Let me just look at
- 8 that.
- 9 (Pause in the proceedings.)
- 10 I'm having trouble understanding the question
- 11 here. That's probably why the answer is so short.
- 12 (Pause in the proceedings.)
- MR. WEBER: Your Honor, if it makes things go
- quickly, we'll be just happy to play the rest of the
- 15 tape.
- JUDGE McGUIRE: All right. That's fine.
- MR. WEBER: At this point.
- 18 So if we could continue starting at 155,
- 19 line 23 and we'll continue to the end of this tape,
- which I think goes through 158, line 5.
- JUDGE McGUIRE: Yes.
- MR. WEBER: Thank you.
- 23 (Whereupon, the videotape was played for the
- record in open court.)
- MR. WEBER: Your Honor, the next clip there's

- 1 no objections to. It's page 159, line 1 continuing
- 2 through page 161, line 19.
- 3 (Whereupon, the videotape was played for the
- 4 record in open court.)
- 5 MR. WEBER: Let me confer with counsel on the
- 6 next designation.
- 7 JUDGE McGUIRE: Go ahead.
- 8 (Pause in the proceedings.)
- 9 MR. WEBER: We just saved some time here,
- 10 Your Honor.
- 11 The next clip, though, relates to a document.
- 12 It's a document that I think has been discussed before.
- 13 It's JX-17 and it's specifically going to be some
- questions on pages 7 and 8. I think this is a
- 15 different version than was marked in the deposition,
- 16 but I have a copy for Your Honor. I think once you
- 17 hear the clip, I think it will be clear what's being
- 18 asked about.
- MR. STONE: What are you showing him?
- 20 MR. WEBER: This is JX-17, which I think is
- 21 the -- it was Defendant's Exhibit 25 in the hearing.
- 22 And I believe the part that's going to be
- 23 discussed is pages 7 and 8. We have a copy for counsel
- 24 as well.
- MR. STONE: This is not -- I mean, the Bates

- 1 numbers don't match up.
- MR. WEBER: Right. I think it was a
- 3 different version that he was using, but I think it's
- 4 the same meeting minutes that discussed the --
- 5 MR. STONE: I don't know how we -- I can't
- 6 tell --
- 7 JUDGE McGUIRE: I'm a little confused as to
- 8 what we're doing here, Mr. Weber.
- 9 MR. WEBER: We're just hopefully going to make
- 10 things a little less confusing. Maybe we should just
- 11 play the clip.
- But basically this is talking about a situation
- that arose over a particular JEDEC meeting involving
- 14 quad CAS. And there's a particular section of the
- 15 minutes that is read into the record.
- 16 JUDGE McGUIRE: I see. How long is that
- 17 section?
- MR. WEBER: It's very short.
- 19 MR. STONE: I don't mind the testimony coming
- 20 in. I have no objection to the testimony. I just
- 21 wasn't sure --
- JUDGE McGUIRE: I wasn't sure either.
- MR. WEBER: The clip starts at page 180, line 9
- through page 182, line 25.
- JUDGE McGUIRE: Well, I said I have no problem

- 1 with just seeing the hard copy, but I'm just not sure
- where it is or -- it's page 7 of what?
- MR. WEBER: I think it's going to be page 7 or
- 4 8 of JX-17. Actually I think the discussion starts on
- 5 page 7. The parts that's quoted in the --
- JUDGE McGUIRE: See, I don't know what's JX-17.
- 7 You haven't marked it.
- 8 MR. WEBER: JX-17, it's JEDEC meeting minutes
- 9 from I believe September of '93.
- JUDGE McGUIRE: Okay. I've got that. All
- 11 right. That's page 7 or 8 then?
- MR. WEBER: Page 7 or 8, which is the
- discussion of this topic involving the quad CAS
- 14 situation. And part of the minutes are actually read
- in in the question, so...
- JUDGE McGUIRE: Mr. Stone, do you have a
- 17 preference?
- 18 MR. STONE: If they think these are the right
- 19 minutes, I'm not going to argue that they're not. I
- 20 can't tell from the testimony if they are or not.
- 21 JUDGE McGUIRE: Then let's watch the tape.
- MR. STONE: I think it's fine.
- MR. WEBER: I've just been informed that
- 24 CX-57-A is the same version with the exact same Bates
- 25 numbers, but you know, in the interest of trying to

1 keep the documents to a minimum, we just put in one

- 2 version.
- 3 MR. STONE: I'm fine.
- 4 MR. WEBER: Again, this is page 180, line 9
- 5 through page 182, line 25.
- 6 (Whereupon, the videotape was played for the
- 7 record in open court.)
- 8 MR. WEBER: The next item is an excerpt on this
- 9 same subject and -- but there's a counter-designation
- 10 that we're objecting to the question.
- So I propose playing page 184, line 10 up
- 12 through page 185, line 15, and the question that we
- have the objection to and the answer we have the
- objection to starts at line 17 of page 185 to the top
- 15 of 186.
- We can play up to that part and then you can
- 17 rule, Your Honor.
- JUDGE McGUIRE: All right.
- 19 (Whereupon, the videotape was played for the
- 20 record in open court.)
- 21 MR. WEBER: The next question is in the form of
- 22 a hypothetical question and we would object as it's
- 23 hypothetical, calls for speculation. It's the same
- 24 kind of question that they've objected to when we've
- 25 asked witnesses in this case.

1 JUDGE McGUIRE: Mr. Stone, do you want to be

- 2 heard on that?
- MR. STONE: We have objected on that. The
- 4 objections have generally been overruled. I think
- 5 consistency would suggest that the objection be
- 6 overruled here as well.
- 7 MR. WEBER: My only point is that this is a
- 8 hypothetical about something that doesn't even
- 9 necessarily involve this case directly. It's the TI
- 10 controversy. It's not the -- if Rambus would have
- 11 disclosed, what would the but-for world have been
- 12 like, so I think it's even farther removed from this
- 13 case.
- 14 JUDGE McGUIRE: I think some of these issues,
- 15 counsel -- I know in respondent's opening statement
- 16 they talked about the but-for world, and I've allowed
- some speculation from the other side to address that
- 18 very argument and -- but this does not involve Rambus
- 19 per se, so I'm going to uphold the objection as it's
- 20 hypothetical.
- MR. STONE: Thank you, Your Honor.
- MR. WEBER: The next series of questions relate
- 23 to -- did we get CX-208?
- The next series of questions relate to the
- JEDEC manual that's also been the subject of testimony

- 1 here, CX-208, which is the -- I believe it's manual
- 2 21-I. And there's going to be some specific questions
- 3 with parts of that manual in the next few clips, so
- 4 we're going to try to grab a copy of it and I think
- 5 this will be -- do you guys need any more copies?
- 6 MR. STONE: I'm fine. Go ahead.
- 7 MR. WEBER: I think again the questions will be
- 8 at page 15, which is -- no. Actually on this version
- 9 it's -- it's page 19 of our version. It's going to be
- 10 page 15 of the -- again, this is the problem of having
- 11 two different versions. And then there's also
- 12 appendix F, I believe the last page, there's questions
- on that.
- And this document in the deposition, just for
- 15 the context, was referred to as Defendant's Exhibit 48.
- 16 So we will play the clip at page 190, line 20
- through 192, line 1, and then it also picks up at
- 18 page 192, line 10 through page 193, line 18.
- 19 And continuing along the same topic, page 194,
- line 10 through page 195, line 20.
- 21 And I think we'll also read in page 197,
- 22 line 21 through 24, which is on the same topic.
- 23 (Whereupon, the videotape was played for the
- record in open court.)
- MR. WEBER: Next we're going to combine two

- 1 short clips: page 198, line 22 through page 199,
- line 10, which I believe is respondent's clip; and we
- 3 have a clip also at page 200, line 15 through page 201,
- 4 line 10.
- 5 (Whereupon, the videotape was played for the
- 6 record in open court.)
- 7 MR. WEBER: Next we have a series of clips on
- 8 another aspect of the JEDEC policy starting at
- 9 page 202, line 15 continuing through page 203,
- 10 line 18; and then 203, line 22 through 204, line 1;
- 11 204, line 9 through 11; and 205, line 12 through 206,
- 12 line 7.
- 13 (Whereupon, the videotape was played for the
- 14 record in open court.)
- 15 MR. WEBER: Your Honor, I just conferred with
- 16 counsel. I think the next series of clips will take us
- 17 up through the possible second in camera area, so let
- me just read out these pages and see if this is okay to
- 19 read in public session.
- 20 Page 221, line 17 through 222, line 3.
- 21 Then 223, line 4 through 225, line 9.
- 22 And then we have page 226, line 5 through 15.
- And finally, 227, line 12 through 16.
- And I think that will be the last clip before
- 25 we may need to consider the in camera, the last series

- 1 of clips.
- JUDGE McGUIRE: Okay.
- 3 (Whereupon, the videotape was played for the
- 4 record in open court.)
- 5 MR. WEBER: Your Honor, I think we're up to the
- 6 clip that was potentially in camera.
- 7 Does that comport with Mr. Stone on that?
- 8 MR. STONE: I agree.
- 9 MR. WEBER: Can we agree on what the remaining
- 10 clips are in camera on this?
- 11 MR. STONE: I think it's the ones we held back
- earlier and then I think it's 236, line 2 through 237,
- 13 line 3.
- MR. WEBER: Just this one clip?
- MR. STONE: I don't know that there's any --
- 16 it's just the percentage figures for certain license
- 17 agreements. I don't know that those numbers come up
- 18 again. I don't think they do, so I think that's all.
- 19 MR. WEBER: Okay. We can do it one of two
- 20 ways. We can continue in public session, finish up
- 21 this video and then go back to the in camera, or we can
- 22 go into in camera right now and finish up -- there's
- 23 actually three clips. They're like less than five
- 24 minutes total time.
- 25 JUDGE McGUIRE: You mean the in camera is less

- 1 than five minutes?
- 2 MR. WEBER: Yes.
- JUDGE McGUIRE: Why don't we do it now and be
- 4 done with it.
- I have to advise the public that this portion
- of the hearing will be closed to the public, so you
- 7 otherwise aren't cleared for any access to this
- 8 information, and I'm going to ask you to please step
- 9 outside, and I'm sure someone will be out there very
- 10 shortly and you can come back in during the public
- 11 session.
- Now, this is not subject to that August 2002
- protective order, is it, Mr. Stone? You're just doing
- this out of an abundance of caution?
- 15 MR. STONE: All of these transcripts were, at
- 16 the time they were taken -- and this one was taken in
- 17 the Infineon case -- subject to a protective order
- 18 which then carried over to this case, so the
- 19 transcript itself was subject to that protective
- 20 order.
- 21 What we had agreed was with respect to depo
- 22 transcripts we'd handle any in camera when they came up
- 23 rather than trying to deal with all of the volume of
- 24 transcripts in advance. But this is not otherwise
- 25 subject of an order.

1 So we move at this time for treatment in camera

- of the testimony you're about to hear.
- JUDGE McGUIRE: All right. So noted.
- 4 Then let me again advise the court reporter
- 5 that we are now in the in camera session, and will
- 6 counsel certify that everyone on their side of the
- 7 room is certified for access to this in camera
- 8 treatment.
- 9 MR. STONE: Yes, Your Honor.
- MR. WEBER: Yes, Your Honor.
- 11 (The in camera testimony continued in
- 12 Volume 29, Part 2, Pages 5727 through 5728, then
- 13 resumed as follows.)
- JUDGE McGUIRE: Okay. Mr. Weber, you may
- 15 proceed.
- MR. WEBER: We're just going to finish up this
- 17 last part of the clip in public session. It's
- 18 page 141, line 5 through 12.
- 19 (Whereupon, the videotape was played for the
- 20 record in open court.)
- MR. WEBER: Now we're going to go ahead and
- 22 page through the transcript to where we were before we
- 23 left off and we have three short excerpts: page 238,
- 24 line 13 through 17; page 138, line 25 through page 259,
- line 9; and page 239, line 19 through page 240, line 4.

- 1 This includes designations by both sides.
- 2 (Whereupon, the videotape was played for the
- 3 record in open court.)
- 4 MR. WEBER: Your Honor, next we have a series
- of clips where we have some objections to their
- 6 counter-designations.
- 7 The first one is page 248, the question at
- 8 line 19 and the answer at line 24. Our objections are
- 9 that it is beyond the scope of our designations, it
- 10 lacks foundation and calls for opinion testimony.
- 11 MR. STONE: Your Honor, our response, just
- 12 briefly -- I won't belabor it -- is that it's directly
- 13 responsive to the question and answer we just heard.
- 14 JUDGE McGUIRE: Let's see. What page were we
- 15 just on right now?
- MR. WEBER: We're on page 248 --
- JUDGE McGUIRE: I mean prior to that. The one
- 18 we just saw.
- 19 MR. WEBER: It's eight pages prior to that. I
- 20 think it's like 240 -- the last one we just read?
- JUDGE McGUIRE: Yes.
- 22 MR. WEBER: It stops at 240. It starts at 239,
- 23 line 19.
- 24 And basically they counter-designated a
- question and answer at the top of 240, so I think that

1 counter-designation goes with what was just read in at

- 2 the bottom of --
- JUDGE McGUIRE: I'll hear the question.
- 4 MR. WEBER: Okay. So let's play clip -- the
- 5 clip at 23 -- 248, line 19 through 249, the answer at
- 6 249, line 5, so that's actually the next two clips.
- 7 (Whereupon, the videotape was played for the
- 8 record in open court.)
- 9 MR. WEBER: We have a similar objection to the
- 10 next clip and also it's vague and ambiguous as being
- 11 out of context. This is at 249, line 19.
- 12 JUDGE McGUIRE: The question is: "And why do
- 13 you say that?"
- MR. WEBER: Right.
- JUDGE McGUIRE: And that's on the prior answer
- 16 that we just heard?
- MR. WEBER: Well, not really because there's a
- series in between the answer, so it's a little unclear
- 19 what the "that" is, for one thing. We obviously
- 20 weren't there to object, make a form objection, but in
- 21 addition to the other objections I mentioned with the
- 22 prior --
- 23 MR. STONE: Well, I didn't -- if counsel thinks
- 24 we need to read the intervening testimony, we should
- just read it into the record orally. If he feels we

- 1 need that for context.
- JUDGE McGUIRE: Why don't you do that because
- 3 it would otherwise be confusing I think.
- 4 MR. WEBER: Well, it's their
- 5 counter-designation, so if they want to read it --
- 6 MR. STONE: Then I will read the question
- 7 beginning at page 249, line 6 and continuing through
- 8 the answer at page 249, line 17, the lead-in to the
- 9 objection.
- 10 (Whereupon, the transcript cites were read into
- 11 the record in open court.)
- 12 MR. WEBER: Again, we would object to that as
- being -- first of all, they didn't counter-designate
- this, so he's adding this today, but if I had to on the
- 15 fly object, then I would make the same objections that
- Rambus' attorney did as being an incomplete
- 17 hypothetical, calls for expert opinion, calls for a
- 18 legal conclusion.
- 19 JUDGE McGUIRE: Mr. Stone, what was your
- 20 opposition to the objection?
- 21 MR. STONE: Your Honor, the point of that was
- 22 that this clarifies and fills out the content of what
- 23 he was earlier asked in the preceding testimony about
- 24 whether he thought the JEDEC standards would or would
- 25 not have required disclosure, and now he's being asked

- 1 to explain why it would or would not have covered or
- 2 required the disclosure. This is just his explaining
- 3 his reasoning and I think it gives context and meaning
- 4 to --
- 5 JUDGE McGUIRE: I'll hear the question again.
- 6 MR. WEBER: I think he just read it in, so you
- 7 heard it.
- JUDGE McGUIRE: Right. I'll hear the answer
- 9 then.
- 10 MR. WEBER: I think you read in the answer,
- 11 Greq?
- MR. STONE: I read in the part that you said
- made it misleading with respect to what begins at
- page 249, line 19. I think that's where we are now.
- 15 MR. WEBER: We have the clip starting at 249,
- 16 line 19. The reason we didn't have what Mr. Stone read
- in was because it wasn't designated, just so the record
- 18 is clear.
- 19 JUDGE McGUIRE: I understand.
- 20 MR. WEBER: So we can play the clip of 249,
- 21 line 19 through 250, line 2.
- (Whereupon, the videotape was played for the
- 23 record in open court.)
- MR. WEBER: And finally we have one other clip
- 25 that we have an objection to. It starts -- the

1 question is at page 251, line 10, the answer then at

- 2 251, line 16.
- And we believe this question calls for a legal
- 4 conclusion. He's asking whether Rambus is observing
- 5 the JEDEC policy of nondiscriminatory and fair and
- 6 reasonable rates, and so we think this calls for
- 7 opinion testimony from a lay witness.
- 8 MR. STONE: I agree, Your Honor, that the
- 9 question as framed asks for his opinion. I think he
- 10 gives his understanding. I would offer it only as
- 11 evidence of his understanding. I would not argue --
- 12 JUDGE McGUIRE: I will hear it as to his
- understanding, in that context only.
- MR. WEBER: Okay. Therefore, we will play 251,
- 15 lines 10 through 12 and 201, lines 16 through 18.
- 16 (Whereupon, the videotape was played for the
- 17 record in open court.)
- 18 MR. WEBER: Next we have one short clip that
- 19 they've counter-designated and we have no objection to,
- 20 page 252, line 5 through 24.
- 21 (Whereupon, the videotape was played for the
- 22 record in open court.)
- MR. WEBER: Your Honor, the next series of
- 24 clips relate to questions and answers about a claim in
- a patent application and also matching up to one of the

- 1 JEDEC presentations. This relates to on-chip PLL.
- I have a copy of one of the documents that I
- 3 believe was used which was -- has been marked as
- 4 CX-1459. But I think you could probably follow along
- 5 just from reading the --
- JUDGE McGUIRE: Yeah, I'll do that.
- 7 MR. WEBER: So this is going to be -- let me
- 8 just read in the pages -- 270, line 24 through 272,
- 9 line 19 and then page 274, line 15 through 275,
- 10 line 11.
- And we might as well finish this off with 277,
- 12 line 1 through 5; 277, line 11 through 21; and then
- 13 280, line 7 through 25; and finally, 282, lines 23
- through 24.
- 15 And this concludes the excerpts from this
- 16 deposition.
- JUDGE McGUIRE: All right. Thank you.
- 18 (Whereupon, the videotape was played for the
- 19 record in open court.)
- MR. WEBER: Your Honor, that concludes the
- 21 Infineon video from Mr. Karp's deposition. We're
- 22 prepared to continue with a live read-in of the FTC
- deposition, but perhaps now would be a good time for a
- 24 short break.
- 25 JUDGE McGUIRE: Yes. Let's take a break for

- 1 ten minutes. We'll come back.
- 2 (Recess)
- JUDGE McGUIRE: Mr. Weber, proceed.
- 4 MR. WEBER: Your Honor, what we're going to do
- is we're going to do read-ins from the deposition out
- 6 in San Francisco on February 5, 2003. I was taking the
- 7 deposition. Mr. Stone was defending. We'll have
- 8 Emily Pitlick read the answers from Mr. Karp.
- 9 We will try to as much as possible include the
- 10 counter-designations to give context as we go through
- 11 so we won't have to go back and do that again. There
- may be an in camera issue that comes up at a certain
- 13 point.
- We will also, with Hiram Andrews' help, try and
- 15 get the documents up on screen if there's a document
- that comes up so Your Honor can see them.
- And I will also try to remember to read in the
- 18 lines and page numbers as we go.
- 19 First of all, we're going to read from the
- beginning, which is page 7, line 9 through 18.
- 21 (Whereupon, the transcript cites were read into
- the record in open court.)
- MR. WEBER: And now we go to the top of page 9,
- 24 lines 3 through 6.
- 25 (Whereupon, the transcript cites were read into

- 1 the record in open court.)
- 2 MR. WEBER: And continuing at the bottom of
- 3 page 9, line 24 through page 10, line 11.
- 4 (Whereupon, the transcript cites were read into
- 5 the record in open court.)
- 6 MR. WEBER: And continuing at the bottom of
- 7 page 10 starting at line 20 through page 11, line 17.
- 8 (Whereupon, the transcript cites were read into
- 9 the record in open court.)
- MR. WEBER: Continuing to page 14, lines 4
- 11 through 17.
- 12 (Whereupon, the transcript cites were read into
- 13 the record in open court.)
- 14 MR. WEBER: Page 15, lines 10 through 13.
- 15 (Whereupon, the transcript cites were read into
- 16 the record in open court.)
- MR. WEBER: Okay. Page 20, line 11 through 21,
- 18 line 8.
- 19 (Whereupon, the transcript cites were read into
- 20 the record in open court.)
- MR. WEBER: Continuing at page 22, line 8
- through line 23.
- 23 (Whereupon, the transcript cites were read into
- 24 the record in open court.)
- MR. WEBER: Page 23, lines 4 through 15.

1 (Whereupon, the transcript cites were read into

- 2 the record in open court.)
- 3 MR. WEBER: Page 31, lines 3 through 11.
- 4 (Whereupon, the transcript cites were read into
- 5 the record in open court.)
- 6 MR. WEBER: Okay. Page 31, line 17 through
- 7 page 32, line 15.
- 8 (Whereupon, the transcript cites were read into
- 9 the record in open court.)
- MR. WEBER: Okay. Your Honor, we're going to
- 11 try and pull up the next exhibit on the screen, but
- 12 we're asking about the December 1991 JEDEC meeting
- minutes, and it's at page 41 of the transcript and I
- 14 think it's section 6.2 of those minutes. I don't know
- which page number. I don't happen to have a page
- 16 number here, if we can pull that up on the screen.
- And that's what this question is about, so
- we'll go to page 41, lines 16 through 23.
- 19 (Whereupon, the transcript cites were read into
- 20 the record in open court.)
- 21 MR. WEBER: Next we have a reference to
- 22 CX-2955, if we could pull that document on the screen,
- and we'll be reading from page 44, line 10 through 45,
- line 10. And there's a specific document that's
- 25 introduced at this point.

1 (Whereupon, the transcript cites were read into

- 2 the record in open court.)
- MR. WEBER: Now we're continuing with page 47,
- 4 line 24 through page 48, line 7.
- 5 (Whereupon, the transcript cites were read into
- 6 the record in open court.)
- 7 MR. WEBER: Next we have a counter-designation
- 8 which we have an objection to. It's page 50, line 4
- 9 through 12.
- He's basically asked the question and he gives
- 11 a nonresponsive answer talking about Colin Powell and
- 12 Saddam Hussein. We just don't think it should be
- 13 entered into evidence. It's not responsive to any of
- 14 our designations either.
- 15 JUDGE McGUIRE: Sustained.
- 16 MR. WEBER: Next we're at page 58, line 13.
- 17 (Whereupon, the transcript cites were read into
- 18 the record in open court.)
- 19 MR. WEBER: Page 60, line 16 through 25.
- 20 (Whereupon, the transcript cites were read into
- 21 the record in open court.)
- MR. STONE: Your Honor, unless I renew my
- objection here, to save time, Mr. Weber doesn't need to
- 24 note if I objected to a question.
- 25 JUDGE McGUIRE: Right. You don't need to state

- 1 the objections.
- MR. WEBER: That's fine. It will go faster. I
- 3 appreciate it.
- 4 (Whereupon, the transcript cites were read into
- 5 the record in open court.)
- 6 MR. WEBER: Continuing with page 61, lines 13
- 7 through 18.
- 8 (Whereupon, the transcript cites were read into
- 9 the record in open court.)
- MR. WEBER: Page 62, line 16 through page 63,
- 11 line 25.
- 12 (Whereupon, the transcript cites were read into
- 13 the record in open court.)
- 14 MR. WEBER: The next excerpt is page 70,
- 15 line 18 through 71, line 9.
- There's an objection from counsel. I don't
- 17 know if you're still preserving this objection or not.
- JUDGE McGUIRE: Do you want to play his role or
- is it necessary?
- 20 MR. STONE: If I need to raise my objections, I
- 21 will.
- JUDGE McGUIRE: Otherwise, we're just going to
- 23 skip through them.
- MR. STONE: We can just go forward.
- MR. WEBER: Okay. So starting at page 70,

- line 18 continuing through 71, line 9.
- 2 (Whereupon, the transcript cites were read into
- 3 the record in open court.)
- 4 MR. WEBER: The next excerpt is page 78,
- 5 line 5 -- oh, this is actually -- counsel reminded me.
- 6 This is actually a license agreement that I think they
- 7 want in camera this testimony.
- 8 MR. STONE: Yes. And I wonder if it makes
- 9 sense if we just let Your Honor -- maybe we can just
- 10 let Your Honor read 78, line 5 through 80, line 15 as
- opposed to us reading it to you, then you can read it
- 12 to yourself.
- JUDGE McGUIRE: That's a good idea. I'll just
- 14 take this time right now and read that.
- 15 MR. STONE: Then we don't need to exclude
- anybody.
- MR. WEBER: We'll be happy to proceed on that
- 18 basis, Your Honor.
- 19 JUDGE McGUIRE: All right. Let me read this.
- 20 MR. WEBER: We can skip ahead, but the next
- 21 section --
- JUDGE McGUIRE: Hold it. I'm going to read it
- 23 right now.
- MR. WEBER: I'm sorry. I'm sorry. I
- 25 apologize.

- 1 (Pause in the proceedings.)
- JUDGE McGUIRE: Okay. Then that ends on
- 3 page 80 at line 15?
- 4 MR. WEBER: Yes, Your Honor.
- 5 JUDGE McGUIRE: Okay. I read it.
- 6 MR. WEBER: Okay. We're going to continue on
- 7 to page 85, line 7 through 22, but if we could pull up
- 8 JX-31 at page 68, which is attachment U, that's what's
- 9 being discussed here. It was a Samsung presentation at
- 10 I believe the March 1996 JEDEC meeting.
- 11 (Whereupon, the transcript cites were read into
- 12 the record in open court.)
- MR. WEBER: Then we move to page 86, line 21
- 14 through page 87, line 13.
- 15 Is this something that you want in camera?
- 16 It's referring to that agreement again.
- MR. STONE: I guess we'd ask you to read this
- 18 as well, Your Honor.
- 19 JUDGE McGUIRE: All right. Page 87 --
- 20 MR. STONE: 86, line 21 through 87 --
- JUDGE McGUIRE: 87, line 13.
- MR. WEBER: Yes. It references the provision
- 23 in that contract.
- JUDGE McGUIRE: Okay. I'll read it.
- 25 (Pause in the proceedings.)

- 1 Okay.
- 2 MR. WEBER: Question at page 87, line 23
- 3 through page 88, line 8.
- 4 (Whereupon, the transcript cites were read into
- 5 the record in open court.)
- 6 MR. WEBER: Next we have a counter-designation
- 7 by respondent that starts at page 91, line 9, and we
- 8 have an objection to a question that's at page 92,
- 9 line 13, which we think calls for speculation. I'll
- 10 read it in up to that point, Your Honor, if that's all
- 11 right.
- 12 JUDGE McGUIRE: All right. Go ahead.
- 13 (Whereupon, the transcript cites were read into
- 14 the record in open court.)
- 15 MR. WEBER: We're objecting to the reading in
- 16 of the next two questions and answers on the grounds
- 17 that it calls for speculation. This would basically be
- 18 page 92, line 13 through 24.
- 19 JUDGE McGUIRE: Mr. Stone, any response to the
- 20 objection?
- MR. STONE: No, Your Honor. I think you can
- 22 read it and decide either way.
- JUDGE McGUIRE: All right. Then on that basis
- 24 the objection is sustained.
- MR. WEBER: We will go to page 95, line 19

- 1 through 96, line 1.
- 2 (Whereupon, the transcript cites were read into
- 3 the record in open court.)
- 4 MR. WEBER: And now, the next excerpt talks
- 5 about a specific document. Your Honor, this is a very
- 6 long document, so I don't know what your schedule is
- 7 today, but this will take a while and we could either
- 8 finish up with this document or continue with it the
- 9 next time, whatever Your Honor's convenience is.
- It's a -- it's actually -- we're going to be
- 11 talking about a very thick notebook that Mr. Karp kept
- 12 along with his agreements.
- JUDGE McGUIRE: How much more time do you think
- it would take either if we do it today or on into other
- points in time to conclude this deposition?
- 16 MR. WEBER: I don't know. It's been moving
- fairly quickly, so -- I don't have as good a sense of
- this as the video because of the video being timed. I
- 19 think we're probably looking at at least another hour
- 20 to complete this, just a rough ballpark, but I -- you
- 21 know, we haven't actually practiced.
- JUDGE McGUIRE: Mr. Stone, do you have any
- 23 preference? Do you want to get out early today or do
- you want to go ahead and try and finish this up today?
- 25 MR. STONE: Whatever suits complaint counsel

- 1 and Your Honor, I'm fine. I think it's probably at
- 2 least an hour. I'm just -- it's hard to estimate
- 3 because I haven't timed it either, but I suspect --
- 4 this was a full-day deposition and I would guess a
- 5 significant percentage is designated along the way, so
- 6 we're probably looking at more than an hour.
- JUDGE McGUIRE: Mr. Oliver?
- 8 MR. OLIVER: I was just going to indicate, for
- 9 what it's worth, that I expect that the witness
- 10 tomorrow will not be a full-day witness.
- JUDGE McGUIRE: Why don't we carry over
- 12 tomorrow and finish this up and maybe we can get out
- today somewhat early and then we'll complete this
- deposition reading tomorrow.
- MR. WEBER: Okay.
- JUDGE McGUIRE: Okay?
- 17 MR. STONE: Thank you, Your Honor.
- JUDGE McGUIRE: You both have some hard copy up
- here that I'm going to ask that you collect.
- 20 Should I just go ahead and keep the transcript
- 21 up here that we're working from?
- MR. OLIVER: That would be fine, Your Honor.
- JUDGE McGUIRE: Then you all can grab your
- 24 other hard copy.
- 25 And then we'll convene tomorrow morning at

1	9:30.
2	Anything else we need to discuss before we
3	adjourn today?
4	MR. STONE: I don't believe so, Your Honor.
5	JUDGE McGUIRE: Okay. Very good. We'll see
6	you in the morning.
7	MR. STONE: Thank you.
8	(Time noted: 4:03 p.m.)
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1	CERTIFICATION OF REPORTER
2	DOCKET NUMBER: 9302
3	CASE TITLE: RAMBUS, INC.
4	DATE: June 17, 2003
5	
6	I HEREBY CERTIFY that the transcript contained
7	herein is a full and accurate transcript of the notes
8	taken by me at the hearing on the above cause before
9	the FEDERAL TRADE COMMISSION to the best of my
10	knowledge and belief.
11	
12	DATED: June 17, 2003
13	
14	
15	
16	JOSETT F. HALL, RMR-CRR
17	
18	CERTIFICATION OF PROOFREADER
19	
20	I HEREBY CERTIFY that I proofread the
21	transcript for accuracy in spelling, hyphenation,
22	punctuation and format.
23	
24	
25	DIANE QUADE